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**Doctor of Philosophy**

**Roles of eIF2alpha phosphorylation in  
anti-oxidative stress response and autophagy**

The Graduate school of the University of Ulsan  
Department of Biological science

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**Roles of eIF2alpha phosphorylation in  
anti-oxidative stress response and autophagy**

Supervisor: Professor **Sung Hoon Back**, Ph.D

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By

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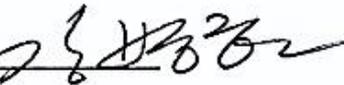
**Roles of eIF2alpha phosphorylation in  
anti-oxidative stress response and autophagy**

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# **CHAPTER 1**

**Reduced EGFR Level in eIF2 $\alpha$  Phosphorylation–deficient  
Hepatocytes is Responsible for Susceptibility  
to Oxidative Stress**

## Abstract

Reactive oxygen species (ROS) play a significant role in intracellular signaling and regulation, particularly when they are maintained at physiologic levels. However, excess of ROS can cause cell damage and induce cell death. I recently reported that eIF2 $\alpha$  phosphorylation protects hepatocytes from oxidative stress and liver fibrosis induced by fructose metabolism. Here, I found that hepatocyte-specific eIF2 $\alpha$  phosphorylation-deficient mice have significantly reduced expression of the EGF receptor (EGFR) and altered EGFR-mediated signaling pathways. EGFR-mediated signaling pathways are important for cell proliferation, differentiation, and survival in many tissues and cell types. Therefore, I studied whether the reduced amount of EGFR is responsible for the eIF2 $\alpha$  phosphorylation-deficient hepatocytes' vulnerability to oxidative stress. Reactive oxygen species (ROS) such as hydrogen peroxide and superoxides induce both EGF receptor tyrosine phosphorylation and eIF2 $\alpha$  phosphorylation. eIF2 $\alpha$  phosphorylation-deficient primary hepatocytes, or EGFR knockdown cells, have decreased ROS scavenging ability compared to normal cells. Therefore, these cells are particularly susceptible to oxidative stress. However, overexpression of EGFR in these eIF2 $\alpha$  phosphorylation-deficient primary hepatocytes increased ROS scavenging ability and alleviated ROS-mediated cell death. Therefore, I hypothesize that the reduced EGFR level in eIF2 $\alpha$  phosphorylation-deficient hepatocytes is one of critical factors responsible for their susceptibility to oxidative stress.

## Introduction

The epidermal growth factor receptor (EGFR), or ErbB1 receptor (ErbB1), is one of four members of the ErbB family of tyrosine kinase receptors. ErbB1 is expressed in various tissues and mediates cell proliferation, differentiation, migration and survival through various signaling pathways [1]. When a ligand such as epidermal growth factor (EGF) [2] binds to the EGFR receptor, it forms homo- and hetero-dimers with all four family members [1, 2]. Ligand-activated EGFR proteins are auto-phosphorylated and cross-phosphorylated at multiple tyrosine residues (such as Y1068), which are located in the C-terminal non-catalytic sequence [3]. The autophosphorylated tyrosine residues are believed to serve as docking sites for a variety of signaling molecules (such as Src homology 2) that contain phosphotyrosine-binding domains [3, 4]. Subsequently, the activated EGFR triggers the following four main downstream signaling pathways: the PI3K/Akt pathway, the phospholipase C-gamma (PLC- $\gamma$ )/protein kinase C (PKC) pathway, the ras/raf/MEK/MAPK pathway [comprised of the activation of extracellular signal-regulated kinase (ERK) and JUN N-terminal kinase (JNK)], and the signal transducers and activators of transcription (STATs) pathway [5-7]. The four signaling pathways collectively control cell proliferation, differentiation, migration, and survival.

Apart from ligand-dependent EGFR tyrosine activation, accumulating evidence has shown that reactive oxygen species (ROS) are involved in another mechanism of EGFR transactivation [8-13]. Diverse modes of EGFR activation have been suggested in which ROS are directly or indirectly involved in EGFR transactivation [12, 14]. First, ROS directly modulate a specific cysteine residue (Cys797) within the EGFR kinase domain that is associated with increased tyrosine kinase activity [15]. Next, the ROS induce oxidation of reduction-oxidation targets, such as protein tyrosine (Tyr) phosphatases (PTPs), to enhance EGFR Tyr phosphorylation [16]. Finally, ROS induce cysteine oxidation within Src and ADAM17, which results in their activation and further EGFR transactivation [17].

ROS-involved EGFR transactivation can initiate multiple signaling pathways that are similar to ligand-dependent EGFR tyrosine activation. Ultimately, this signaling helps to protect cells against oxidative stress. Oxidative stress activates AKT via the EGFR/PI3K-dependent pathway in a number of cell types [18]. After activation of the EGFR/PI3K-dependent pathway, the PI3K/Akt pathway inhibits glycogen synthase kinase 3 $\beta$  (GSK-3 $\beta$ ) and thereby induces nuclear translocation of nuclear factor erythroid 2-related factor 2 (Nrf2) [19, 20]. Nuclear factor erythroid 2-related factor 2 subsequently induces the expression of an array of ROS-detoxifying enzymes and stimulates the production of antioxidants, including GSH [20]. Activated AKT also phosphorylates and inactivates components of the cell death machinery including Bax, Bad and caspase 9 [21, 22]. Activated AKT also regulates the activity of FOXO3, which is a member of the Forkhead family of transcription factors that induces genes necessary for cell death [21, 23]. Therefore, AKT increases the cell's antioxidant capacity and thereby promotes cell survival under oxidative stress in transcription-independent and/or dependent manners. Next, it has been previously shown that H<sub>2</sub>O<sub>2</sub>-induced EGFR transactivation increases PLC- $\gamma$ 1-mediated pro-survival function. Genetic ablation and pharmacological inhibition of PLC- $\gamma$ 1 enhances a cell's susceptibility to hydrogen peroxide-induced cell death; however, it is not clear how activated PLC- $\gamma$ 1 mitigated the cellular oxidative damage [24-26]. Oxidative stress also triggers the phosphorylation of STAT proteins (STAT1, STAT3 and STAT5), which can induce genes that control cell growth and survival [27-29]. During oxidative stress, EGFR transactivation is transferred by Janus kinases 1 and 2 (JAK1/2) or c-Src to STAT-1/3 and STAT-5. JAK1/2 and c-Src kinases modulate STATs activation in at least the following two ways: (1) by direct phosphorylation of STATs; and (2) by phosphorylating the EGFR at Y845.

Eukaryotic translation initiation factor 2 alpha (eIF2 $\alpha$ ) is a subunit of the trimeric eIF2 complex that is involved in the initiation step of cap-dependent mRNA translation [30]. The eIF2 complex delivers the initiator methionyl-tRNA (Met-tRNA<sub>i</sub>) to the ribosome during

translation initiation of cytoplasmic mRNAs in eukaryotic cells. Mammalian cells respond to various forms of physiological or pathological stress (such as hypoxia, amino acid deprivation, glucose deprivation, viral infection, endoplasmic reticulum (ER) stress, and oxidative stress) by blocking the translational initiation process through phosphorylation of eIF2 $\alpha$  at Serine 51. Such stresses are sensed by the four mammalian eIF2 $\alpha$  protein kinases: PERK, GCN2, PKR, and HRI, which respond to distinct stimuli [31, 32]. However, it has been reported that oxidative stress also activates multiple eIF2 $\alpha$  kinases (including PERK, PKR and GCN) and regulates the eIF2 complex through eIF2 $\alpha$  phosphorylation [33, 34]. Therefore, several groups, including ours, have reported that genetic loss of the eIF2 $\alpha$  kinases or eIF2 $\alpha$  phosphorylation make cells susceptible to death by oxidative stress [33-37]. In addition, I recently suggested that fructose diet-induced hepatocyte death results from a diminished antioxidant capacity in hepatocyte-specific eIF2 $\alpha$  phosphorylation deficient mice [37]. However, I did not identify the upstream signaling pathways whose activation in response to oxidative stress may play important roles in maintaining the antioxidant capacity and alleviating oxidative hepatocyte damage. Here, I report that hepatocyte-specific eIF2 $\alpha$  phosphorylation-deficient mice have significantly reduced expression of the EGF receptor (EGFR) and altered EGFR-mediated signaling pathways. Both the EGF receptor and EGFR-mediated signaling pathways are required for a cell to appropriately defend against oxidative stress. Therefore, EGFR knockdown cells have decreased ROS scavenging ability and aggravated oxidative stress-mediated cell death. Conversely, enhanced expression of EGFR increased ROS scavenging ability and alleviated ROS-mediated cell death in eIF2 $\alpha$  phosphorylation-deficient primary hepatocytes. Therefore, those results suggest that a decreased EGFR level partially explains the susceptibility of eIF2 $\alpha$ -phosphorylation-deficient hepatocytes to ROS.

## Materials and Methods

### Animals and in vivo EGF treatment

*S/A;fTg/0 (Cont.)* and *A/A;fTg/0;Cre<sup>Hep</sup>/0 (A/A<sup>Hep</sup>)* mice have been previously described [37]. The animals were housed with 12 h light and 12 h dark cycles and provided with standard rodent chow (Purina; Cargill Inc., USA) and water ad libitum. All animal care and procedures were conducted according to the protocols and guidelines approved by the University of Ulsan Animal Care and Use Committee (UOUACUC) (No. SHB-17-010).

The *Cont.* and *A/A<sup>Hep</sup>* mice fasted for 12 hours prior to in vivo EGF-mediated EGFR stimulation. After anesthesia was induced, the mice received 0.9% saline or 0.9% saline containing recombinant murine EGF (PeproTech, Korea, 0.5 µg/g of body weight) via the vena cava. The liver was removed 5 minutes after this injection. The specimens were frozen and stored at -80 °C until homogenization.

### Quantitative RT-PCR

Total RNAs were isolated from liver tissues by using the Trizol reagent (Life Technologies, USA). cDNA was prepared with a High Capacity cDNA RT kit (Ambion, USA) for quantitative real-time (qRT)-PCR. qRT-PCR was carried out using the SYBR green PCR master mix (Bio-Rad, USA) with the appropriate primers on a StepOnePlus™ Real Time System (Applied Biosystems, USA). The specificity of each primer pair was confirmed by using melting curve analysis. The housekeeping *β-actin* gene was amplified in parallel with the *EGFR* gene. Relative copy numbers, compared to the *β-actin* gene, were calculated using  $2^{-\Delta\Delta Ct}$ . The primer sequences used were as follows: 5'-AGGACTGGGCAATCTGTTGGA-3' and 5'-GAAGATCGAAGACCTGGTGCTGTAA-3' (*EGFR*); 5'-GATCTGGCACCCACACACCTTCT-3' and 5'-GGGGTGTGTAAGGTCTCAA-3' (*β-actin*).

### Western blot analysis and antibodies

Liver tissues and cells were homogenized in Nonidet P40 lysis buffer (1% NP40, 50 mM Tris-HCl pH 7.5, 150 mM NaCl, 0.05% SDS, 0.5 mM Na-vanadate, 100 mM NaF, 50 mM β-glycerophosphate, 1 mM PMSF) that was supplemented with Halt Protease Inhibitor Cocktail (Thermo Fisher Scientific). The homogenates were centrifuged at 12,000 g for 15 min at 4°C, and the supernatants were collected. The liver lysates or cell lysates were used for Western

blotting, as described [37]. The following were purchased from Sigma-Aldrich:  $\alpha$ -Tubulin (T5168),  $\beta$ -Actin (A5441), Flag (F1804). The following were purchased from Santa Cruz Biotechnology: eIF2 $\alpha$  (SC-133132), STAT3 (SC-482), STAT5 (SC-835). The following were purchased from Cell Signaling Technology: Phospho-EGFR (Y1068) (#3777), AKT (#4691), Phospho-AKT (S473) (#4060), ERK (#4695), Phospho-ERK (T202/Y204) (#4407), Phospho-STAT3 (Y705) (#4113), Phospho-STAT5 (Y694) (#9314). The following were purchased from Merck Milipore: EGFR (06-847). The following were purchased from Abcam: P-eIF2 $\alpha$  (S31) (ab32157). The secondary peroxidase-conjugated antibodies were purchased from Thermo Fisher Scientific or Jackson ImmunoResearch.

## **Chemicals**

Dichlorodihydrofluorescein diacetate (DCF-DA) was purchased from Thermo Fisher Scientific. The following were purchased from Sigma-Aldrich: crystal violet, paraformaldehyde, polybrene (Hexadimethrine bromide), hydrogen peroxide, menadione, propidium iodide (PI), Hoechst 33258, 4',6-diamidino-2-phenylindole (DAPI), dihydroethidine hydrochloride (DHE), and N-acetyl-L-cysteine (NAC).

## **Preparation of primary hepatocytes**

The primary mouse hepatocytes were obtained as has been described previously [37]. The isolated primary hepatocytes were inoculated on collagen-coated plates with/without round coverslips ( $5 \times 10^5$  cells/well in 6-well plates), and cultured in high glucose-DMEM (WeiGENE) medium containing 10% FBS (WeiGENE), and 1% penicillin/ streptomycin (WeiGENE). The medium was replaced with FBS-free DMEM media 2 h after plating. The hepatocytes were incubated for another 12 h before the experimental treatment.

## **Cell lines and Cell culture**

Immortalized embryonic hepatocytes were cultured in Medium 199 (WeiGENE, Korea) that was supplemented with 10% fetal bovine serum (WeiGENE) and 1% penicillin-streptomycin (WeiGENE), as previously described [38]. AML12 mouse normal hepatocytes were obtained from the American Type Culture Collection (ATCC). The cells were cultured in DMEM/F12 (WeiGENE) that was supplemented with 10% fetal bovine serum (WeiGENE), 100 nM dexamethason (Sigma-Aldrich), 1% insulin-transferrin-selenium-pyruvate supplement (ITSP,

WeiGENE), and 1% penicillin-streptomycin (WeiGENE). The Lenti-X™ 293T Cell Line (CLONTECH, USA) was cultured in DMEM (WeiGENE) containing 10% fetal bovine serum (WeiGENE) and 1% penicillin-streptomycin (WeiGENE).

### **Generation of *EGFR* knockdown cell lines**

The five MISSION shRNA clones of mouse *EGFR* (NM\_007912.1; a protein of 1210 amino acids) that were inserted into the pLKO.1 vector (TRCN0000023479 to TRCN0000023483) were purchased from Sigma-Aldrich. A negative control (NC) shRNA sequence that was cloned into the pLKO.1 vector was included as a control. Each pLKO.1 shRNA construct was cotransfected with the Lenti-X™ Packaging Single Shots (VSV-G) (CLONTECH) into the Lenti-X™ 293T cells. The lentiviral particles were produced according to the manufacturer's instructions. The immortalized embryonic hepatocytes and AML12 cells were infected with recombinant lentiviruses in the presence of polybrene (8.0 µg/ml) for 2 days. Next, the hepatocytes were cultured with fresh complete media containing puromycin (1.0 µg/ml for hepatocyte and 0.75 µg/ml for AML12) for 3–4 weeks to select for *EGFR* knockdown cells. The *EGFR* expression level was routinely tested by using Western blot. I chose immortalized embryonic hepatocytes that stably expressed the *EGFR* shRNA targeting the sequence GCTTTCGAGAACCTAGAAATA (TRCN0000023483, referred to as sh*EGFR*-83), or AML12 cells that stably expressed the *EGFR* shRNA targeting the sequence CCTGTCCAACCTATGGGACAAA (TRCN0000023481, referred to as sh*EGFR*-81) for further experiments.

### **Production of recombinant adenovirus expressing mouse *EGFR*-Flag**

The C-terminal Flag tagged mouse epidermal growth factor receptor (m*EGFR*-Flag) expression vector pCMV3-m*EGFR*-Flag was purchased from Sino Biological. The pShuttle-CMV-m*EGFR*-Flag vector was then constructed by inserting the cDNA fragment encoding m*EGFR*-Flag from the pCMV3-m*EGFR*-Flag treated with *Bgl II*-*Fill in*-*Not I* into the pShuttle-CMV treated with *Hind III*-*Fill in*-*Not I*. The AdEasy vector system was used to generate the m*EGFR*-Flag expressing recombinant adenovirus according to the manufacturer's instructions (Agilent Technologies, USA). In brief, the shuttle vector was then electrophoresed into BJ5183 cells that contained the adenoviral vector Adeasy to generate a recombinant adenoviral plasmid. The recombinants were amplified in HEK-293A cells and purified by CsCl (Sigma-Aldrich) gradient centrifugation. Viral preparations were collected and desalted, and

titers were determined by using the AdEasy Viral Titer Kit (Agilent Technologies) according to the manufacturer's instructions. The efficiency of adenoviral infection was assessed by immunofluorescence detection of the C-terminal Flag tag of mEGFR.

### **Cell viability and death analysis**

Cell viability analysis by using crystal violet was performed as has been previously described with slight modifications [39]. In brief, the drug-treated cells were washed twice with cold PBS. The cells were stained with crystal violet solution (0.625 g of crystal violet dissolved in a solution containing 50 ml of 37% paraformaldehyde and 450 ml of methanol) for 4 min at room temperature. The stained cells were washed three times in tap water, and the plates were allowed to dry. Microscopic images of the stained cells were taken with a Primovert inverted microscope (Zeiss) equipped with an AxioCam ERc 5s camera. The cells were then lysed with 1% SDS solution, and dye uptake was measured at 550 nm by using a microplate reader (SpectraMax iD3, Molecular Devices, USA).

Cell death analysis using propidium iodide (PI) and Hoechst 33258 were performed as previously described [37]. The drug-treated cells on the coverslips were double-stained with PI (1  $\mu\text{g}/\text{ml}$ ) and Hoechst 33258 (1  $\mu\text{g}/\text{ml}$ ), and fixed with 3.5% (w/v) paraformaldehyde at room temperature for 15 min. The coverslips were then mounted on glass slides for observation under fluorescence microscopy (Olympus microscope). At least 500 cells were counted. A quantitation of dead cells (apoptotic and necrotic cells) was expressed as a percentage of total cells counted.

### **Microscopy and image analysis**

Intracellular ROS levels were measured by using confocal microscopy using the fluorescent probes DHE or DCF-DA, as described previously [37]. The drug-treated primary hepatocytes and immortalized hepatocytes were plated on collagen coated 35 mm coverglass bottom dishes (SPL, Korea) and cultured overnight. The next day, the cells were treated with the indicated chemicals. After treatment, the cells were stained with DHE (15  $\mu\text{M}$ ) or DCF-DA (15  $\mu\text{M}$ ) in phenol red-free culture medium for 30 min. Fluorescence images of the living cells were obtained using an FV1200-OSR confocal laser microscope (Olympus, Tokyo, Japan).

For the immunofluorescence detection of mEGFR-Flag proteins, immortalized embryonic hepatocytes ( $2 \times 10^5$ ) were plated on 6-well plates coated with 0.01% collagen in PBS and cultured overnight. The next day, the cells were infected with adenovirus encoding mEGFR-Flag (Ad-mEGFR-Flag) at a multiplicity of infection of 100 for 12 hrs. The cells were

then fixed with 4% paraformaldehyde in PBS for 15 min, and permeabilized with 0.1% Triton X-100 in PBS for 4 min. The cells were blocked with 3% bovine serum albumin in PBS for 30 min, and incubated with the indicated primary antibody overnight at 4°C. The cells were further incubated with a Tetramethylrhodamine (TRITC)-conjugated secondary antibodies at room temperature for 1 h. The nuclei were stained with DAPI (Sigma-Aldrich). Finally, the cells were observed by confocal laser microscopy by using an FV1200-OSR microscope. The scale bars ( $\mu\text{m}$ ) have been inserted into the microscopic images.

### **Statistical analysis**

Experiments were repeated three times in each case. The data were analyzed using GraphPad Prism 5 (GraphPad Software, Inc, USA). Unpaired 2-tailed Student's *t*-tests were performed to determine the statistical significance of the paired samples.  $P < 0.05$  was considered statistically significant. Error bars represent the standard error of the mean (SEM) in every case.

## Results and Discussion

### **The hepatocyte-specific eIF2 $\alpha$ phosphorylation-deficient mouse model has reduced EGFR expression and altered EGF/EGFR-mediated signaling pathways**

The mRNA levels of EGFR (or the ErbB1 receptor) were diminished to ~40% in the liver tissues of hepatocyte-specific eIF2 $\alpha$  phosphorylation (P-eIF2 $\alpha$ )-deficient mice (Fig. 1A). Similarly, the levels of the EGFR (~60%) and phosphorylated EGFR (P<sup>Y1068</sup>-EGFR, ~30%) proteins were significantly reduced in P-eIF2 $\alpha$  deficient liver tissues (Figs. 1B and 1C).

In order to examine the effect of decreased EGFR in intact livers, starved control and *A/A<sup>Hep</sup>* mice were injected with either saline or recombinant EGF. Five minutes after this injection, the livers were harvested from the saline- and EGF-treated animals. I analyzed the EGF/EGFR signaling pathways using Western blots with total liver tissue lysates. In agreement with previous studies done in cell culture [40-42] and animal livers [43-45], starvation abolished phosphorylation of the following targets in the liver tissues of all saline-treated animals: EGFR at Tyr1068; the EGF/EGFR-downstream targets AKT at Ser473; STAT3 at Tyr705; STAT5 at Tyr694; and ERK at Thr202/Tyr204 (Figs. 2A~2E). However, the EGF treatments rapidly induced EGFR phosphorylation in the livers of both starved *control* and *A/A<sup>Hep</sup>* mice. However, the EGFR phosphorylation levels of *A/A<sup>Hep</sup>* livers were significantly lower than were those in the control livers. This difference is explained by a reduced level of EGFR protein in *A/A<sup>Hep</sup>* livers compared to that in the control livers (left graph in Fig. 2A). With the exception of ERK phosphorylation (Fig. 2E), the phosphorylation levels of AKT, STAT3, and STAT5 were also significantly lower in *A/A<sup>Hep</sup>* livers than they were in control livers (Figs. 2B~2D). These data suggest that eIF2 $\alpha$  phosphorylation may be required to keep the EGFR expression level normal, and to maintain activity of the EGF-dependent EGFR signaling pathways in hepatocytes.

## **eIF2 $\alpha$ phosphorylation-deficient primary hepatocytes are susceptible to oxidative stress**

Several groups have reported that EGFR can be activated by oxidative stress [8-13, 18] (Fig.3). In contrast, the inhibition or genetic disruption of EGFR is involved in induction of oxidative stress and cytotoxicity *in vitro* and *in vivo* [46, 47]. Previous report suggests that eIF2 $\alpha$  phosphorylation protects hepatocytes from oxidative stress [37]. Therefore, I measured the ROS-scavenging activity and oxidative stress-mediated cell death in P-eIF2 $\alpha$ -deficient primary hepatocytes. I compared these features to those of control hepatocytes. There was no significant difference between the *Cont.* and *A/A<sup>Hep</sup>* hepatocytes (under mock conditions) with regard to the microscopic evaluation of dihydroethidium (DHE) fluorescence in the detection of superoxides (Fig. 4A). However, when accumulated ROS levels were compared in menadione (Men) or hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>)-treated conditions, the DHE fluorescence intensities were significantly higher in the *A/A<sup>Hep</sup>* hepatocytes than they were in the *Cont.* although treatment with antioxidant N-acetylcysteine (NAC) removed the difference (Fig. 4A), suggesting that P-eIF2 $\alpha$ -deficient primary hepatocytes have reduced ROS-scavenging activity than do control hepatocytes. I next investigated the influence of eIF2 $\alpha$  phosphorylation on Menadione or H<sub>2</sub>O<sub>2</sub>-induced cell death. Menadione or H<sub>2</sub>O<sub>2</sub> treatment reduced the number of crystal violet stained cells in a dose-dependent manner (Fig. 4B). However, menadione or H<sub>2</sub>O<sub>2</sub> treatment caused larger reductions in the cell viability of eIF2 $\alpha$  phosphorylation-deficient *A/A<sup>Hep</sup>* hepatocytes than it did in control cells (Fig. 4B). Cell death analysis was performed using the Hoechst 33258/propidium iodide (PI) staining procedure. The *A/A<sup>Hep</sup>* hepatocytes also demonstrated more cell death than did the control hepatocytes in menadione or H<sub>2</sub>O<sub>2</sub> treated conditions (Fig. 4C). Therefore, eIF2 $\alpha$  phosphorylation-deficient primary hepatocytes were more susceptible to oxidative stress (than were control cells) because of reduced ROS-scavenging activity.

## **EGFR knockdown reduces ROS-scavenging activity and increases vulnerability to oxidative stress**

I previously showed that vulnerability to oxidative stress in *A/A<sup>Hep</sup>* primary hepatocytes is related to decreasing EGFR protein levels. Therefore, I knocked down the EGFR gene in both immortalized mouse embryonic hepatocyte cell lines [37, 38] (Fig.6), and in the mouse hepatocyte cell line AML12 (Fig.7). I then assessed these cells with regard to their ROS-scavenging activity and ROS-mediated cell death. First, I observed the concentrations and time-dependent changes of EGFR and eIF2 $\alpha$  phosphorylation in both cell lines (Fig.3). With regard to menadione and H<sub>2</sub>O<sub>2</sub> treatments in immortalized hepatocytes, the activation of eIF2 $\alpha$  phosphorylation was rapid and persistent in all tested conditions (of differing concentrations and times) (Fig. 3A and 3C). However, the induction of eIF2 $\alpha$  phosphorylation in the drug-treated AML12 cells was observed after 2 hours of treatment, but not at any other time points (Fig. 3B and 3D). The EGFR phosphorylation gradually increased in a concentration- and time-dependent manner during drug treatment (Fig. 3A~3C), except for the time-dependent treatments in AML12 cells (Fig. 3D). Similar to eIF2 $\alpha$  phosphorylation, the EGFR phosphorylation was the highest after 2 hours of treatment. After this, the EGFR phosphorylation progressively decreased at increased treatment times in AML12 cells (Fig. 3D). Therefore, these results indicate that oxidative stressors induce EGFR and eIF2 $\alpha$  phosphorylation in a similar concentration- and time-dependent manner. However, there is no evidence that eIF2 $\alpha$  phosphorylation regulates that of EGFR yet.

Next, in order to examine whether the level of EGFR expression can affect a cell's antioxidant capacity, I established EGFR knockdown cell lines. These knockdown cell lines were created by transducing EGFR targeting shRNA-expressing lentiviruses and following puromycin selection in both immortalized mouse embryonic hepatocytes (Fig. 6A) and AML12 cells (Fig. 7A). Among the established EGFR knockdown cell lines, the cell lines with the lowest expression of EGFR protein were chosen for further experiments. These included the

shEGFR-83 cell line for immortalized hepatocytes (Fig. 6A) and the shEGFR-81 cell line for AML12 cells (Fig. 7A). In order to assess the ROS-scavenging activity of the EGFR knockdown cell lines, the levels of accumulated ROS were compared to the fluorescence intensity of an oxidant-sensing probe DCF-DA in H<sub>2</sub>O<sub>2</sub>-treated shNC control or shEGFR-83 cell lines. Under H<sub>2</sub>O<sub>2</sub>-treated conditions, the fluorescence intensity of shEGFR-83 cells was significantly higher than that of shNC control cells (Fig. 6B). However, there was no significant difference in the fluorescence intensity between them before H<sub>2</sub>O<sub>2</sub> treatment or after H<sub>2</sub>O<sub>2</sub> and N-acetyl-L-cysteine (NAC) co-treatment. In addition, the fluorescent microscopic examination of menadione-treated cells (using a superoxide indicator dihydroethidium (DHE)) revealed that DHE fluorescence in the EGFR knockdown cells (shEGFR) was stronger than that in shNC control cells (Fig. 6C). These results indicate that EGFR protein levels are important to maintain a cell's hydrogen peroxide- or superoxide-scavenging activity.

Having observed that EGFR knockdown cells have reduced ROS-scavenging activity, I next examined whether knockdown of EGFR increases a cell's vulnerability to oxidative stress. To do so, I applied the crystal violet assay and Hoechst 33258/propidium iodide (PI) staining analysis to compare cell death between EGFR knockdown cells and controls (Fig. 6D and 6E, Fig. 4A and 4B, and Fig. 7). As expected, the crystal violet assay showed that the shEGFR-83 cells had poorer cell viability than did shNC control cells under menadione or H<sub>2</sub>O<sub>2</sub> treatment although the cell viability of shNC control cells was also reduced in a dose-dependent manner in these treatments. However, the levels in the shEGFR-83 cells were similar to those in shNC control cells in NAC-treated conditions. The cell death analysis (using the Hoechst 33258/propidium iodide (PI) staining procedure) also revealed that shEGFR-83 cells had a higher rate of cell death than did the shNC control cells in menadione or H<sub>2</sub>O<sub>2</sub> treated conditions (Fig. 6E). However, the levels in the shEGFR-83 cells were similar to those in shNC control cells in NAC-treated conditions. I obtained similar results in the shEGFR-81 cell line of AML12 cells (Fig. 7B and 7C). The shEGFR-81 cells were more susceptible to

menadione- or H<sub>2</sub>O<sub>2</sub>-mediated oxidative stress than were shNC control cells. These cell death analyses suggested that the EGFR protein is important to alleviate oxidative stress-mediated cell death. Collectively, those experiments suggest that attenuated EGFR expression reduces cellular ROS-scavenging activity, which then increases cells' vulnerability to oxidative stress.

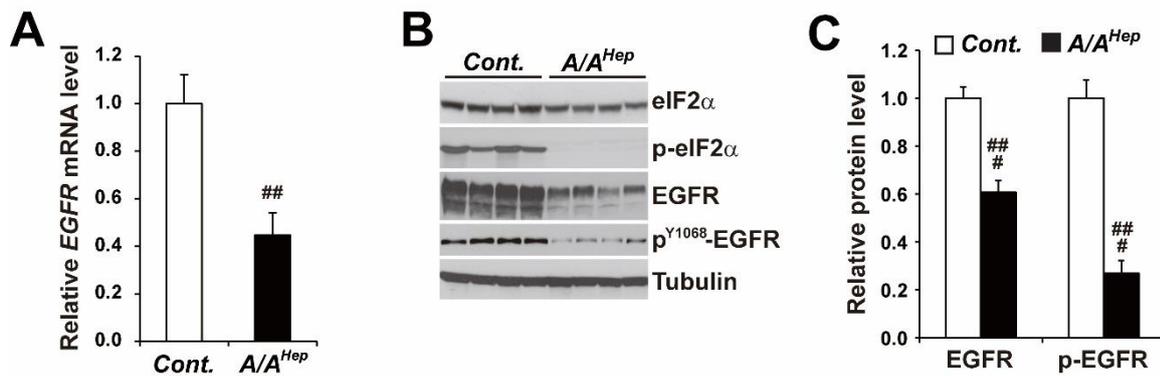
### **EGFR overexpression increases ROS-scavenging activity and alleviates ROS-mediated cell death in eIF2 $\alpha$ phosphorylation-deficient primary hepatocyte**

I next investigated whether transient enforced expression of EGFR in p-eIF2 $\alpha$  deficient primary hepatocytes can increase cellular ROS-scavenging activity and therefore reduce their sensitivity to oxidative stress. Primary hepatocytes purified from livers of hepatocyte specific p-eIF2 $\alpha$  deficient (*A/A<sup>Hep</sup>*) mice were infected with C-terminal Flag-tagged EGFR expressing recombinant adenovirus (Ad-EGFR-Flag) or control adenovirus (Ad-Vec). As expected, immunofluorescence analysis against anti-Flag antibody demonstrated that EGFR-Flag proteins were expressed in almost all *A/A<sup>Hep</sup>* primary hepatocytes infected by Ad-EGFR-Flag, but not in those infected by Ad-Vec (Fig. 9A). Therefore, Western blot analysis showed that the total amount of EGFR proteins was strongly higher in the Ad-EGFR-Flag infected cell lysates than it was in the Ad-Vec infected cell lysates (Fig. 9B). I next examined whether the enforced expression of EGFR can upregulate cellular ROS-scavenging activity in p-eIF2 $\alpha$  deficient (*A/A<sup>Hep</sup>*) primary hepatocytes. Microscopic observation of dihydroethidium (DHE) fluorescence revealed that there was no significant difference in the fluorescence intensity between Ad-Vec infected hepatocytes and Ad-EGFR-Flag infected hepatocytes under mock conditions (Fig. 9C). However, when the accumulated ROS levels were compared in menadione (Men) or hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>)-treated conditions, the DHE fluorescence intensities were significantly lower in the Ad-EGFR-Flag infected hepatocytes than in the Ad-Vec infected hepatocytes. The levels in the Ad-EGFR-Flag infected hepatocytes were similar to those in NAC-treated hepatocytes (Fig. 9C). These findings suggest that the enforced

expression of EGFR potentiates cellular ROS-scavenging activity of p-eIF2 $\alpha$  deficient ( $A/A^{Hep}$ ) primary hepatocytes. In line with these results, the enforced expression of EGFR increased cell viability in menadione (Men) or hydrogen peroxide ( $H_2O_2$ )-treated  $A/A^{Hep}$  primary hepatocytes (Fig. 9D and Fig. 8). Moreover, the EGFR-Flag expressing  $A/A^{Hep}$  primary hepatocytes demonstrated less cell death than did the  $A/A^{Hep}$  primary hepatocytes under oxidative stress conditions (Fig. 9E). Based on these observations, I conclude that EGFR proteins are required to maintain ROS-scavenging activity in hepatocytes. These proteins are also important to attenuate oxidative stress-mediated cell death.

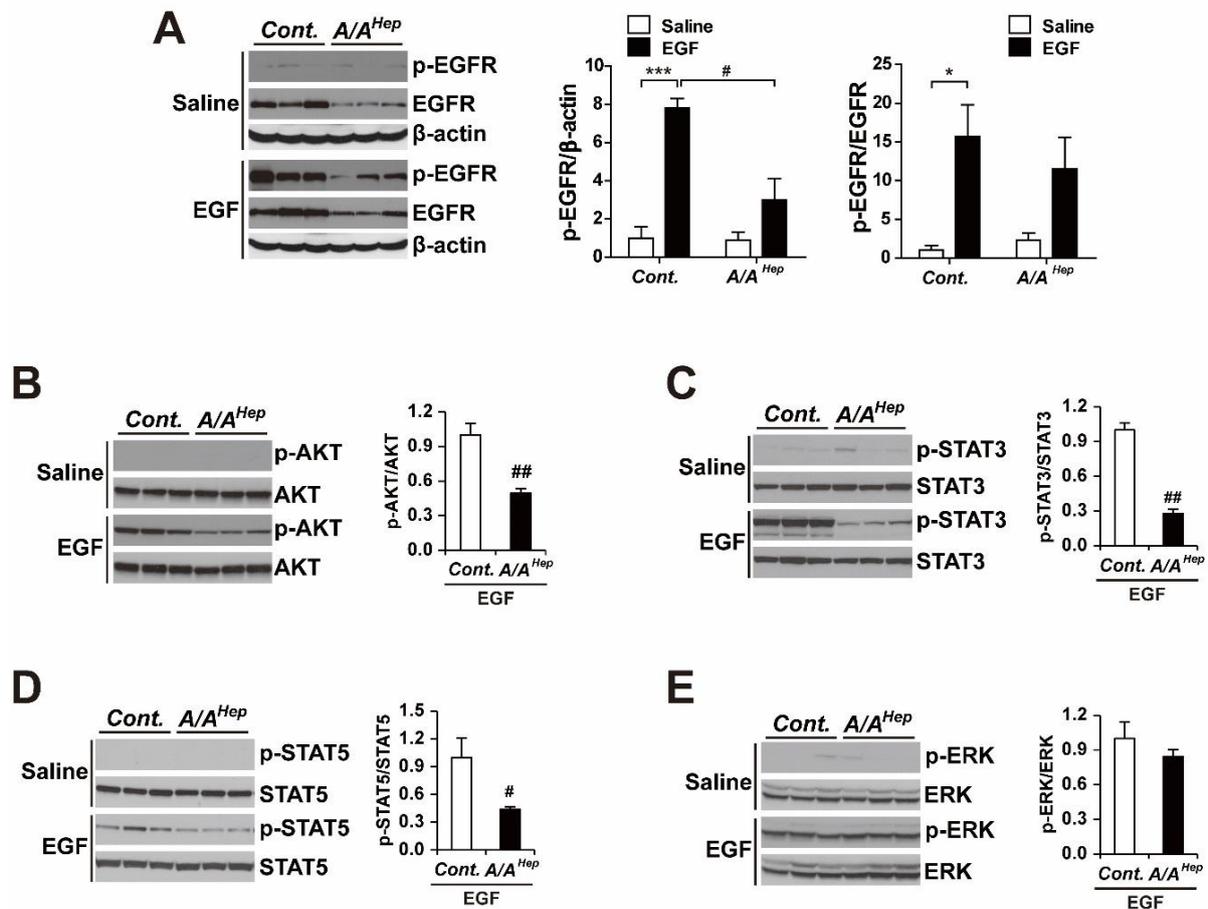
In this study, I showed that hepatocyte-specific eIF2 $\alpha$  phosphorylation-deficient mice have significantly reduced expression of the EGF receptor (EGFR) and altered EGFR-mediated signaling pathways. Moreover, the eIF2 $\alpha$  phosphorylation-deficiency or reduced expression of the EGFR decreased ROS-scavenging activity and increased ROS-mediated cell death in hepatocytes. However, the drawbacks were alleviated by enforced expression of EGFR in p-eIF2 $\alpha$  deficient primary hepatocytes. Therefore, I concluded that reduced EGFR level in eIF2 $\alpha$  phosphorylation-deficient hepatocytes is one of critical factors responsible for a cell's susceptibility to oxidative stress. Furthermore, vulnerability to oxidative stress in hepatocytes that have reduced EGFR level or EGFR activity might be the underlying mechanism of hepatotoxicity induced by several EGFR inhibitors (e.g., erlotinib, lapatinib, and gefitinib) used for the treatment of non-small cell lung cancer and pancreatic cancer [48, 49], since most EGFR inhibitors undergo intense metabolism by cytochrome P450 enzymes in hepatocytes [50, 51] which produces reactive metabolites [52]. However, further studies are required to verify whether the hepatotoxicity is related to oxidative stress susceptibility in EGFR inhibitor-treated hepatocytes.

## Figures



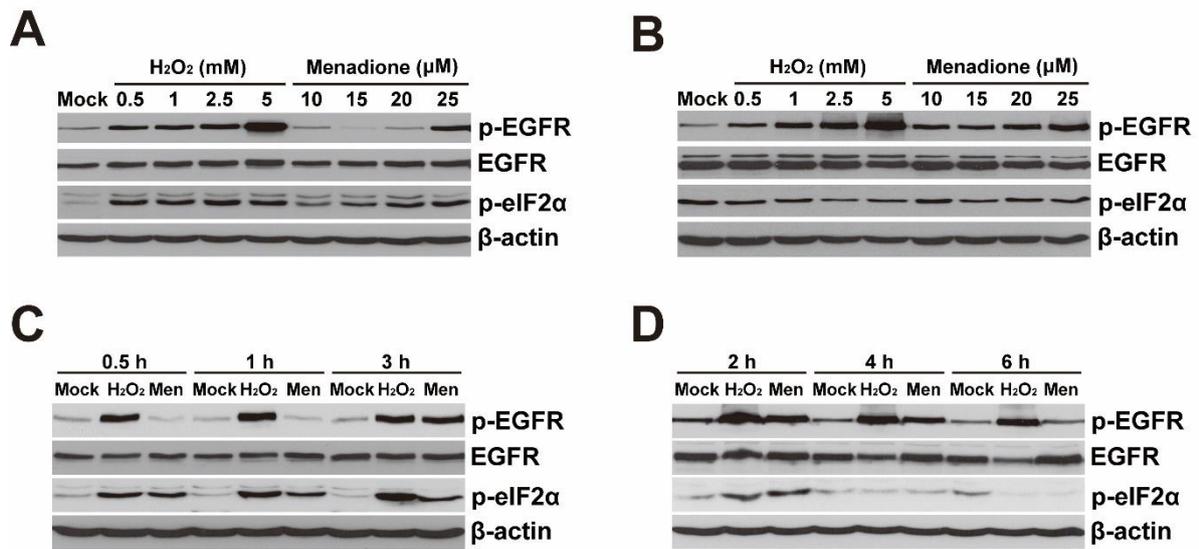
**Figure 1. Expression levels of EGFR mRNA and protein are reduced in liver tissues of hepatocyte-specific eIF2 $\alpha$  phosphorylation-deficient mice.**

(A) Quantitative real-time PCR analysis of the expression of *Egfr* mRNAs in liver tissues from 3-month-old *Cont.* and  $A/A^{Hep}$  mice. Data are expressed as means  $\pm$  SEM (n = 6 mice per group); <sup>##</sup>p < 0.01; *Cont.* vs  $A/A^{Hep}$ . (B) Western blot analysis of eIF2 $\alpha$ , p-eIF2 $\alpha$ , EGFR, p-EGFR, and tubulin in liver tissues from 3-month-old *Cont.* and  $A/A^{Hep}$  mice. The efficiency of deletion of *floxed eIF2 $\alpha$  fTg* by Cre recombinase in  $A/A^{Hep}$  livers was determined based on the existence of phosphorylated eIF2 $\alpha$  proteins [37]. (C) Densitometric quantification of EGFR and p-EGFR protein expression levels in (B). Values were normalized against tubulin levels. Data are expressed as means  $\pm$  SEM (n = 4 mice per group); <sup>###</sup>p < 0.001; *Cont.* vs  $A/A^{Hep}$ .



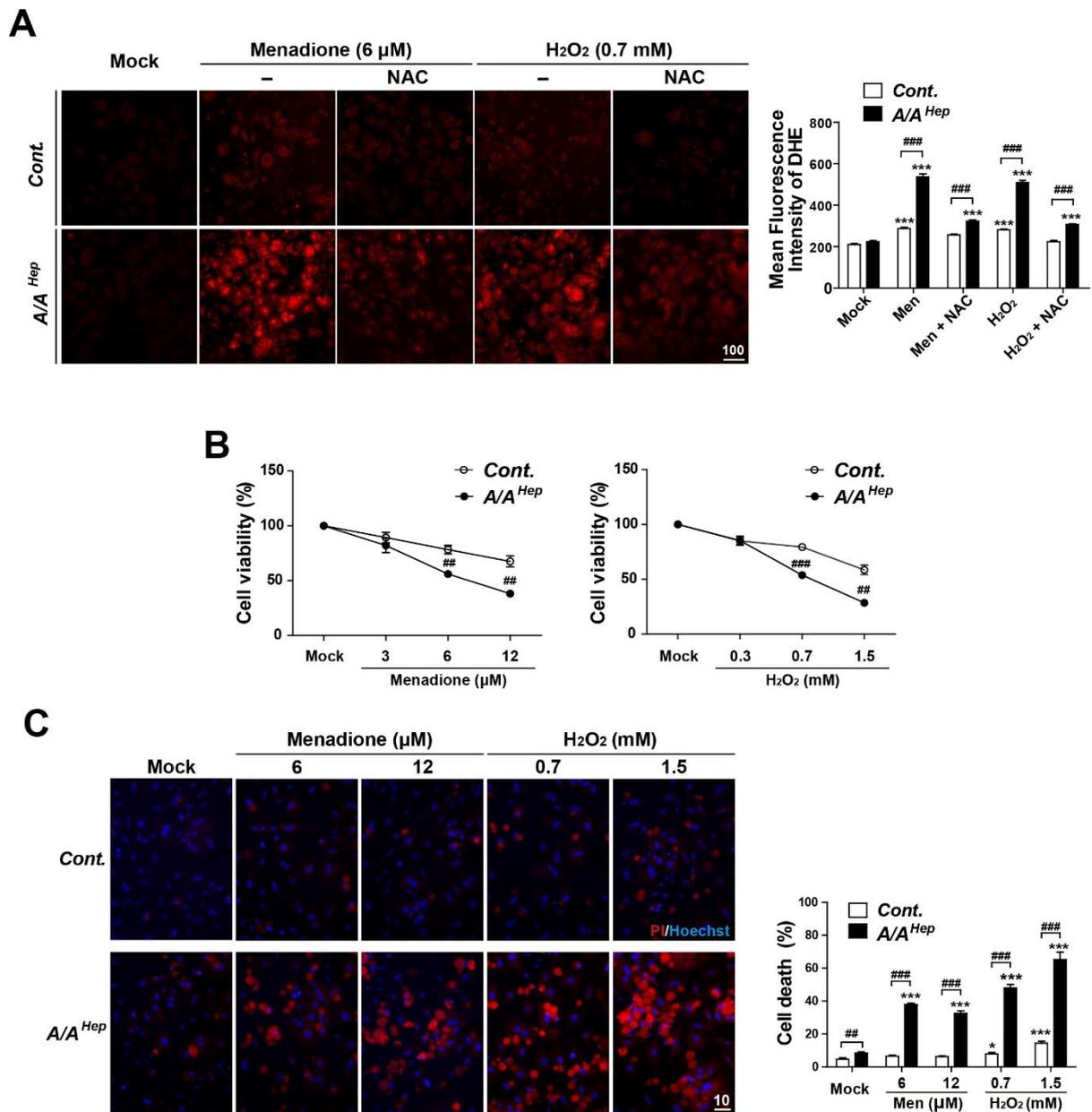
**Figure 2. EGFR signaling pathways are altered in liver tissues of hepatocyte-specific eIF2 $\alpha$  phosphorylation-deficient mice.**

For EGFR signaling pathway analysis, fasted and anesthetized *Cont.* and *A/A<sup>Hep</sup>* mice were administered saline or recombinant murine EGF (0.5  $\mu$ g/g) via the vena cava. The livers were removed five minutes after this injection. The liver lysates were subjected to SDS-PAGE, followed by immunoblot analyses using antibodies specific for p-EGFR, EGFR, p-eIF2 $\alpha$ , eIF2 $\alpha$  and  $\beta$ -actin in (A), p-AKT and AKT in (B), p-STAT3 and STAT3 in (C), p-STAT5 and STAT5 in (D), and p-ERK and ERK in (E). The ratios of p-EGFR/  $\beta$ -actin and p-EGFR/EGFR in (A), p-AKT/AKT in (B), p-STAT3/STAT3 in (C), p-STAT5/STAT5 in (D), and p-ERK/ERK in (E) were densitometrically quantified. Data are expressed as means  $\pm$  SEM (n = 3 mice per group); \*p < 0.05 and \*\*\*p < 0.001; Saline vs EGF and #p < 0.05 and ##p < 0.001; *Cont.* vs *A/A<sup>Hep</sup>*.



**Figure 3. Concentration and time-dependent change of EGFR and eIF2α phosphorylation against reactive oxygen species.**

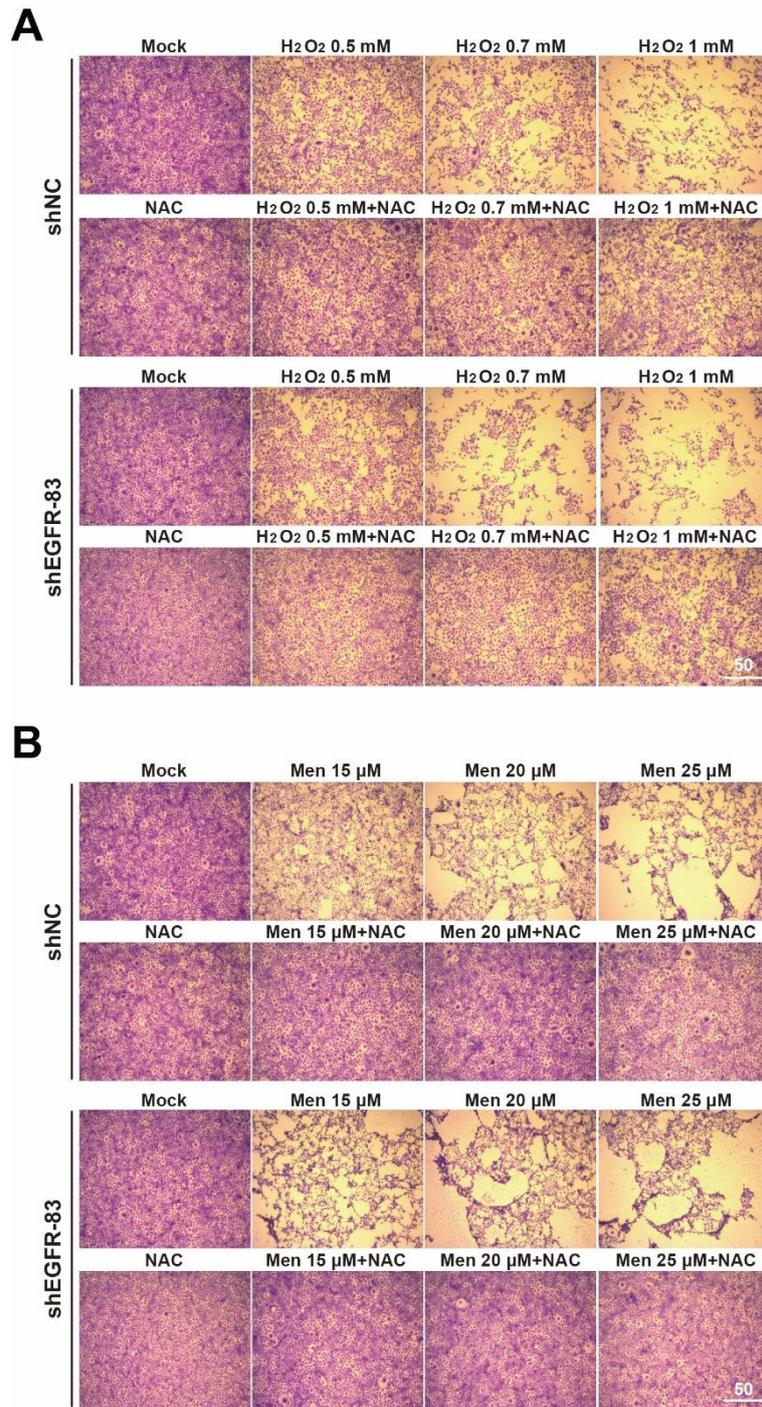
(A, B) Immortalized embryonic hepatocytes (A) and AML12 cells (B) were treated with hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) or menadione at indicated concentration for 6 hrs. (C, D) The immortalized embryonic hepatocytes (C) and AML12 cells (D) were treated with hydrogen peroxide (2.5 mM) or menadione (20 μM) for the indicated times. The cell lysates were subjected to SDS-PAGE, followed by immunoblot analyses using antibodies specific for p-EGFR, EGFR, p-eIF2α, eIF2α, and β-actin.



**Figure 4. eIF2 $\alpha$  phosphorylation-deficient primary hepatocyte has reduced ROS-scavenging activity, which may increase vulnerability to oxidative stress.**

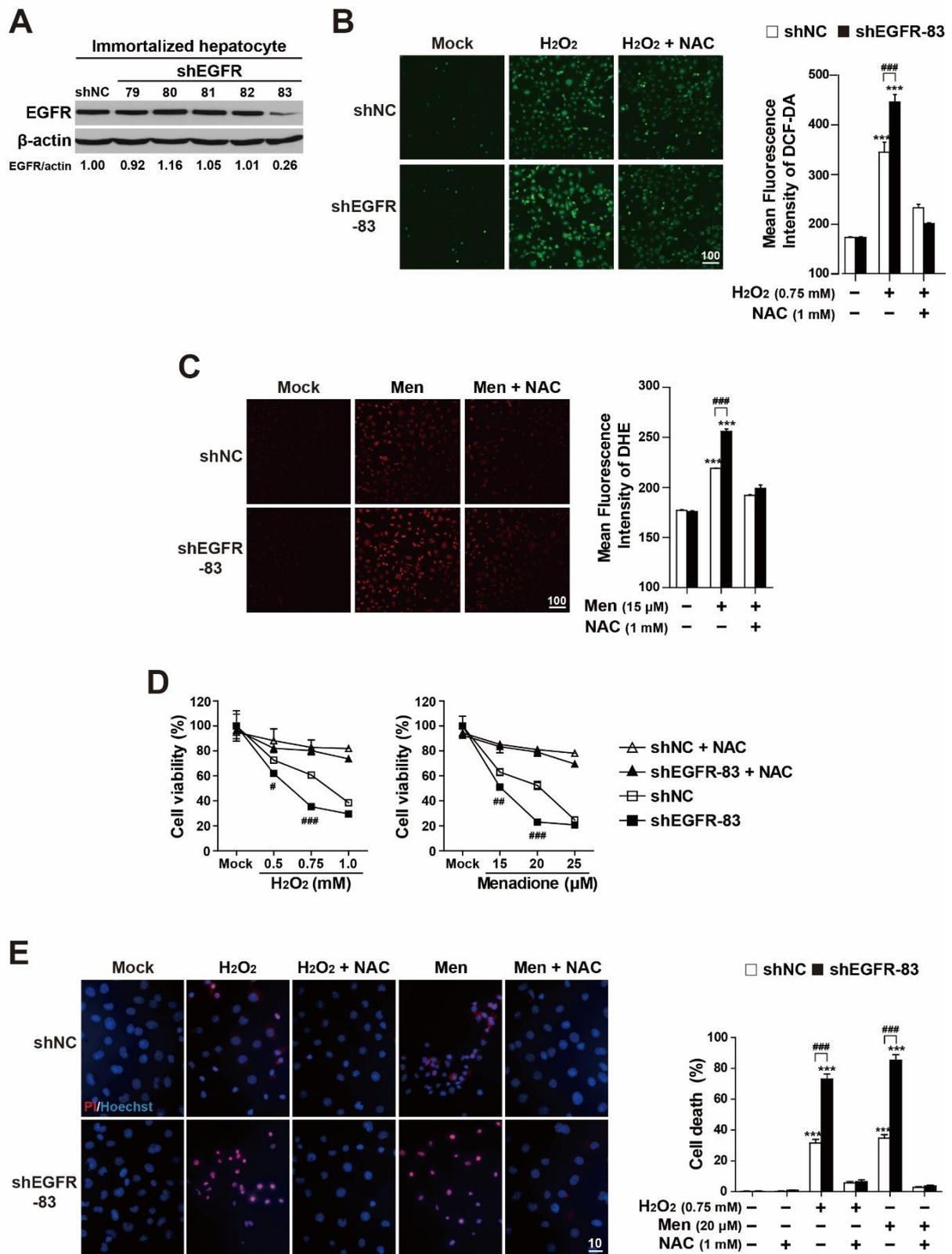
(A) Primary hepatocytes isolated from *Cont.* and *A/A<sup>Hep</sup>* mice were treated with menadione (6  $\mu$ M), menadione (6  $\mu$ M) plus N-acetylcysteine (NAC, 1 mM), hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>, 0.7 mM), or hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>, 0.7 mM) plus N-acetylcysteine (NAC, 1 mM) for 16 hrs. Accumulated intracellular ROS were observed by fluorescence microscopy of cells stained with dihydroethidium (DHE), reflecting ROS levels. The representative images are shown (scale bar = 100  $\mu$ m). For graphs, the mean fluorescence intensities (MFI) of DHE staining were measured using image analysis software. The data are expressed as means  $\pm$  SEMs of

three independent experiments. \*\*\* $p < 0.001$ ; Mock vs Chemicals in the same genotype, ### $p < 0.001$ ; *Cont.* vs *A/A<sup>Hep</sup>*. (B) Primary hepatocytes isolated from *Cont.* and *A/A<sup>Hep</sup>* mice were treated with menadione or hydrogen peroxide ( $H_2O_2$ ) at indicated concentrations for 30 hrs. The cell viability was determined using the crystal violet assay. The data are expressed as means  $\pm$  SEMs of three independent experiments. ## $p < 0.01$  and ### $p < 0.001$ ; *Cont.* vs *A/A<sup>Hep</sup>*. (C) The primary hepatocytes isolated from *Cont.* and *A/A<sup>Hep</sup>* mice were treated with menadione or hydrogen peroxide ( $H_2O_2$ ) at indicated concentrations for 24 hrs. Cell death (apoptotic and necrotic cells) was determined by double staining with Hoechst 33258 and propidium iodide (PI). Representative images are shown (scale bar = 10  $\mu m$ ). At least 500 cells were counted. Cell death is expressed as a percentage of total cells. The data are expressed as means  $\pm$  SEMs of three independent experiments. \* $p < 0.05$  and \*\*\* $p < 0.001$ ; Mock vs Chemicals in the same genotype, ## $p < 0.01$  and ### $p < 0.001$ ; *Cont.* vs *A/A<sup>Hep</sup>*.



**Figure 5. EGFR knockdown enhances susceptibility to reactive oxygen species in immortalized embryonic hepatocytes.**

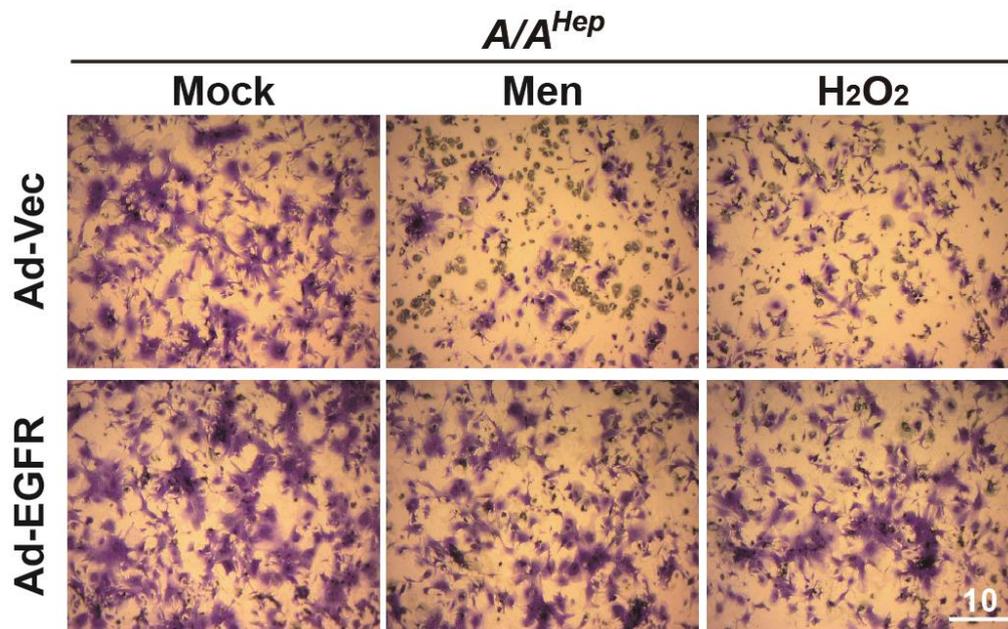
(A and B) The shNC and shEGFR-83 cell lines were treated with hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) or menadione at indicated concentrations with/without NAC (1mM) for 7 hrs. The remaining cells were stained with crystal violet staining solution (scale bar = 50 μm).



## Figure 6. EGFR depletion enhances susceptibility to reactive oxygen species.

(A) From immortalized embryonic hepatocytes stably expressing five EGFR shRNAs (79~83) or shNC, the cell lysates were subjected to SDS-PAGE, followed by immunoblot analyses using antibodies specific for EGFR and  $\beta$ -actin. For the EGFR/ $\beta$ -actin ratio, densitometry scanning was performed and quantified with NIH Image software. (B) The shNC and shEGFR-83 cell lines were treated with hydrogen peroxide ( $\text{H}_2\text{O}_2$ , 0.75 mM) or hydrogen peroxide ( $\text{H}_2\text{O}_2$ , 0.7 mM) plus N-acetylcysteine (NAC, 1 mM) for 4 hrs. (C) The shNC and shEGFR-83 cell lines were treated with menadione (6  $\mu\text{M}$ ), or menadione (15  $\mu\text{M}$ ) plus N-acetylcysteine (NAC, 1 mM) for 3 hrs. The accumulated intracellular ROS was observed by fluorescence microscopy of cells stained with DCF-DA in Fig. 4B and dihydroethidium (DHE) (C), reflecting ROS levels. Representative images are shown (scale bar = 100  $\mu\text{m}$ ). The mean fluorescence intensities (MFI) of DCF-DA or DHE staining were measured using image analysis software. The data are expressed as means  $\pm$  SEMs of three independent experiments. \*\*\* $p < 0.001$ ; Mock vs Chemicals in the same genotype, ### $p < 0.001$ ; shNC vs shEGFR. (D) The shNC and shEGFR-83 cell lines were treated with hydrogen peroxide ( $\text{H}_2\text{O}_2$ ) or menadione at indicated concentrations with/without NAC (1 mM) for 7 hrs. Cell viability was determined using the crystal violet assay. The data are expressed as means  $\pm$  SEMs of three independent experiments. # $p < 0.05$ , ## $p < 0.01$  and ### $p < 0.001$ ; shNC vs shEGFR. (E) The shNC and shEGFR-83 cell lines were treated with hydrogen peroxide ( $\text{H}_2\text{O}_2$ , 0.75 mM), menadione (15  $\mu\text{M}$ ),  $\text{H}_2\text{O}_2$  (0.75 mM) plus NAC (1 mM) or menadione (15  $\mu\text{M}$ ) plus NAC (1 mM) for 7 hrs. Cell death (apoptotic and necrotic cells) was determined by double staining with Hoechst 33258 and propidium iodide (PI). Representative images are shown (scale bar = 10  $\mu\text{m}$ ). At least 500 cells were counted, and cell death is expressed as a percentage of total cells. The data are expressed as the means  $\pm$  SEMs of three independent experiments. \*\*\* $p < 0.001$ ; Mock vs Chemicals in the same genotype, ### $p < 0.001$ ; shNC vs shEGFR.





**Figure 8. EGFR overexpression reduces the susceptibility of eIF2 $\alpha$  phosphorylation-deficient primary hepatocytes to reactive oxygen species.**

The Ad-EGFR-Flag or Ad-Vec infected *A/A<sup>Hep</sup>* primary hepatocytes were treated with menadione (6  $\mu$ M) or hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>, 0.7 mM) for 30 hours. The remaining cells were stained with crystal violet staining solution (scale bar = 10  $\mu$ m).



Ad-EGFR-Flag or Ad-Vec infected *A/A<sup>Hep</sup>* primary hepatocytes, the cell lysates were subjected to SDS-PAGE, followed by immunoblot analyses using antibodies specific for EGFR, Flag, and  $\beta$ -actin. (C) The Ad-EGFR-Flag or Ad-Vec infected *A/A<sup>Hep</sup>* primary hepatocytes were treated with menadione (6  $\mu$ M), menadione (6  $\mu$ M) plus N-acetylcysteine (NAC, 1 mM), hydrogen peroxide ( $H_2O_2$ , 0.7 mM), or hydrogen peroxide ( $H_2O_2$ , 0.7 mM) plus N-acetylcysteine (NAC, 1 mM) for 20 hrs. The accumulated intracellular ROS were observed using fluorescence microscopy of the cells stained with dihydroethidium (DHE), reflecting ROS levels. Representative images are shown (scale bar = 100  $\mu$ m). The mean fluorescence intensities (MFI) of DHE staining were measured using image analysis software. The data are expressed as the means  $\pm$  SEMs of three independent experiments. . \*\*p < 0.01 and \*\*\*p < 0.001; Mock vs Chemicals in the same genotype, ###p < 0.001; Ad-Vec vs Ad-EGFR-Flag. (D and E) The Ad-EGFR-Flag or Ad-Vec infected *A/A<sup>Hep</sup>* primary hepatocytes were treated with menadione (6  $\mu$ M) or hydrogen peroxide ( $H_2O_2$ , 0.7 mM) for 30 hours in (D) and 18 hours in (E). Cell viability was determined using the crystal violet assay. The data are expressed as means  $\pm$  SEMs of three independent experiments. Cell death (apoptotic and necrotic cells) was determined by double staining with Hoechst 33258 and propidium iodide (PI). Representative images are shown (scale bar = 20  $\mu$ m). At least 500 cells were counted, and cell death is expressed as a percentage of total cells. The data are expressed as means  $\pm$  SEMs of three independent experiments. \*p < 0.05, \*\*p < 0.01, and \*\*\*p < 0.001; Mock vs Chemicals in the same group, ##p < 0.01 and ###p < 0.001; Ad-Vec vs Ad-EGFR-Flag.

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## **CHAPTER 2**

**eIF2 $\alpha$  phosphorylation is a critical event  
for autophagosome maturation upon ER stress**

## Abstract

Endoplasmic reticulum (ER) stress caused by an accumulation of improperly folded proteins can trigger the unfolded protein response (UPR) to restore ER homeostasis. UPR-mediated restoration of ER homeostasis can be achieved by diverse signaling pathways to increase ER protein-folding capacity and reduce misfolded protein accumulation in the ER. The UPR can activate degradation systems such as the autophagy lysosome system and the ubiquitin proteasome system to remove misfolded ER proteins. In response to ER stress, the eukaryotic translation initiation factor 2 alpha subunit (eIF2 $\alpha$ ) suppresses the translation of general mRNA, to reduce the accumulation of misfolded proteins in the ER. To investigate the role of eIF2 $\alpha$  phosphorylation in autophagy induced by ER stress, I used a hepatocyte-specific eIF2 $\alpha$  phosphorylation-deficient mouse model. Upon treatment with tunicamycin, an ER stress inducer, deficiency in eIF2 $\alpha$  phosphorylation produced an abnormal ER structure and defective mitochondrial dynamics. Interestingly, the deficiency in eIF2 $\alpha$  phosphorylation downregulated the expression of autophagy genes but caused a marked accumulation of LC3, an autophagosome marker, and P62/sequestosome-1, a selective autophagy substrate, in ER-stressed hepatocytes. In addition, during ER stress, eIF2 $\alpha$  phosphorylation-deficient hepatocytes displayed an accumulation of LC3/P62-positive autophagic vesicles but decreased co-localization between LC3 and LAMP1, which suggests that eIF2 $\alpha$  phosphorylation is not necessary for autophagosome formation but is required for autophagosome maturation, including the fusion of the autophagosome with a late endosome or lysosome. eIF2 $\alpha$  phosphorylation deficiency disrupts lysosome positioning as well as lysosome functionality which can affect autophagic flux upon ER stress. Importantly, Qa-SNARE syntaxin 17 (STX17), which is required for autophagosomal fusion with lysosomes, was not co-localized with LC3-positive vesicles in eIF2 $\alpha$  phosphorylation-deficient hepatocytes during ER stress. Accordingly, at the late stage of ER stress, the mis-localization of STX17 could be responsible for blocking autophagic flux in eIF2 $\alpha$  phosphorylation-deficient

hepatocytes. Lastly, I observed that eIF2 $\alpha$  phosphorylation was responsible for maintaining the expression of lysosomal genes and autophagosome-lysosome fusion related genes, which are the target genes of the master autophagy regulators TFEB and TFE3, during ER stress. Therefore, TFEB overexpression could restore lysosome functionality and mitochondrial dynamics in eIF2 $\alpha$  phosphorylation-deficient primary hepatocytes upon ER stress. In other words, TFEB overexpression promotes autophagosome-lysosome fusion in eIF2 $\alpha$  phosphorylation-deficient primary hepatocytes upon ER stress. Collectively, these observations suggest that eIF2 $\alpha$  phosphorylation is an important event in modulating autophagy to ameliorate ER stress.

Therefore, it is possible that ER stress-mediated liver damage in the eIF2 $\alpha$  phosphorylation-deficient mouse model could be caused by the impairment of lysosomal degradation. Alternatively, modulating TFEB activity which stimulates autophagy, lysosomal and mitochondrial biogenesis, lysosomal motility, and SNARE-mediated fusion, is an attractive therapeutic avenue for diseases related to defects in the autophagy-lysosomal pathway.

## Introduction

The endoplasmic reticulum (ER) is an intracellular organelle that consists of a vast tubular network in which secretory and integral membrane proteins are synthesized and folded [1-3]. Nascent proteins are transported through the ER translocon to secretory pathways [4]. The ER is responsible for protein translocation, protein folding, and post-translational modification including disulfide bond formation and N-linked glycosylation [1]. Properly folded and modified proteins are exported to the Golgi apparatus, in which most of the post-translational modifications are completed [1,5]. Proper function of the ER is perturbed when the influx of nascent proteins exceeds the folding and processing capacity of the ER [6]. ER stress caused by an accumulation of unfolded proteins in the ER lumen can activate multiple signaling pathways, including the unfolded protein response (UPR), ER-associated protein degradation, and autophagy [7], to restore cellular homeostasis.

The UPR is triggered to increase the folding and degradation capacity of the ER [5]. The UPR is distinguished by the action of three ER resident transmembrane proteins: PERK (protein kinase RNA-like endoplasmic reticulum kinase), IRE1 $\alpha$  (inositol-requiring enzyme-1 $\alpha$ ), and ATF6 $\alpha$  (activating transcription factor 6) [1]. Activated PERK prevents the accumulation of newly synthesized unfolded proteins by attenuating their mRNA translation through the functional regulation of the eukaryotic translation initiation factor 2 complex [8]. Eukaryotic translation initiation factor 2 alpha (eIF2 $\alpha$ ) is a subunit of the trimeric eIF2 complex that mediates delivery of the initiator methionyl-tRNA to the ribosome at the initiation of translation of cytoplasmic mRNA in eukaryotic cells [9]. In mammals, eIF2 $\alpha$  is phosphorylated on residue serine 51 by the four eIF2 $\alpha$  protein kinases, PERK, GCN2, PKR, and HRI, in response to various cellular stresses [10, 11]. During ER stress, PERK-mediated phosphorylation of eIF2 $\alpha$  suppresses the translation of general mRNA. In addition, eIF2 $\alpha$  phosphorylation facilitates the selective translation of the ATF4 transcription factor, which can activate autophagy by inducing genes involved in several ATG proteins, amino acid biosynthesis, and transporters [12].

Furthermore, eIF2 $\alpha$  phosphorylation is also required to activate the ER stress sensor ATF6 $\alpha$  by facilitating its intramembrane proteolysis [13, 14] and to ensure maximal induction of spliced X-box-binding protein 1 (XBP1s) by stabilizing its mRNA [15]; XBP1s is a transcription factor that promotes the induction of UPR genes, which enhance ER processing capacity (protein folding and degradation) and alleviate cellular injury [16]. Thus, eIF2 $\alpha$  phosphorylation during cellular stress is responsible for transcriptional and translational reprogramming that protects the stressed cells.

IRE1 has a serine/threonine kinase and endoribonuclease domain. An accumulation of unfolded proteins triggers autophosphorylation of IRE1 $\alpha$ 's cytosolic kinase domain, and downstream activation of c-Jun N-terminal kinase causes Bcl-2 phosphorylation, followed by segregation of beclin-1 and activation of the phosphoinositide-3-kinase (PI3K) complex which is important for the formation of an isolation membrane (also called a phagophore) [8, 17, 18]. In addition, IRE1-mediated XBP1 mRNA splicing triggers the induction of autophagic signaling through the transcriptional regulation of beclin-1 [19, 20]. ATF6 $\alpha$  is transcription factor that contains a basic leucine zipper motif in its cytosolic domain [21]. Under resting conditions, when Golgi-localization sequences in the luminal domain of ATF6 $\alpha$  are masked by binding to the chaperone BIP, ATF6 $\alpha$  localizes at the ER [22]. However, ER stress induces the dissociation of ATF6 $\alpha$  from BIP, resulting in the translocation of ATF6 $\alpha$  to the Golgi compartment. Sequentially, the luminal domain of ATF6 $\alpha$  is cleaved by site-1 protease (S1P) and site-2 protease (S2P), and its cytoplasmic domain is released [23, 24]. The cleaved cytosolic domain of ATF6 $\alpha$  translocates into the nucleus, where it activates the transcription of target genes such as GRP78/BIP, CHOP, and XBP-1 [21]. In that way, ATF6 $\alpha$  indirectly regulates autophagy.

Acute or prolonged ER stress is causally linked to various pathologies including neurodegenerative diseases, obesity, and diabetes [25, 26]. Several studies have reported a link between UPR signaling pathways and autophagy [27]. Autophagy is a cellular catabolic process that delivers proteins and organelles to lysosomes for degradation and recycling [28].

This mechanism plays a critical role in maintaining cellular homeostasis and preserving cell viability during ER stress through the catabolic lysis of aggregated proteins, unfolded proteins, and damaged subcellular organelles [7].

The autophagy process involves sequential stages: autophagy induction, autophagosome nucleation, elongation, completion, lysosomal fusion, degradation, and recycling [7,29]. Upon autophagy induction by several stimuli, autophagy initiation starts with the activation of the ULK1/2 complex and the Class III PI3K complex [30]. This complex generates PtdIns3P at the surface of the ER membrane, which is considered a prominent membrane source for building phagophores [29, 31]. Membrane formation requires a unique subset of proteins called autophagy-related (ATG) proteins during autophagy [32]. ATG components are recruited to the pre-autophagosomal structures [33]. The ATG conjugation system consists of the ATG2-ATG18 complex, the ATG12 conjugation system, and the LC3 (mammalian ATG8)-PE conjugation system [32]. These ATG conjugation systems are important in mediating the elongation and maturation of the phagophore [34, 35]. Subsequently, LC3I is covalently linked to PE at the phagophore membrane, which facilitates the conversion of cytosolic LC3I to membrane-bound LC3II [36]. The lipidated LC3II remains anchored to the elongating phagophore, which is essential for autophagosome formation [35]. Cargo recognition occurs during phagophore elongation. Cytoplasmic constituents are sequestered by the phagophore or isolation membrane [31]. P62/SQSTM1, an autophagic receptor, has one domain to interact with the ubiquitin-labeled cargo and another domain to interact with LC3II at the phagophore membrane [29]. The phagophore continues elongating around the cargo, giving rise to the double-membrane vesicle called the autophagosome [29]. The completed autophagosomes fuse with lysosomes, producing autolysosomes that deliver the cargo into the lysosomal compartment [37].

The molecular machinery for the final step of autophagy, autolysosome formation, includes soluble N-ethylmaleimide-sensitive factor attachment protein receptors (SNAREs),

small GTPases, the HOPS (homotypic fusion and protein sorting) complex, and other tethering factors [38]. RAB GTPases and the RAB7A effector enable recruitment of the HOPS complex to the lysosome membrane [39]. Intracellular membrane fusion occurs in a specific manner such that conserved SNARE proteins are responsible for vesicle docking and membrane fusion [40]. The C-terminal tail of Qa-SNARE syntaxin17 (STX17) is required for its ER localization, and the N-terminal domain regulates its transport from the ER into the ER-Golgi intermediate compartment [41]. Upon autophagy induction, STX17 is recruited to the outer membranes of completed autophagosomes but not to isolation membranes [42]. Thus, the late recruitment of STX17 to the autophagosome can prevent premature fusion with the lysosome [42]. Interestingly, Stx17 transcript knockdown results in an accumulation of autophagosomes without catabolic lysis [42]. In SNARE-mediated membrane fusion, Qa-SNARE STX17 and Qbc-SNARE SNAP29 preform the helix-bundle on the autophagosome and then assemble a complex with lysosome-localized R-SNAREs, such as VAMP7 and VAMP8, leading to the degradation of the enclosed materials [42]. Normally, the distribution of the lysosome depends on transportation driven by microtubule motors. Lysosomes are clustered in perinuclear-enriched regions across microtubule tracks, but autophagosomes are scattered in peripheral regions of the cytoplasm [43]. Upon autophagy, autophagosomes are transported to perinuclear regions in a dynein-mediated manner that facilitates autophagosome-lysosome fusion to achieve autophagic degradation [44].

The transcription factors TFEB and TFE3 are master regulators of autophagy-lysosomal pathway genes [45]. TFEB and TFE3 directly bind the coordinated lysosomal expression and regulation (CLEAR) element in the promoter of lysosomal and autophagy-related genes [46]. Lysosomes are the cellular degradative compartment for maintaining cellular homeostasis, and endocytosis is the dynamic process that delivers intracellular materials and damaged organelles into lysosomes [47]. Importantly, mTORC1 determines the intracellular localization and activation of TFEB and TFE3. When nutrients are abundant, mTORC1 is recruited to the lysosomal surface and is activated, which promotes cellular

processes, such as protein synthesis and proliferation [46]. MTORC1 directly phosphorylates TFEB and TFE3 and leads to cytosolic retention of TFEB and TFE3 [48]. On the contrary, under autophagy induction, mTORC1 is inactivated and lysosomal calcium release via mucolipin-1 (MCOLN1) induces the activation of calcineurin, which dephosphorylates TFEB and TFE3, promoting their nuclear translocation [49]. The ability of TFEB and TFE3 to stimulate autophagy is of great importance because it reveals the transcriptional regulation of a cellular degradative pathway [45]. TFEB and TFE3 are activated in response to mitochondrial and ER stress, which suggests that they have an important role in cellular adaptations to stress [46]. Similar to mitochondrial and ER stress conditions, the nuclear translocation of TFEB and TFE3 promotes mitochondrial biogenesis by inducing the expression of PGC-1 $\alpha$  (peroxisome proliferator-activated receptor- $\gamma$  coactivator-1 $\alpha$ ) [46,50,51]. In addition, a recent report suggests that TFE3 targets include not only lysosomal and autophagy-related genes but also ATF4, which is responsible for the expression of genes involved in amino acid biosynthesis and transport and apoptosis [52-54]. Thus, TFEB and TFE3 play an important role in the cellular response to ER stress.

In this study, I demonstrate that eIF2 $\alpha$  phosphorylation is a critical contributor to the activation of three canonical UPR branches that regulate autophagy pathways during ER stress. Those observations show that eIF2 $\alpha$  phosphorylation deficiency disrupts autophagy flux by inhibiting autophagosome-lysosome fusion in response to ER stress. Furthermore, I reveal that eIF2 $\alpha$  phosphorylation regulates the autophagy-lysosome pathway by affecting the nuclear translocation of TFEB in the cellular adaptation to ER stress.

## Materials and Methods

### Reagents

Tunicamycin (Tm, MP-654380) was purchased from EMD Millipore. Bafilomycin (Baf, #11038) was purchased from Cayman chemical. Leupeptin (Leup, #1167) was purchased from Tocris Bioscience. LysoTracker-Red DND-99 (L7528), Mitotracker-Red CMXRos (M7512), and JC-1 Dye (#T3168) were purchased from Thermo Fisher Scientific. Magic Red Cathepsin B assay (#937) and Magic Red Cathepsin L assay (#941) were purchased from ImmunoChemistry Technologies.

### Antibodies

From Cell signaling Technology: ATF4 (#11815S), Flag-DYKDDDDK-Tag(#14793S), IRE1 $\alpha$  (#3294), LC3A/B (#4108S), PERK (#3192S). From Santa Cruz Biotechnology: Chop (sc-7351), Cathepsin B (sc-6293), Cathepsin D (sc-6486), Total eIF2 $\alpha$  (sc-133132), Dynactin-1 (sc-365274), Lamin A/C (sc-6215). From Sigma-Aldrich:  $\beta$ -actin (A5441), Flag(F1804), LC3B (L7543), Syntaxin 17 (HPA001204), TFE3 (HPA023881). From New east bioscience: Rab7 (#21069). From Novus Biological: Vamp7 (NBP2-32232). From Abcam: ATG5 (ab78073), phospho-eIF2 $\alpha$  (ab32157), Vamp8 (ab76021). From Abnova: P62/SQSTM1 (H00008878-M01). From Assay designs: KDEL (SPA-827). From Bethyl: TFEB (A303-673A). From Biologend: XBP1s (#619502). From Clontech: GFP (#632381), RFP (#632392). From DSHB: LAMP1 (1D4B-C), LAMP2 (ABL-93-C). From MBL: Ubiquitin (D058-3).

HRP-conjugated secondary antibodies were purchased from Thermo Fisher Scientific (anti-mouse; NCI1430KR) or Jackson ImmunoResearch. (anti-Rabbit; #111-035-003, anti-mouse; #751-036-151, anti-goat; #305-035-003, anti-Rat; #112-035-003)

### Animals

All mice were housed at  $22 \pm 2$  °C in  $55 \pm 5\%$  relative humidity with 12 h/12 h, light/dark cycles, and provided with free access to water and standard rodent chow (Purina; Cargill Inc., USA). Mice were maintained in specific pathogen-free housing conditions. All animal care and procedures were conducted in accordance with the guidelines approved from the University of Ulsan Animal Care and Use Committee (UOUACUC) (No. SHB-17-020).

Rescued homozygous *eIF2 $\alpha$  S51A* mice, ***A/A;fTg/0 mice*** were created by introducing a transgene (fTg, LoxP-meIF2 $\alpha$ -LoxP-EGFP) encoding constitutively expressing wild-type of eIF2 $\alpha$  flanked by LoxP sites into an eIF2 $\alpha$  S51A knock-in background. These mice were then bred to mice expressing CRE recombinase under the control of an albumin promoter for hepatocyte-specific transgene deletion. The transgenic construct (fTg) was designed to

coordinately induce green fluorescence protein (EGFP) expression as deletion reporter upon Cre recombinase-mediated deletion of the transgene.

***A/A;fTg/0;CreHep/0 (A/A<sup>Hep</sup>) mice*** were obtained by crossing *A/A;fTg/fTg (A/A-fTg)* mice with *S/A;Cre<sup>Hep</sup>/0 (S/A-Cre<sup>Hep</sup>)* mice. To delete a transgene in hepatocytes, heterozygous *eIF2 $\alpha$  S51A* mice carrying a hepatocyte specific Cre expressing (*Hep-Cre*) transgenic mice were bred with transgenic homozygous *eIF2 $\alpha$  S51A* mice (***A/A;fTg/fTg***). The *Hep-Cre* transgene led to a hepatocyte-specific deletion, starting from a late gestation and leading to nearly complete deletion in adult mice.

### **Animal experiments**

Tunicamycin (Tm, 1 mg/kg body weight) in 150 mM dextrose was injected intraperitoneally into mice to induce ER stress. Based on established humane endpoints, the liver tissues from the anesthetized mice were isolated at the indicated times.

For adenovirus injection, 2 to 3 -month-old female mice were used. Mice were administered by recombinant adenoviruses expressing RFP-LC3B at a dose of  $2.0 \times 10^9$  IFU /100ul per mouse. On five days after administration, the organs were collected at indicated time after intraperitoneal injection of tunicamycin (1mg/kg).

### **Generation of Recombinant Adenoviruses**

Recombinant adenoviruses expressing RFP-LC3B, hTFEB-Flag or hTFEB(S211)-Flag were generated by the AdEasy vector system according to the manufacturer's instructions (Agilent Technologies, USA). In brief, *Pme I*-digested and linearized RFP-LC3B, hTFEB-Flag or hTFEB(S211)-Flag expressing shuttle vectors were then electrophoresed into BJ5183 cells containing the adenoviral vector Adeasy to generate recombinant adenoviral plasmids respectively. The recombinants were amplified in HEK-293A cells and purified by CsCl (Sigma-Aldrich) gradient centrifugation. Viral preparations were collected and desalted, and titers were determined using the AdEasy Viral Titer Kit (Agilent Technologies) according to the manufacturer's instructions. The efficiency of adenoviral infection was assessed by immunofluorescence detection.

### **Cell culture**

Immortalized wild-type (*S/S<sup>Hep</sup>*) and *eIF2 $\alpha$  S51A* homozygous mutant (*A/A<sup>Hep</sup>*) hepatocytes were cultured in Medium 199 (WeiGENE) supplemented with 10% Fetal Bovine Serum (WeiGENE) and 1% penicillin-streptomycin (Gibco). The cells were grown in 5% CO<sub>2</sub> incubator at 37 °C.

### **Primary mouse hepatocyte isolation**

Primary mouse hepatocytes were isolated from the liver of *S/A;fTg/0 (Cont.)* and *A/A;fTg/0;CreHep/0 (A/AHep)* mice on the two-step collagenase perfusion technique.

The liver was perfused with warm perfusion buffer and digested by Collagenase IV (From Sigma Aldrich: #C5138). Isolated hepatocytes were plated on 0.01% Collagen-coated plates with/without round coverslips and cultured in Medium 199 (WelGENE) containing 10% Fetal Bovine Serum (WelGENE) and Penicillin/Streptomycin (Gibco). After 2 h of incubation, the culture medium was replaced with new FBS-free medium to remove unattached cells. After 12 h, the isolated cells were treated with indicated chemicals in fresh Medium 199 containing 10% FBS and MEM non-essential amino acids solution (Gibco).

### **Western blot analysis**

Liver tissues and cells were homogenized in Nonidet P-40 Lysis buffer (1% NP-40, 50 mM Tris-Cl pH 7.5, 150 mM NaCl, 0.05% SDS, 0.5 mM Na-vanadate, 100 mM NaF, 50 mM -glycerophosphate) which was supplemented with Halt Protease inhibitor cocktail (Thermo Fisher Scientific). The homogenates were centrifuged at 12,000 g for 15 min at 4 °C and supernatants were collected. Liver nuclear extracts were prepared by using an NE-PER™ Nuclear and cytoplasmic Extraction Kit (Thermo Fisher Scientific). The protein concentration was determined by using a BCA protein assay kit (Thermo Fisher Scientific). Cellular proteins (60 µg) were resolved on SDS-polyacrylamide gels and transferred to nitrocellulose (NC) or polyvinylidene difluoride (PVDF) membrane. The membranes were blocked for 1 h at room temperature with 5% skim milk or 5% BSA in Tris buffered saline-Tween 20 buffer (TBS-T: 0.1% Tween 20, 20 mM Tris-Cl pH 7.5 and 150 mM NaCl). The membranes were then incubated with the indicated primary antibodies overnight at 4 °C and then horseradish peroxidase-conjugated secondary antibodies (1:5000). The primary antibodies were used at a dilution ranging from 1:200 to 1:2000 in Western blot analysis.

### **Immunoprecipitation**

S/S and A/A Hepatocytes which overexpress Flag-STX17 were collected in lysis buffer (50 mM Tris-Cl pH 7.4, 150 mM NaCl, 1 mM EDTA, 1% Triton X-100) plus protease and phosphatase inhibitor cocktail (Thermo Scientific). Supernatants were immunoprecipitated with anti-Flag magnetic bead (Sigma-Aldrich) overnight at 4 °C. After the resin was washed three times in TBS buffer (50 mM Tris-Cl, 150 mM NaCl pH 7.4), it was collected in the magnetic separator. Individual proteins were detected by Western blot analysis.

### **Quantitative RT-PCR.**

Total RNAs were isolated from liver tissues and primary hepatocytes by using Trizol reagent (Quagen). For mRNA expression analysis, cDNAs were generated from 100 ng of total RNA by using High-Capacity cDNA RT kit (Life Technologies). Quantitative real-time polymerase chain reaction (qRT-PCR) was carried out to detect the expression levels of indicated RNAs with StepOnePlus Real Time system (Applied Biosystems) by using the SYBR green PCR master mix (Enzynomics). The specificity of each primer pair was confirmed by using melting curve analysis. Relative copy numbers, compared to the  $\beta$ -actin gene, were calculated using  $2^{-\Delta\Delta Ct}$ .

Primer sequences are given in the Table-1.

### **Immunofluorescence**

Isolated primary hepatocytes were plated on 0.01% collagen-coated plates with/without round coverslips. The cells were treated with the indicated chemicals and then fixed with 3.5% paraformaldehyde (PFA) in PBS for 15min at room temperature or ice-cold methanol at -20 °C. The PFA fixed samples were permeabilized with 0.1% Triton X-100 in PBS for 4 min. After washed two times in PBS, the cells on coverslips were blocked with 2.5% normal horse serum blocking solution (VECTOR laboratories) for 1 h at room temperature. Next, the coverslips were incubated with primary antibodies diluted in 1% BSA overnight at 4 °C. The next day, the coverslips were rinsed two times with PBS for 5 min and incubated with fluorescence conjugated secondary antibodies for 2 h at room temperature in the dark. After washed three times in PBS, the coverslips were mounted on a slide glass by using antifade reagent (Invitrogen).

For immunofluorescence analyses of vibratome sections, mice were anesthetized with 2,2,2-Tribromoethanol and perfused with phosphate-buffered saline (PBS, pH 7.4) and liver tissues were collected. The liver tissues were fixed with 4% PFA in PBS overnight at 4 °C. The sections were sliced to thickness of 40  $\mu$ m by using a vibratome (VT1000P; Leica Microsystems), and then washed several times in PBS. The sections were permeabilized with 0.1% Triton-X100 in PBS for 30 min at room temperature. Antigen retrieval was achieved by heating the sections in 10 mM sodium citrate and 0.05% Tween 20 solution (pH 8.5) for 30 min and followed by cooling for 20 min at room temperature. The sections were washed three times with dH<sub>2</sub>O. Following steps were performed as described above.

For immunofluorescence analyses of paraffin sections, paraffin sections (4  $\mu$ m thickness) were deparaffinized and rehydrated as standard procedures. Antigen retrieval and immunostaining were performed as described above.

In fluorescence images of living cells, Pearson correlation coefficients (Rr) and intensity spatial profiles were measured by using FV10-ASW software (Olympus).

All fluorescence images were captured with a cooled charge-coupled device camera and a confocal laser scanning microscope (FV1200-OSR microscope, Olympus).

### **Live cell fluorescence microscopy**

Live cell imaging can directly visualize cellular biological phenomena. Primary hepatocytes were plated on collagen coated 35 mm cover-glass bottom dishes (SPL). After treatment of specific chemicals for indicated times, the cells were stained with indicated fluorescent probes for live cell imaging in DMEM without phenol red (Gibco).

For viable lysosomal labeling in live cells, primary hepatocytes were incubated with LysoTracker-Red DND-99 (400 nM) for 30 min at 37 °C. For Mitochondria-specific probe labeling, primary hepatocytes were stained with Mitotracker-Red CMXRos (50 nM) and 4 µg/ml Hoechst 33258 (Sigma-Aldrich) for 30 min at 37 °C. For monitoring mitochondrial membrane potential, primary hepatocytes were incubated with JC-1 (2.5 µM) for 30 min in 37 °C. Fluorescence images of living cells were obtained by using an FV1200-OSR confocal laser microscope (Olympus). Mean fluorescence intensity (MFI) was measured by using FV10-ASW software (Olympus).

### **Cathepsin B and cathepsin L activity assay**

Intracellular cathepsin B and cathepsin L activities were detected by Magic Red Cathepsin B assay and Magic Red Cathepsin L assay kits (ImmunoChemistry Technologies, LLC.).

Primary hepatocytes were plated on collagen coated 35 mm cover-glass bottom dishes (SPL). After treatment of indicated chemicals, cells were stained with Cathepsin B substrate [MR-(RR)<sub>2</sub>] or Cathepsin L substrate [MR-(FR)<sub>2</sub>] for 30 min at 37 °C, according to the manufacturer's instruction. Fluorescence and differential interference contrast (DIC) images of living cells were obtained by using an FV1200-OSR confocal laser microscope (Olympus). Mean fluorescence intensity (MFI) was measured by using FV10-ASW software (Olympus).

### **Immunohistochemistry**

Liver tissues were isolated, fixed with 4% paraformaldehyde, and embedded in paraffin. Paraffin sections (4 µm) were deparaffinized and rehydrated by following standard procedures. The sections were permeabilized with 0.1% Triton-X100 in PBS for 30 min at room temperature. Antigen retrieval was achieved by heating the sections in 10 mM sodium citrate

and 0.05% Tween 20 solution (pH 8.5) for 30 min and followed by cooling for 20 min at room temperature. The sections were washed three times with dH<sub>2</sub>O. To quench endogenous peroxidase activity, the sections were incubated with 3% hydrogen peroxide in methanol for 30 min at room temperature. After being washed three times with dH<sub>2</sub>O and then PBS, the sections were blocked with 2.5% normal horse serum blocking solution (VECTOR laboratories) for 1 h at room temperature. The sections were incubated with diluted primary antibodies in PBS with 1% BSA for overnight at 4 °C. The next day, the sections were rinsed twice with 2% BSA in PBS-T (0.05% tween 20) for 5 min and then incubated with peroxidase conjugated anti-mouse or rabbit secondary antibody (VERCOR laboratories) for 30 min at room temperature. Peroxidase substrate DAB solution (VECTOR laboratories) was used as chromogen, resulting in a reddish-brown reaction product within 5 min. The sections were then counterstained with Mayer's hematoxylin (Sigma Aldrich). The images of the liver sections were captured by using a whole slide imaging system (DotSlide; Olympus) at the UNIST-Olympus Biomed Imaging Center.

### **Transmission Electron Microscopy**

Mice were anesthetized with 2,2,2-Tribromoethanol and the liver was perfused with phosphate-buffered saline (PBS, pH 7.4) to remove any remaining blood. This was followed by perfusion with fixation solution (2% paraformaldehyde and 2.5% glutaraldehyde in 0.1 M phosphate buffer (PB)) for 10 min. After perfusion, the livers were removed and cut into 1-2 mm thick slices, which were further fixed by the fixation solution for overnight at 4 °C. After being washed in 0.1 M phosphate buffer, the liver slices were post-fixed with 1% osmium tetroxide in PB for 1 h at 4 °C. Next the samples were dehydrated with a series of the graded ethyl alcohol solution, which was exchanged with acetone in the next stage, and the samples were next embedded in EPON 812. Ultrathin sections (70~80 nm) were obtained by an ultramicrotome (Leica Ultracut UCT). Ultrathin sections were double stained with uranyl acetate and lead citrate. Finally, they were examined in a transmission electron microscope (JEM-1010) at 60 kV. [111]

### **Statistical analysis.**

All data are presented as mean  $\pm$  SEM. The statistical significance of differences between groups was evaluated by using Student's t test or the ANOVA one way test (Tukey's test).  $P < 0.05$  was considered significant. \*, #, &  $P < 0.05$ , \*\*, ##, &&  $P < 0.01$ , \*\*\*, ###, &&&  $P < 0.001$ .

**Table-1. Primer List**

Gene name	5' oligonucleotide	3' oligonucleotide	Usage
Atg5	AGCCAGGTGATGATTCACGG	GGCTGGGGGACAATGCTAA	qRT-PCR
Atg7	GTTGCCCCCTTTAATAGTGC	TGAACTCCAACGTC AAGCGG	qRT-PCR
Atg12	GGAGACACTCCTATAATGAAA	ATAAATAAACAACTGTTCGA	qRT-PCR
LC3b	CGTCCTGGACAAGACCAAGT	ACCATGTACAGGAAGCCGTC	qRT-PCR
P62	GCTGCCCTATACCCACATCT	CGCCTTCATCCGAGAAAC	qRT-PCR
Nbr1	TTCCAGGAAGACTACAATTC	ATGCAATTCTTCTTCTTAG	qRT-PCR
Mcoln1	GCTGGGTTACTCTGATGGGTC	CCACCACGGACATAGGCATAC	qRT-PCR
Lamp-1	ACCTGTCGAGTGGCAACTTCA	GGGCACAAGTGGTGGTGAG	qRT-PCR
Lamp-2a	GCAGTGCAGATGAAGACAAC	AGTATGATGGCGCTTGAGAC	qRT-PCR
Lamp-2b	GGTGCTGGTCTTTCAGGCTTGATT	ACCACCCAATCTAAGAGCAGGACT	qRT-PCR
Lamp-2c	ATGTGCTGCTGACTCTGACCTCAA	TGGAAGCACGAGACTGGCTTGATT	qRT-PCR
Limp-1	GAAGCAGGCCATTACCCATGA	TGACTTCACCTGGTCTCTAAACA	qRT-PCR
Limp-2	TGTTGAAACGGGAGACATCA	TGGTGACAACCAAAGTCGTG	qRT-PCR
Cathepsin B (CtsB)	TCCTTGATCCTTCTTTCTTGCC	ACAGTGCCACACAGCTTCTTC	qRT-PCR
Cathepsin D (CtsD)	CTGAGTGGCTTCATGGGAAT	CCTGACAGTGGAGAAGGAGC	qRT-PCR
Cathepsin L (CtsL)	ATCAAACCTTTAGTGCAGAGTG	CTGTATTCCCGTTGTGTAGC	qRT-PCR
Cathepsin F (CtsF)	GCAAATTCTCAGCACAGATGGCAA	GAACTGCATGCCGAAGGCCTTAAT	qRT-PCR
Cathepsin H (CtsH)	TACAACAAGGGCATCATGGA	TTCTTGACGAATGCAACAGC	qRT-PCR
Cathepsin K (CtsK)	GGGCCAGGATGAAAGTTGTA	CACTGCTCTTTCAGGGCTT	qRT-PCR
Cathepsin O (CtsO)	TGGTGGCAGATTACAGTACCCAT	AGTGCTCTGGCCATTTTCATCTCT	qRT-PCR
Cathepsin S (CtsS)	ACCTACCAAGTGGGCATGAACGAT	TCGGGAAATTCTCAGAGCACCCAT	qRT-PCR
Cathepsin Z (CtsZ)	TGTCAATTGACTGTGGCAATGCTGG	TGCAGGTCCCACACTGGTTAAACT	qRT-PCR
DCTN1	AAATGGGTGGGCGTGATTCTG	ACTGGCGTACAAAGATGCCG	qRT-PCR
Rab7	AAGCCACAATAGGAGCGGAC	AGACTGGAACCGTTCTTGACC	qRT-PCR
Syntaxin 8 (Stx8)	CCGAGAGAGACTGCTCCTG	CCTCGCTTTGCTTCTTCGC	qRT-PCR
Syntaxin 17 (Stx17)	TCACTAAGATCGTGATCCCAACA	TCTGCACCGCTGATACTTCTC	qRT-PCR
Vit1b	GCCTCCTCCGAGCATTTCG	GTGCATATCGTAGTTCCTCCTCC	qRT-PCR
Vamp3	CCACTGGCAGTAATCGAAGAC	GCGGTCACTAGCTCCGAGA	qRT-PCR
Vamp7	GACAACCTACGGTTCAAGAGCA	TCTCCACGTTGACAATAAATC	qRT-PCR
Vamp8	AGTGGGAGTGCCGGAATG	TGAAGTGTTCAGACGTGGCTT	qRT-PCR
SNAP29	AGGTTTACCCAAAGAATCGACC	TGGCCTAATCCCACGGACA	qRT-PCR
Vps8	CCTCAGGTGGATACCCCTCC	CCAGAACGAAAGACTCATCTTCA	qRT-PCR
Vps11	AAAAGAGAGACGGTGCCAATC	AGCCCAGTAACGGGATAGTTG	qRT-PCR
Vps16	ATGGACTGTACACTGCGAAC	CCACCAGGCAATCCTTGAG	qRT-PCR
Vps18	AGTACGAGGACTCATTTGTC	TGGGCATTACATACCCAGAAT	qRT-PCR
Vps33a	TACGGGCGAGTGAACCTGAA	ACTCATCCACACTATTGCCTT	qRT-PCR
Vps39	CTTCTTGTGGAAACCAAGCAAGG	GCTTTCAGGTGAGGCTACATCT	qRT-PCR
vps41	CCCAAACCTGAAGTATGAAAGGCT	CCAAAACTTGTGATGGACCGT	qRT-PCR
Atg14	GAGGGCCTTTACGTGGCTG	AATAGACGAAATCACCGCTCTG	qRT-PCR
TFEB	CCTGCCGACCTGACTCAGA	CTCAATTAGGTTGTGATTGCTTTCTTC	qRT-PCR
TFE3	CCTGAAGGCATCTGTGGATT	TGTAGGTCCAGAAGGGCATC	qRT-PCR
eIF2alpha (fTg)	GCTGGTTGTTGTGCTGTCTC	CCACCTCAGGAAATTTGTGTT	qRT-PCR
XBP1 total	CCTGAGCCCGGAGGAGAA	CTGCACCTGCTGCGGAC	qRT-PCR
XBP1 splicing	GAGTCCGCAGCAGGTG	AGGCTTGGTGATACATGG	qRT-PCR
ATF4	ATGGCCGGCTATGGATGAT	CGAAGTCAAACCTTTTCAGATCCATT	qRT-PCR
Chop	ATGGCAGCTGAGTCATTGCCTTTC	AGAAGCAGGGTCAAGAGTGGTGAA	qRT-PCR
Bip	TCATCGGACGCACTTGGGA	CAACCACCTTGAATGGCAAGA	qRT-PCR
Beclin1	ATGGAGGGGTCTAAGGCGTC	TGGGCTGTGGTAAGTAATGGA	qRT-PCR
Uvrag	CAAGCTGACAGAAAAGGAGCGAG	GGAAGAGTTTGCTCAAGTCTGG	qRT-PCR
Hexb	CTGGTGTCCCTAGTGTCCG	CAGGGCCATGATGTCTTGT	qRT-PCR
Glb1	AAATGGCTGGCAGTCTTCTG	ACCTGCACGGTTATGATCGGT	qRT-PCR
Tpp1	CCCTCATGTGGATTTTGTGG	TGGTTCTGGACGTTGTCTTGG	qRT-PCR
β-actin	GATCTGGCACCCACACCTTCT	GGGGTGTGAAGGTCTCAAA	qRT-PCR

## Results

### **eIF2 $\alpha$ phosphorylation contributes to the activation of all three UPR pathways.**

To elucidate the physiological role of eIF2 $\alpha$  phosphorylation in ER stress-mediated autophagy, I generated ***A/A;fTg/0;Cre<sup>Hep</sup>/0 (A/A<sup>Hep</sup>) mice*** by introducing a transgene (*fTg*) encoding constitutively expressed *Cont. eIF2 $\alpha$*  flanked by *LoxP* sites into a non-phosphorylatable *eIF2 $\alpha$  S51A* knock-in background. This transgene rescued the otherwise neonatal lethal *eIF2 $\alpha$  S51A* homozygous mutant. Then, homozygous (*A/A*) or heterozygous (*S/A*) animals carrying the transgene were bred with mice expressing CRE recombinase under the control of an albumin promoter to delete the transgene in hepatocytes [55].

I validated the conditional *eIF2 $\alpha$  S51A* mouse model by using a Western blot analysis. To determine whether eIF2 $\alpha$  phosphorylation was sufficiently repressed in hepatocyte-specific eIF2 $\alpha$  phosphorylation-deficient mice, mice were intraperitoneally injected with tunicamycin (Tm), an inhibitor of N-linked glycosylation, to induce ER stress. Elevated PERK phosphorylation was detected in the liver lysates of both the *Control (Cont., S/A-fTg)* and *A/A<sup>Hep</sup>* mice. Phosphorylation of eIF2 $\alpha$  and its downstream factor CHOP were upregulated in the liver lysates of the *Cont.* mice injected with Tm, whereas no phosphorylated eIF2 $\alpha$  (p-eIF2 $\alpha$ ) was detected in the liver lysates of Tm-challenged *A/A<sup>Hep</sup>* mice, but EGFP was highly expressed due to deletion of the *floxed eIF2 $\alpha$*  region of the transgene which activates *Egfp* expression (Fig. 1A). These results demonstrate that the *Cre-LoxP* system efficiently removed the *floxed Cont. eIF2 $\alpha$*  transgene (*fTg*) from the hepatocytes of *A/A<sup>Hep</sup>* mice. Furthermore, eIF2 $\alpha$  phosphorylation deficiency greatly reduced the nuclear accumulation of XBP1s (spliced XBP1), although neither IRE1 $\alpha$  activation (Fig. 1B) nor IRE1 $\alpha$ -dependent *Xbp1* mRNA splicing changed significantly (Fig. 1B). In addition, activation of ATF6 $\alpha$ , an ER stress sensor, was determined by monitoring the S1P and S2P protease-dependent cleavage fragment (ATF6 $\alpha$  $\Delta$ C) [23]. The proteolytic cleavage fragment (ATF6 $\alpha$  $\Delta$ c) of ATF6 $\alpha$  was not observed in

the *A/A<sup>Hep</sup>* liver lysates under ER stress (Fig.1D). Therefore, expression levels of its downstream target genes BiP and GRP94 were significantly reduced in the liver lysates of *A/A<sup>Hep</sup>* mice compared with those of the *Cont.* mice under ER stress conditions. In agreement with the Western blot analyses, quantitative mRNA analyses revealed that the expression level of eIF2 $\alpha$  *fTg* mRNA was significantly reduced by deletion of the transgene (*fTg*) in *A/A<sup>Hep</sup>* mice. In addition, many UPR genes including *Chop*, *Bip*, *total Xbp1* and *spliced Xbp1*, were significantly decreased in *A/A<sup>Hep</sup>* mice compared with *Cont.* mice (Fig.1E). Taken together, these results suggest that eIF2 $\alpha$  phosphorylation deficiency impairs the activation of all three UPR pathways.

### **eIF2 $\alpha$ phosphorylation is required for proper remodeling of the ER in response to ER stress.**

An ER size increase is understood to be important in overcoming ER stress [56] through an expansion of the ER membrane [57]. Several reports suggest that an active N-terminal ATF6 $\alpha$  fragment and XBP1s can enhance the capacity of ER biogenesis through lipid biosynthesis, which drives the expansion of the ER membrane [58, 59], [60-62]. To assess ER structure changes during ER stress, I performed an ultrastructural analysis by using transmission electron microscopy (TEM). I observed that the ER of both *Cont.* and *A/A<sup>Hep</sup>* mice had a tubular structure in hepatocytes under normal conditions (Fig. 2A). However, during ER stress condition (Tm challenge), the ER cisternae were enlarged or distended in the hepatocytes of *Cont.* mice (Fig. 2A). By contrast, the eIF2 $\alpha$  phosphorylation deficient hepatocytes displayed an abnormal ER morphology with condensed ER cisternae and electron-dense materials, possibly representing protein aggregates, but no enlargement or distension in the tubular ER structure (Fig. 2B). These results demonstrate that ER stress-mediated structural changes are impaired by the absence of eIF2 $\alpha$  phosphorylation. It is possible that eIF2 $\alpha$  phosphorylation is required for proper ER remodeling to mitigate ER stress.

## **eIF2 $\alpha$ phosphorylation is required to maintain mitochondrial dynamics and membrane potential under ER stress.**

Interactions between mitochondria and the ER play a role in the cellular adaptation to stress [63]. To mitigate the harmful effects of cellular stress, adaptive mechanisms include mitochondrial dynamics that determine the fate of mitochondria [64]. In mitochondrial fusion, mitochondrial elongation increases ATP synthesis to meet cellular energy needs and protect mitochondria from autophagic degradation [65]. In addition, a recent report suggests that the PERK-eIF2 $\alpha$  axis promotes stress-induced mitochondrial hyperfusion to protect mitochondria during ER stress [66]. Hyperfusion protects mitochondria from pathologic fragmentation and increases metabolism to facilitate recovery from acute ER stress. On the contrary, mitochondrial fission divides dysfunctional mitochondria and is accompanied by extensive mitochondrial fragmentation and crista remodeling [67]. Therefore, to evaluate the contribution of eIF2 $\alpha$  phosphorylation to the maintenance of mitochondrial dynamics in response to cellular stress, I monitored mitochondrial morphology changes by TEM and fluorescence microscopy. Interestingly, the hepatocytes of eIF2 $\alpha$  phosphorylation-deficient mice were characterized by abnormally large and swollen mitochondrial morphology with disrupted cristae, compared with those of the *Cont.* mice (Fig. 3A). Next, to investigate mitochondrial dynamics, primary hepatocytes isolated from *Cont.* and *A/A<sup>Hep</sup>* mice were stained with the Mitotracker Red fluorescent probe, which is widely used to stain viable mitochondria. Because mitochondrial morphology is determined by a dynamic balance between fusion and fission, the mitochondrial morphology of hepatocytes before Tm treatment did not differ much between the *Cont.* and *A/A<sup>Hep</sup>* mice. ER stress promoted mitochondrial fusion in the hepatocytes of *Cont.* mice (Fig. 3B). However, the eIF2 $\alpha$  phosphorylation-deficient hepatocytes under ER stress displayed abnormal mitochondrial fragmentation, possibly by increased fission and mitochondrial swelling, which produced a loss of mitochondrial mass and mitochondrial dysfunction (Fig. 3B). These results suggest that eIF2 $\alpha$  phosphorylation is required to maintain mitochondrial

dynamics under ER stress.

The mitochondrial membrane potential (MMP) was evaluated using JC-1, a cationic MMP probe that accumulates in energized mitochondria. The Tm-treated *A/A<sup>Hep</sup>* hepatocytes displayed greatly reduced red J-aggregate fluorescence and significantly increased cytoplasmic green monomer fluorescence compared with the Tm-treated *Cont.* hepatocytes for 24 and 36 h (Fig.3C), suggesting that eIF2 $\alpha$  phosphorylation is essential to preserve MMP during ER stress.

### **eIF2 $\alpha$ phosphorylation deficiency increases autophagosome formation in the liver in response to ER stress.**

When cellular ER stress is excessive or sustained, it triggers autophagy as a degradative process to reduce the accumulation of misfolded proteins [68]. In addition, previous studies suggest that the ER is an important source of autophagosome membrane [69, 70] and that mitochondrial dysfunction is related to autophagy [71]. In Figs. 1-3, I show that eIF2 $\alpha$  phosphorylation is important to maintain both ER and mitochondrial functions under ER stress conditions. Therefore, I deemed it necessary to investigate the roles of eIF2 $\alpha$  phosphorylation in ER stress-induced autophagy. First, I examined expression changes in autophagy-related genes (Fig. 4A) and proteins (Fig. 4B) during ER stress. In the livers of *Cont.* mice, the mRNA levels of the pre-autophagosome markers Atg5 and Atg12 and the cargo receptors P62/Sqstm1 and Nbr1 were significantly induced at early time points (6 and 12 h) but gradually decreased over time (24 and 36 h) under ER stress. The level of LC3B transcripts remained persistent throughout ER stress in the livers of the *Cont.* mice (Fig. 4A). Meanwhile, the expression levels of most genes declined sharply at several time points after Tm treatment in the livers of *A/A<sup>Hep</sup>* mice compared with *Cont.* mice (Fig. 4A). Surprisingly however, despite the decreased expression of those genes, autophagosome-associated proteins such as the ATG5-ATG12 conjugates, LC3B and P62 were excessively increased at multiple time points (6, 12, 24, and 36 h) after Tm treatment in the liver lysates of *A/A<sup>Hep</sup>* mice (Fig. 4B). As a cargo

adaptor, P62 is crucial to the recruitment of ubiquitinated proteins into autophagosomes [72]. Because inhibition of the autophagy pathway can cause p62 accumulation, I demonstrated that ubiquitinated proteins accumulated inordinately at multiple time points (12, 24, and 36 h) under ER stress in *A/A<sup>Hep</sup>* mice compared with *Cont.* mice, suggesting dysregulation of the autophagy pathway in the livers of *A/A<sup>Hep</sup>* mice during ER stress. Moreover, liver sections of the *A/A<sup>Hep</sup>* mice exhibited a higher accumulation of LC3B, P62, and ubiquitinated proteins 36 h after Tm treatment (Fig. 4C) than I found in the *Cont.* mice (Fig. 4C, D). Next, to monitor autophagic vacuole formation induced by ER stress, LC3-positive puncta were visualized in liver sections from *Cont.* and *A/A<sup>Hep</sup>* mice infected by RFP-LC3B expressing recombinant adenoviruses (Fig 4E). Compared with sections from the *Cont.* mice, *A/A<sup>Hep</sup>* liver sections displayed markedly increased RFP-LC3-positive puncta in a time-dependent manner under ER stress (Fig. 4E). Because cargo recognition is critical to the formation of the autophagosome, I examined the co-localization of RFP-LC3B and P62 and found it to be significantly higher in *A/A<sup>Hep</sup>* mice than in *Cont.* mice (Fig. 4F). Taken together, these findings suggest that eIF2 $\alpha$  phosphorylation deficiency causes autophagosome accumulation, which might be induced by dysregulation of autophagic pathway during ER stress.

### **eIF2 $\alpha$ phosphorylation deficiency results in the accumulation of autophagic vesicles in the liver in response to ER stress.**

To verify the accumulation of autophagic puncta in  $A/A^{Hep}$  hepatocytes under ER stress (Fig. 4D-F), a TEM analysis was applied to liver sections. In the liver sections of  $A/A^{Hep}$  mice, a vast number of autophagic compartments was clustered in certain intracellular areas in response to prolonged ER stress (dotted lines in Figs. 3A, 5A). The high magnification observation reveals many double membranes but aberrantly small autophagic vesicles (red arrow heads) in the Tm-treated  $A/A^{Hep}$  hepatocytes at 24 h (Fig. 5B). In addition, the number of autolysosomes in  $A/A^{Hep}$  mice was lower than that in the *Cont.* mice under Tm treatment. Therefore, eIF2 $\alpha$  phosphorylation deficiency could block basal autophagy after autophagosome formation but before lysosomal degradation, thus leading to the accumulation of small autophagic vacuoles under ER stress.

### **eIF2 $\alpha$ phosphorylation deficiency impairs the fusion of autophagosomes with lysosomes in the liver in response to ER stress.**

Fusion of autophagosomes with lysosomes, a part of autophagy flux, is critical to functional autophagy. To confirm its occurrence, I microscopically examined the co-localization of RFP-LC3B and lysosomal proteins (Lamp1, Cathepsin B, and Cathepsin D) in the livers of RFP-LC3B-transduced mice under ER stress conditions. Although RFP-LC3-positive puncta increased, the liver sections of  $A/A^{Hep}$  mice showed that co-localization of RFP-LC3B and LAMP1, a lysosomal membrane protein, was significantly reduced in a time-dependent manner under ER stress (Fig. 6A). Similarly, I confirmed that RFP-LC3B did not co-localize with Cathepsin B (Fig. 6B) or Cathepsin D (Fig. 6C), lysosomal enzymes that are required to degrade the autophagosomal contents, in  $A/A^{Hep}$  mice. I established these results by calculating Pearson's correlation coefficients (Fig. 6A, B, C).

To assess autophagic flux in vivo, I applied pharmacological research by using

leupeptin, an inhibitor of lysosomal cysteine proteinases (Fig. 6D) [73]. The administration of leupeptin caused LC3B-II accumulation in the livers of mice with both genotypes both before Tm treatment and 6 h after Tm treatment (Fig. 6D). Thus, autophagy flux was normally maintained in an eIF2 $\alpha$  phosphorylation-independent manner until early into the time of ER stress. However, although Tm treatment for 24 h alone caused a strong increase in L3B-II, no further enhancement was observed upon leupeptin administration in the livers of *A/A<sup>Hep</sup>* mice, whereas the livers of *Cont.* mice maintained the capability for leupeptin-mediated LC3B-II accumulation until 24 h after Tm treatment. Taken together, these results confirm that eIF2 $\alpha$  phosphorylation deficiency disrupts autophagy flux in response to ER stress.

### **eIF2 $\alpha$ phosphorylation deficiency impairs the fusion between autophagosomes and lysosomes in primary hepatocytes in response to ER stress.**

I also investigated autophagosome formation, the fusion of autophagosomes with lysosomes, and autophagy flux by using primary hepatocytes isolated from *Cont.* and *A/A<sup>Hep</sup>* mice during ER stress. An immunofluorescence analysis revealed a higher accumulation and co-localization of both LC3B and P62 in Tm-treated *A/A<sup>Hep</sup>* hepatocytes (Fig. 7A), similar to the results of Tm-treated *A/A<sup>Hep</sup>* liver sections (Fig. 4C~4F), suggesting that eIF2 $\alpha$  phosphorylation is not a critical factor in inducing autophagosome formation upon ER stress. Localization analyses of LC3B and LAMP1 and p62 and LAMP1 showed that autophagosome markers (LC3B and P62) did not co-localize with the lysosomal marker LAMP1 in Tm-treated *A/A<sup>Hep</sup>* hepatocytes (Fig. 7B, C), whereas they co-localized in Tm-treated *Cont.* hepatocytes, indicating that eIF2 $\alpha$  phosphorylation is important for the fusion of autophagosomes with lysosomes during ER stress. In addition, the co-localization of LAMP1 and Cathepsin D was partially reduced in Tm-treated *A/A<sup>Hep</sup>* hepatocytes compared with Tm-treated *Cont.* hepatocytes, demonstrating that transportation of the lysosomal enzyme to the lysosomal vesicle might be impaired (Fig. 7D).

Next, to assess autophagic flux, I used bafilomycin, a lysosomal V-ATPase inhibitor,

to block fusion between the autophagosome and the lysosome during autophagy. Tm treatment caused an increase in LC3-II levels that was further enhanced by bafilomycin treatment in *Cont.* hepatocytes. In contrast, even though Tm treatment alone caused a strong increase in LC3-II levels in *A/A<sup>Hep</sup>* hepatocytes, no further enhancement was observed upon the addition of bafilomycin, confirming that autophagic flux is indeed blocked in eIF2 $\alpha$  phosphorylation-deficient cells during ER stress (Fig. 7E, F). These observations suggest that eIF2 $\alpha$  phosphorylation deficiency diminished autophagic degradation by causing a defect in autophagosome-lysosome fusion in response to ER stress.

### **Wild type eIF2 $\alpha$ overexpression increases autolysosome formation in eIF2 $\alpha$ phosphorylation-deficient cells in response to ER stress.**

Next, I examined whether autophagosome-lysosome fusion defects can be rescued by transient overexpression of wild type eIF2 $\alpha$  in *A/A<sup>Hep</sup>* cells. I infected *A/A<sup>Hep</sup>* primary hepatocytes with Flag tagged wild type eIF2 $\alpha$  expressing adenoviruses (*Ad-Flag-eIF2 $\alpha$* ). Overexpression of wild type eIF2 $\alpha$  drastically reduced the expression levels of both LC3B-I/II forms, regardless of Tm treatment, and prevented the accumulation of P62 in the Tm treatment condition, indicating diminished autophagosome accumulation in response to ER stress (Fig. 8A, B). To microscopically observe the beneficial effect of wild type eIF2 $\alpha$  overexpression in eIF2 $\alpha$  phosphorylation-deficient cells, co-localization of the autophagosome marker LC3B and lysosome marker LAMP1 was examined by using confocal microscopy. The results indicate that the co-localization of LC3B and LAMP1 was markedly enhanced by the overexpression of wild type eIF2 $\alpha$  in both *A/A<sup>Hep</sup>* primary hepatocytes and *A/A* immortalized hepatocytes whereas it was severely defective in *Ad-Vec*-infected eIF2 $\alpha$  phosphorylation-deficient cells (Fig. 14C, D). Collectively, these results suggest that eIF2 $\alpha$  phosphorylation plays an essential role in maintaining autophagy flux in response to ER stress.

## **eIF2 $\alpha$ phosphorylation deficiency leads to dysregulated expression of autophagy related genes in the liver upon ER stress.**

To assess whether the observed autophagic flux defect in eIF2 $\alpha$  phosphorylation-deficient hepatocytes is related to the dysregulated gene expression of lysosomal genes or autophagosome-lysosome fusion-related genes during ER stress, I measured the mRNA and protein levels of lysosomal membrane proteins (*Mcoln1*, *Lamp-1*, *Lamp-2a*, *Lamp-2b*, *Lamp-2c*, *Limp-1*, and *Limp-2*) [74] and cathepsins (*CtsB*, *CtsD*, *CtsF*, *CtsH*, *CtsK*, *CtsL*, *CtsO*, *CtsS*, and *CtsZ*) [75] for lysosomal genes (Fig. 9A, C) and components (*Stx8*, *Stx17*, *Vit1b*, *Vamp3*, *Vamp7*, *Vamp8*, *SNAP29*) of SNARE complexes, a component (*Vps8*) of CORVET (class C core vacuole/endosome tethering), and components (*Vps11*, *Vps16*, *Vps18*, *Vps33a*, and *Vps39*) of HOPS tethering complex for autophagosome-lysosome fusion (Fig. 9B) [42, 76]. First, without ER stress *A/A<sup>Hep</sup>* mice displayed significantly decreased expression of a lysosomal membrane protein (*Mcoln1*), several cathepsins (*CtsF*, *CtsH*, *CtsO*, and *CtsZ*), and autophagosome-lysosome fusion related genes (*DTCN1*, *Rab7*, *Stx8*, *Stx17*, *Vit1b*, *Vamp3*, *Vamp8*, *SNAP29*, *Vps18*, *Vps33a*, and *Atg14*) (Fig. 9A, B) compared with the *Cont.* mice, suggesting that eIF2 $\alpha$  phosphorylation in hepatocytes is required for optimal expression of a subset of lysosomal genes and autophagosome-lysosome fusion related genes. Second, upon ER stress induction, the transcript levels of all the tested genes except *Limp-1*, *Vps16*, and *Vps 18* were significantly reduced in the livers of *A/A<sup>Hep</sup>* mice compared with the levels in the *Cont.* mice, although ER stress reduced the expression levels of several lysosomal genes and autophagosome-lysosome fusion-related genes in the livers of *Cont.* mice (Fig. 9A, B). In addition, a Western blot analysis of cathepsin proteins revealed decreased levels of the intermediate single-chains and mature double-chains of cathepsin B and D enzymes 36 h after Tm treatment in the livers of *A/A<sup>Hep</sup>* mice compared with the levels in the *Cont.* mice, although cathepsin L did not differ significantly between the genotypes (Fig. 9C). Collectively, these gene expression studies suggest that eIF2 $\alpha$  phosphorylation is required to maintain the

expression of multiple lysosomal genes and autophagosome-lysosome fusion related genes during ER stress.

Several recent reports [45, 77, 78] suggest that TFEB and TFE3 are master transcriptional regulators of genes involved in lysosomal biogenesis and autophagy. Therefore, I checked the possibility that the expression levels of TFEB and TFE3 could be related to the dysregulated expression of autophagy-related genes in the livers of *A/A<sup>Hep</sup>* mice under ER stress. However, Western blot results indicate that the protein amounts of TFEB and TFE3 increased in the liver lysates of both *A/A<sup>Hep</sup>* and *Cont.* mice under ER stress conditions (Fig. 9C), although the levels of both the *Tfeb* and *Tfe3* transcripts were downregulated in the livers of *A/A<sup>Hep</sup>* mice compared with *Cont.* mice upon ER stress (Fig. 9D), suggesting that expression changes in the TFEB and TFE3 transcription factors are not responsible for the dysregulated expression of genes involved in autophagy in the liver upon ER stress.

### **eIF2 $\alpha$ phosphorylation deficiency leads to dysregulated expression of autophagy related genes in primary hepatocytes upon ER stress.**

Next, I tested whether *in vivo* observation of dysregulated gene expression in the livers of *A/A<sup>Hep</sup>* mice could be reproduced in primary hepatocytes isolated from *A/A<sup>Hep</sup>* mice during ER stress. As I expected, the transcript and protein levels of UPR genes, including *eIF2 $\alpha$  fTg*, *total xbp1*, *atf4*, *chop*, *Grp94*, and *BiP*, were significantly downregulated in *A/A<sup>Hep</sup>* primary hepatocytes compared with *Cont.* primary hepatocytes after Tm treatment (Fig. 10A, B). In the *Cont.* primary hepatocytes, Tm treatment significantly induced the transcription of autophagosome formation genes (*Beclin1*, *Uvrag*, *Atg5*, *Atg12*, *Lc3b*, and *p62*), lysosomal genes (*Lamp-1*, *Lamp-2b*, *Mcoln1*, *CtsD*, *CtsL*, *Hexb*, *Glb1*, and *Tpp1*), and fusion related genes (*Rab7*, *Stx17*, *SNAP29*, *Vps8*, *Vps33a*, *Vps41*, *Vamp8*, and *Atg14*) upon ER stress (Fig. 10C). However, upon ER stress, the transcript levels of all the tested genes except *Lc3b*, *p62*, *Lamp2a*, *Rap7*, and *SNAP29* were significantly reduced in *A/A<sup>Hep</sup>* primary hepatocytes compared with the levels in *Cont.* primary hepatocytes (Fig. 10C). Furthermore, eIF2 $\alpha$

phosphorylation deficiency downregulated transcript levels of *Tfeb* and *Tfe3*, which modulate autophagy and lysosomal biogenesis in response to ER stress (Fig. 10C). These results indicate that the expression of autophagosome formation genes and lysosome biogenesis and fusion-related genes apparently requires eIF2 $\alpha$  phosphorylation in primary hepatocytes during ER stress.

Consistent with the results from the mouse model, eIF2 $\alpha$  phosphorylation deficiency in primary hepatocytes led to an accumulation of LC3B and P62 expression under ER stress, compared with *Cont.* hepatocytes. Among the lysosome-related proteins, Vamp8 was especially decreased in *A/A<sup>Hep</sup>* primary hepatocytes upon ER stress, although other proteins (LAMP1, LAMP2, and STX17) were not changed (Fig. 10D). In addition, *A/A<sup>Hep</sup>* primary hepatocytes had reduced cleaved forms, such as intermediate single-chain and mature double-chain forms of cathepsins B, D, and L, upon ER stress, suggesting that eIF2 $\alpha$  phosphorylation contributes to the maturation of cathepsin enzymes in lysosomes in response to ER stress (Fig. 10E). Taken together, these results suggest that eIF2 $\alpha$  phosphorylation deficiency impairs lysosomal function and could block autophagic clearance.

### **eIF2 $\alpha$ phosphorylation deficiency disrupts lysosome positioning and lysosome functionality which can affect autophagic flux upon ER stress.**

To discern whether lysosomal dysfunction can contribute to autophagy flux defects in *A/A<sup>Hep</sup>* hepatocytes, I observed the localization of lysosome proteins and assessed lysosome functionality. Consistent with the results shown in Figs 9A, 9C, 10C, and 10E, the immunofluorescence analyses revealed that ER stress decreased the expression of LAMP1 and cathepsins B and D in both genotypes (Figs. 11A-D). These results demonstrate that ER stress can downregulate the expression of lysosomal proteins in an eIF2 $\alpha$  phosphorylation-independent manner. Interestingly, I observed that LAMP1-positive puncta were localized to the perinuclear region in the hepatocytes of *Cont.* mice under ER stress, whereas in the eIF2 $\alpha$  phosphorylation-deficient mice, the LAMP1 positive puncta were distributed to the peripheral

plasma membrane (Fig. 11A). In addition, the signal intensities of lysosomal cathepsin B-, D-, L-positive puncta decreased more rapidly upon ER stress in *A/A<sup>Hep</sup>* mice than in *Cont.* mice (Figs. 11B-D), suggesting that eIF2 $\alpha$  phosphorylation deficiency disrupts proper lysosomal positioning and reduces the expression of lysosomal enzymes during ER stress.

Lysosomes move toward the perinuclear region, where autophagosome-lysosome fusion occurs upon activation of the autophagy process [79]. To monitor changes in lysosome localization and acidification during ER stress, I used LysoTracker-Red-Red, a fluorescent dye that labels acidic organelles, in primary hepatocytes isolated from *Cont.* and *A/A<sup>Hep</sup>* mice (Fig. 11E). ER stress significantly induced time-dependent perinuclear clustering of lysosomes in *Cont.* hepatocytes. However, in *A/A<sup>Hep</sup>* primary hepatocytes, the fluorescence intensity of LysoTracker-Red-Red decreased rapidly as the labeled lysosomes moved toward the adjacent plasma membrane, unlike in the *Cont.* hepatocytes under ER stress (Fig. 11E). These results are congruent with the data in Fig. 10A showing mis-localization of LAMP1 positive puncta during ER stress

Next, to visualize cathepsin B and L activity, Magic Red Cathepsin assay kits that label pH-sensitive lysosomal protease were used (Figs. 11F, G). I found that the fluorescence intensities of cathepsins B and L were significantly attenuated in *A/A<sup>Hep</sup>* primary hepatocytes compared with *Cont.* primary hepatocytes under ER stress conditions. Taken together, these results indicate that eIF2 $\alpha$  phosphorylation deficiency disrupts both lysosome positioning and lysosome functionality which can affect autophagic flux upon ER stress.

### **eIF2 $\alpha$ phosphorylation is responsible for the assembly of SNARE complexes for autophagosome-lysosome fusion in response to ER stress.**

The recruitment of the SNARE protein STX17 to the autophagosome is believed to play an essential role in autophagosome-lysosome formation [80]. To elucidate the effect of eIF2 $\alpha$  phosphorylation in autophagosome-lysosome fusion, I examined the co-localization of STX 17

and LC3B in an autophagosome induced by ER stress (Fig. 12A). In the absence of ER stress, Flag-STX17 localized at tubular structures, believed to be the ER [42], and did not co-localize with the autophagosomal marker LC3 in either *S/S* or *A/A* immortalized hepatocytes. Upon ER stress, however, many LC3 puncta co-localized with Flag-STX17 in *S/S* cells, which also formed puncta, whereas obvious co-localization was not observed in *A/A* cells (Fig. 12A). Co-localization intensity spatial profiles support those observations (Fig. 12A), suggesting that eIF2 $\alpha$  phosphorylation is required to recruit STX17 into autophagosomes during ER stress.

Stx17 is required for fusion between the autophagosome and endosome/lysosome [81]. To confirm the assembly of components for membrane fusion between the autophagosome and lysosomes, I co-immunoprecipitated the endosomal/lysosomal SNARE Vamp7 with Flag-STX17 (Fig. 12B). The association of Flag-STX17 with Vamp7 on lysosomal membranes was increased in *S/S* cells, whereas in *A/A* cells it was significantly reduced 16 h after Tm treatment. These results suggest that eIF2 $\alpha$  phosphorylation is required for the recruitment of STX17 to the autophagosome and the assembly of SNARE complexes for autophagosome-lysosome fusion in response to ER stress.

**eIF2 $\alpha$  phosphorylation is responsible for the nuclear translocation of the autophagy master regulators TFE3/TFEB in response to ER stress.**

In Figs 4A, 4B, 9A-D, 10C-E, the gene expression analyses of livers and primary hepatocytes indicate that eIF2 $\alpha$  phosphorylation deficiency led to dysregulated expression of TFEB- and TFE3-dependent genes, whereas TFEB and TFE3 protein levels were increased in eIF2 $\alpha$  phosphorylation-deficient cells in response to ER stress. Upon the induction of autophagy, TFEB and TFE3 are translocated from the cytoplasm to the nucleus to induce the transcription of target genes [82]. Therefore, I checked whether TFEB and TFE3 were translocated to the nuclei of eIF2 $\alpha$  phosphorylation-deficient cells upon ER stress. To assess the nuclear translocation of endogenous TFE3, I performed immunocytochemistry in primary hepatocytes isolated from *Cont.* and *A/A<sup>Hep</sup>* mice under ER stress (Fig. 13A). In addition, due to difficulty detecting endogenous TFEB with commercial antibodies, I used Flag-tagged TFEB-expressing adenovirus in primary hepatocytes (Fig. 13B). The results show that ER stress efficiently induced the translocation of both TFE3 and TFEB-Flag to the nucleus in *Cont.* primary hepatocytes, whereas their nuclear translocation was significantly blocked in *A/A<sup>Hep</sup>* primary hepatocytes upon ER stress (Fig. 13A, B). Although both starvation and ER stress have been known to induce autophagy [83], I observed that TFEB almost translocated to the nucleus in *A/A<sup>Hep</sup>* primary hepatocytes upon amino acid deprivation, although the efficiency of TFEB nuclear translocation was lower than that in *Cont.* primary hepatocytes (Fig. 13B). Collectively, these results suggest that eIF2 $\alpha$  phosphorylation deficiency could result in decreased nuclear localization of TFEB and TFE3, producing dysregulated expression of TFEB- and TFE3-dependent genes in response to ER stress.

**TFEB overexpression restores lysosome functionality and mitochondrial dynamics in eIF2 $\alpha$  phosphorylation-deficient primary hepatocytes upon ER stress.**

Because the dysregulation of TFEB nuclear translocation contributes to autophagic failure and mitochondrial dysfunction [84], I next tried to restore the defects of eIF2 $\alpha$  phosphorylation-deficient primary hepatocytes observed in these results through TFEB overexpression. In *A/A<sup>Hep</sup>* primary hepatocytes, adenovirus-mediated TFEB-Flag overexpression significantly and preferentially increased the expression of a subset of its target genes: *Lamp-2a*, *Lamp-2b*, *CtsL*, *Glb-1*, and *Pgc-1* (Fig. 14A) [74, 75].

Next, to investigate the potential recovery effect of TFEB in lysosomes, I analyzed lysosomal positioning and functional activity by using LysoTracker-Red. Those results indicate that TFEB overexpression promoted lysosomal acidification and restored the perinuclear clustering of lysosomes in *A/A<sup>Hep</sup>* primary hepatocytes during ER stress (Fig. 14B). Furthermore, TFEB overexpression effectively alleviated mitochondrial fragmentation, indicating that TFEB rescued mitochondrial dynamic imbalance. These observations suggest that TFEB overexpression could restore the lysosomal and mitochondrial defects of *A/A<sup>Hep</sup>* primary hepatocytes during ER stress.

**TFEB overexpression ameliorates the impairment of the autophagy-lysosome pathway in eIF2 $\alpha$  phosphorylation-deficient primary hepatocytes upon ER stress.**

Because I observed that TFEB overexpression positively influenced the expression of autophagy-related genes, lysosome functionality, and mitochondrial dynamics, I next checked whether TFEB overexpression could restore the failures of autophagosome-lysosome fusion and autophagic flux in *A/A<sup>Hep</sup>* primary hepatocytes during ER stress.

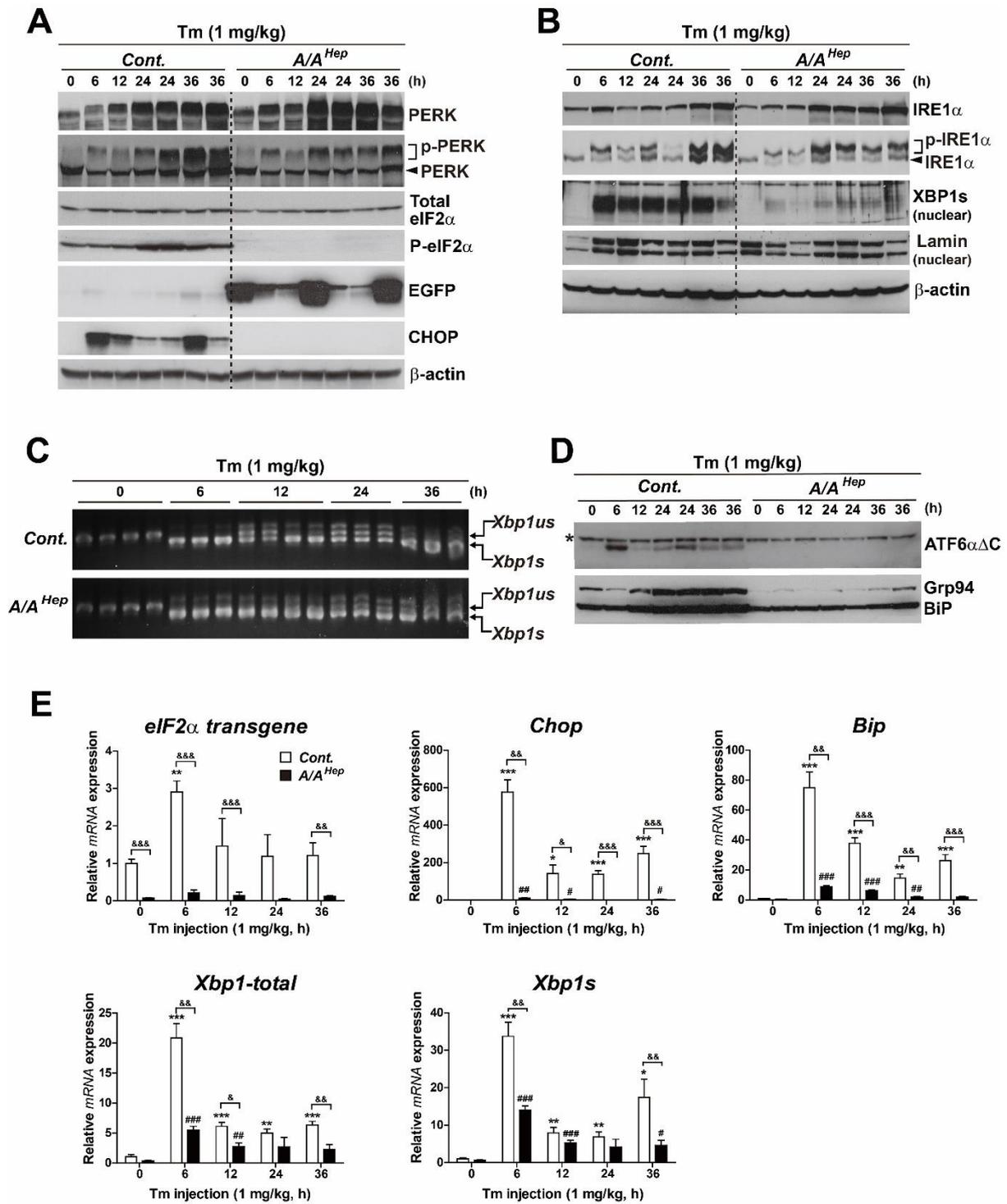
As shown by the results in Figs 4D, 4F, and 7A, *Ad-Vec*-infected *A/A<sup>Hep</sup>* primary hepatocytes displayed increased LC3 and p62 correlation coefficients compared with *Ad-Vec* infected *Cont.* cells, suggesting an accumulation of LC3B and P62 double-positive autophagic puncta under Tm-treated conditions. TFEB overexpression significantly reduced the puncta accumulation to a level similar to that of the *Ad-Vec*-infected *Cont.* cells upon ER stress, indicating that TFEB overexpression can prevent the aberrant accumulation of autophagosomes caused by the impairment of the autophagy-lysosome pathway in eIF2 $\alpha$  phosphorylation-deficient primary hepatocytes upon ER stress (Fig. 15A). Next, I examined whether TFEB overexpression can restore the failures of autophagosome-lysosome fusion in *A/A<sup>Hep</sup>* primary hepatocytes during ER stress. I observed that TFEB overexpression significantly increased the co-localization rate of LC3B and LAMP2 to a level similar to that of *Ad-Vec*-infected *Cont.* cells upon ER stress, confirming that autophagosome-lysosome fusion failure can be prevented (Fig. 15B). Furthermore, TFEB overexpression enhanced the co-localization rate of LAMP2 and Cathepsin B in *A/A<sup>Hep</sup>* primary hepatocytes during ER stress (Fig. 15C). Likewise, I found that TFEB overexpression prevented autophagy-lysosome fusion failure in *A/A* immortalized hepatocyte cell lines (Fig. 15D). Together, these observations suggest that TFEB overexpression, possibly through increased expression of its target genes (Fig. 14A), restores lysosome function and then promotes autophagosome-lysosome fusion in eIF2 $\alpha$  phosphorylation deficient cells during ER stress.

Because impaired autophagosome-lysosome fusion can be restored by TFEB overexpression in eIF2 $\alpha$  phosphorylation deficient cells, I envisaged TFEB overexpression as a relevant strategy to ameliorate the autophagy flux blockade in *A/A<sup>Hep</sup>* primary hepatocytes. As expected, the autophagic flux assay using bafilomycin A1 (Baf. A1) confirmed that the Baf. A1-mediated increase in the LC3B-II and P62 steady state levels was observed in *Ad-TFEB-Flag*-infected *A/A<sup>Hep</sup>* primary hepatocytes, whereas no further enhancement in their protein levels was observed upon the addition of Baf. A1 to *Ad-Vec*-infected *A/A<sup>Hep</sup>* primary

hepatocytes during ER stress, indicating that TFEB overexpression, which can increase the expression of autophagy-related and lysosomal genes, promotes lysosomal clearance of autophagic cargoes (Fig. 15E, F).

# Figures

Figure 1.



**Figure 1. eIF2 $\alpha$  phosphorylation contributes to the activation of all three UPR pathways.**

(A, B). Western blot analyses of proteins of (A) PERK/eIF2 $\alpha$  and (B) IRE1 $\alpha$ /XBP1 pathways were performed in liver lysates from *Control* (*Cont.*) and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for indicated times.  $\beta$ -actin was probed to demonstrate equal loading.

(C). Unspliced *Xbp1* (*Xbp1us*) and spliced *Xbp1* (*Xbp1s*) mRNA levels were detected by RT-PCR in liver lysates from *Control* (*Cont.*) and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for indicated times.

(D). Western blot analyses of ATF6 $\alpha$ , Grp94 and BiP were performed in liver lysates from *Control* (*Cont.*) and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for indicated times. (\* : non-specific band).

(E) Expression levels of UPR related genes induced by ER stress were measured by quantitative real-time PCR in liver lysates from *Control* (*Cont.*) and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for indicated times.

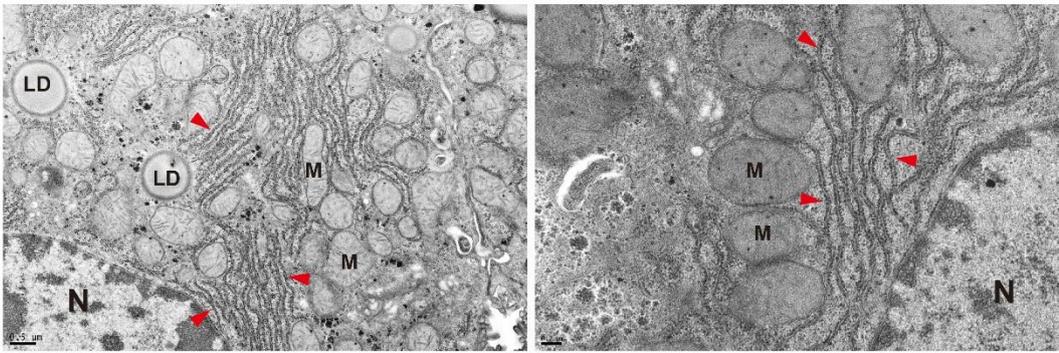
All data are mean  $\pm$  SEM (n=3 mice per group); \*p < 0.05, \*\*p < 0.01 and \*\*\*p < 0.001; 0 vs each time points in the control group, #p < 0.05, ##p < 0.01 and ###p < 0.001; 0 vs each time points in the *A/A<sup>Hep</sup>* group, &p < 0.05, &&p < 0.01 and &&&p < 0.001; *Control* (*Cont.*) vs *A/A<sup>Hep</sup>* mice at each time points.

Figure 2.

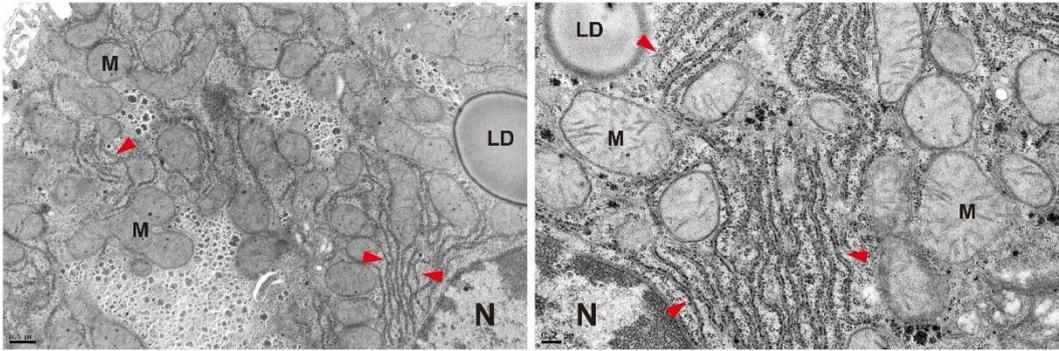
**A**

Vehicle

*Cont.*



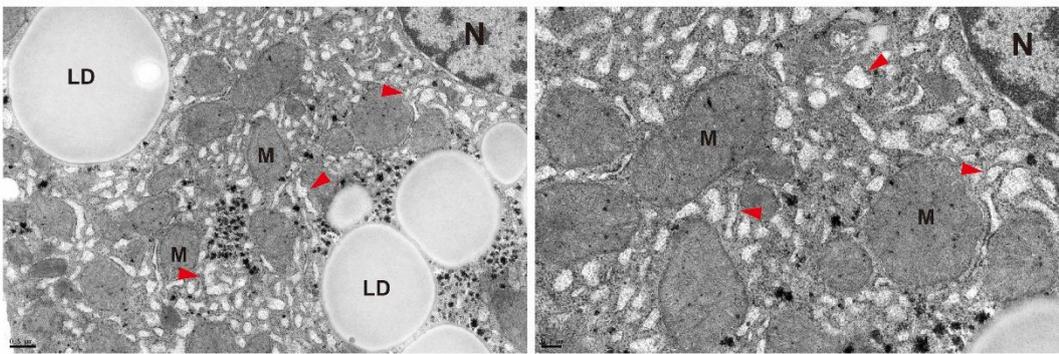
*A/A<sup>Hep</sup>*



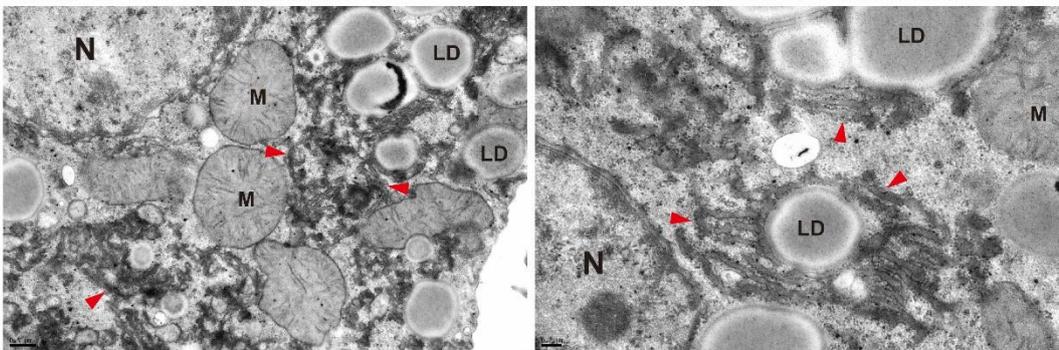
**B**

Tm (1 mg/kg, 24 h)

*Cont.*



*A/A<sup>Hep</sup>*

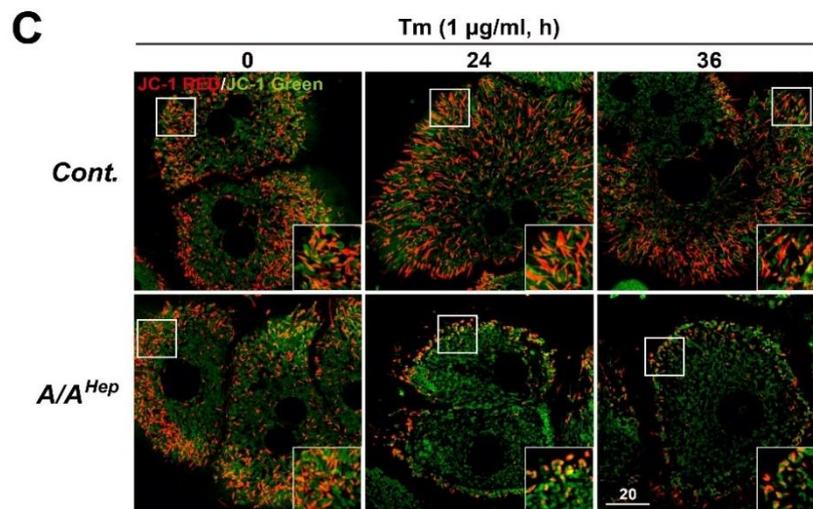
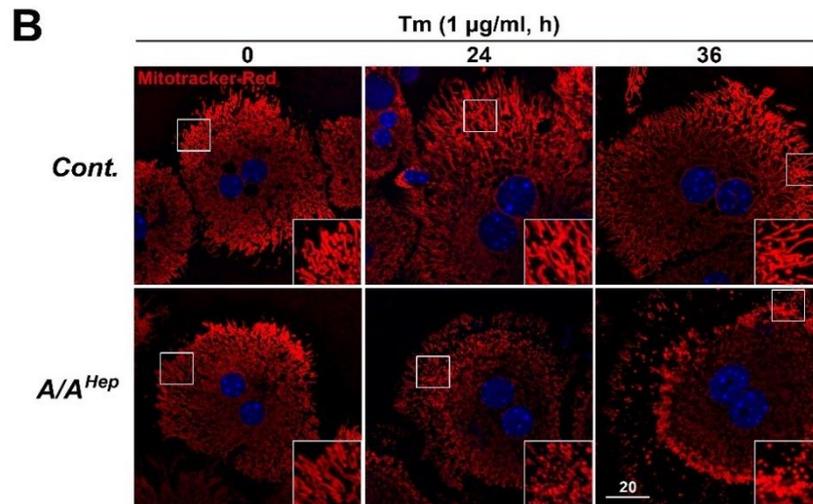
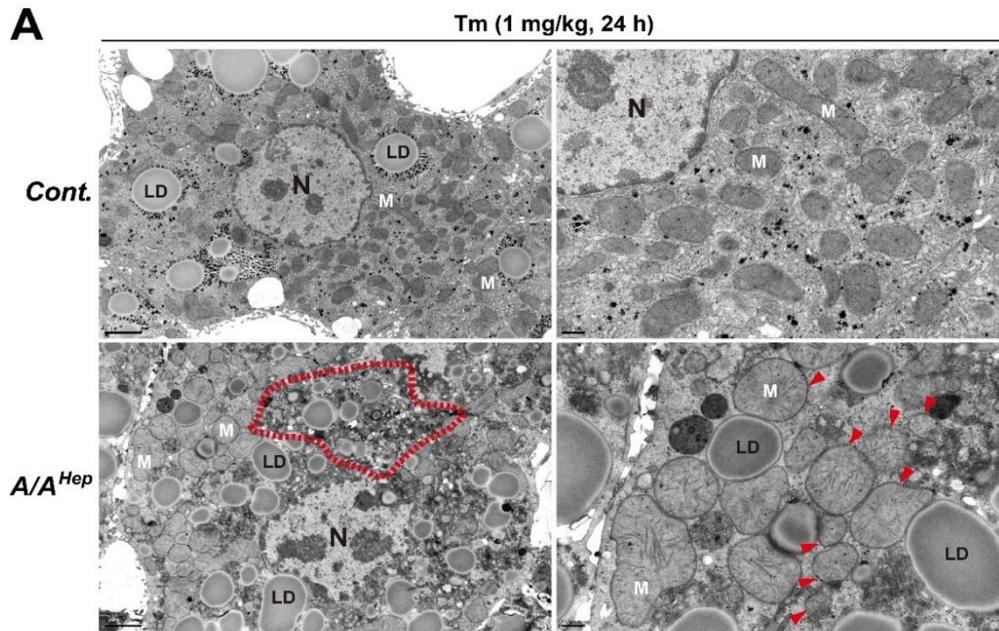


▶ ER

**Figure 2. eIF2 $\alpha$  phosphorylation is required for proper remodeling of the ER in response to ER stress.**

(A, B) Transmission electron microscopy (TEM) analyses of ER structures were performed in liver sections from *Control (Cont.)* and *A/A<sup>Hep</sup>* mice treated with (A) vehicle or (B) tunicamycin (1 mg/kg) for 24 h. Red arrowheads indicate ER structures. Scale bars in left panels: 0.5  $\mu\text{m}$  and Scale bars in right panels: 0.2  $\mu\text{m}$ .

Figure 3.



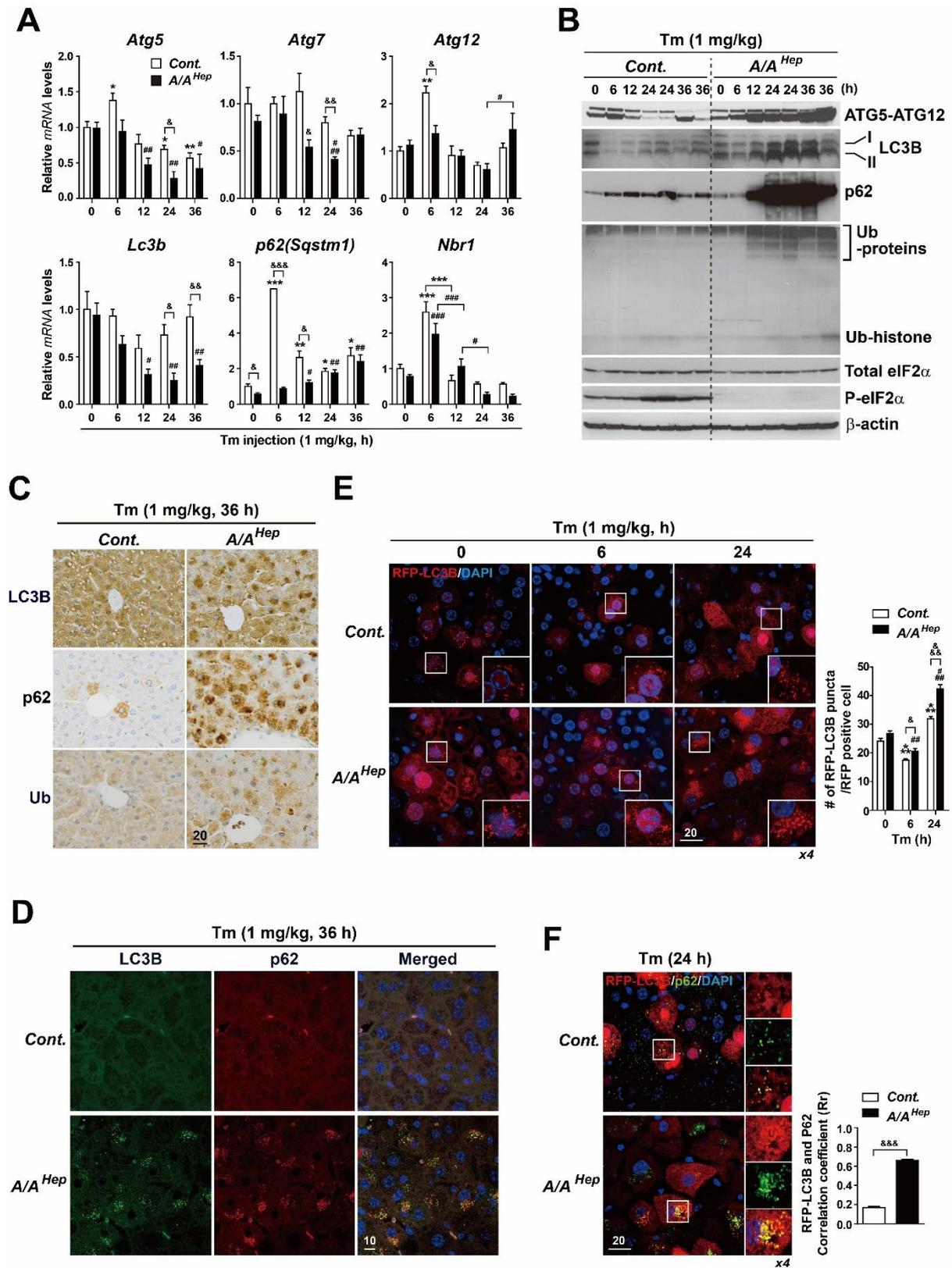
**Figure 3. eIF2 $\alpha$  phosphorylation is required to maintain mitochondrial dynamics and membrane potential under ER stress.**

(A) Transmission electron microscopy (TEM) analyses of mitochondrial structures were performed in liver sections from *Control* (*Cont.*) and *A/A<sup>Hep</sup>* mice treated with tunicamycin for 24 h. Red dotted line represents accumulated autophagic vesicles. Red arrowheads indicate mitochondria. Mitochondria of *A/A<sup>Hep</sup>* mice were larger than them of the *control* mice. M: Mitochondria, LD: Lipid droplet and N: nucleus. Scale bars in left panels: 0.5  $\mu\text{m}$  and Scale bars in right panels: 0.2  $\mu\text{m}$ .

(B) Change of mitochondrial morphology was observed in the *Cont.* and *A/A<sup>Hep</sup>* primary hepatocytes treated with tunicamycin (Tm, 1  $\mu\text{g}/\text{ml}$ ) for indicated times. The cells were labeled with 50 nM Mitotracker-Red CMXRos and 4  $\mu\text{g}/\text{ml}$  Hoechst 33258 for 30 min. Insets show magnified views of the area outlined in the white boxes. Scale bars: 20  $\mu\text{m}$ .

(C) Change of mitochondrial membrane potential was observed in the *Cont.* and *A/A<sup>Hep</sup>* primary hepatocytes treated with tunicamycin (Tm, 1  $\mu\text{g}/\text{ml}$ ) for indicated times. The cells were labeled with 2.5  $\mu\text{M}$  JC-1 for 30 min. Insets show magnified views of the area outlined in the white boxes. Scale bars: 20  $\mu\text{m}$ .

Figure 4.



**Figure 4. eIF2 $\alpha$  phosphorylation deficiency increases autophagosome formation in the liver in response to ER stress.**

(A) Expression profiles of autophagy related genes in response to ER stress. Quantitative real-time PCR was performed by using total RNAs from liver tissues of the *Control* (*Cont.*) and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for indicated times. (n=3 independent experiments at each time point per group).

(B). Western blot analyses of ATG5-ATG12 complex, LC3B, P62 and ubiquitinated protein (Ub) were performed in liver lysates from *Control* (*Cont.*) and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for indicated times.  $\beta$ -actin was probed to demonstrate equal loading.

(C) Immunohistochemistry of LC3B, P62 and Ubiquitinated protein (Ub) expression was performed in liver sections of the *Control* and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for 36 h. Scale bars: 20  $\mu$ m.

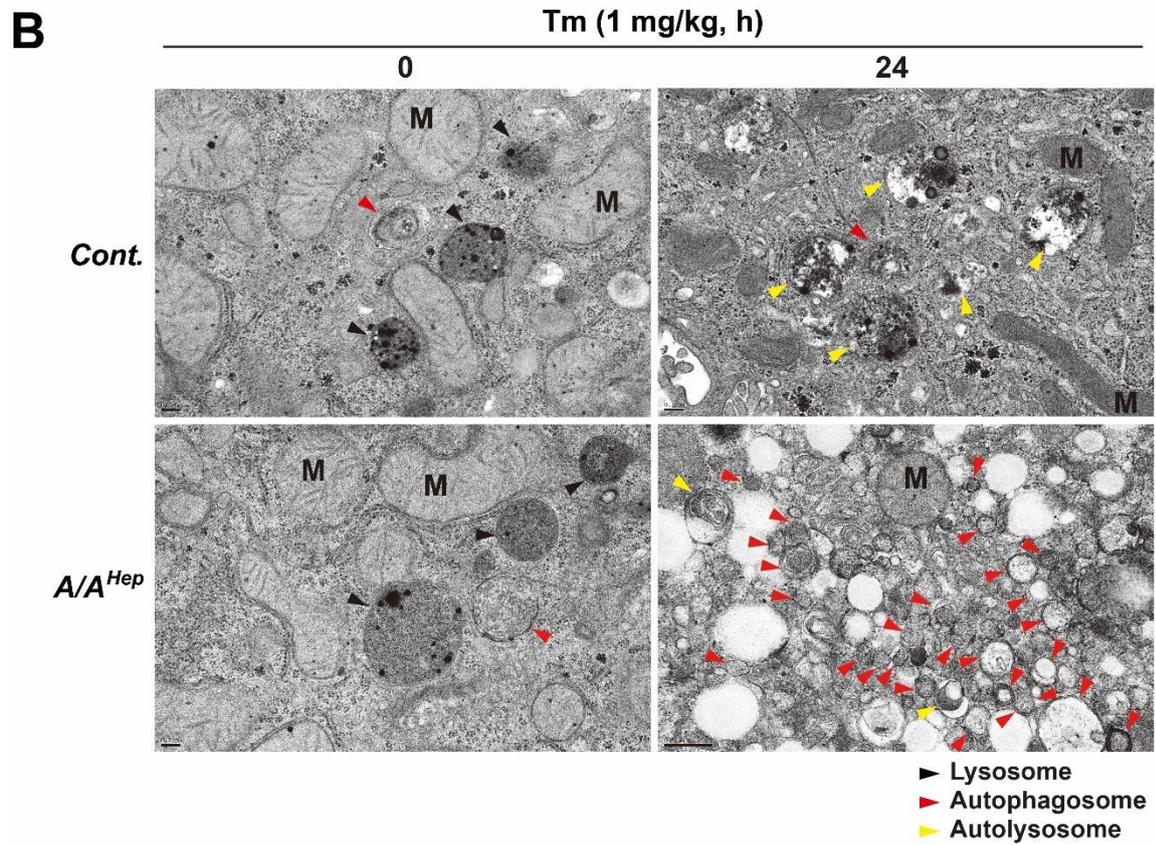
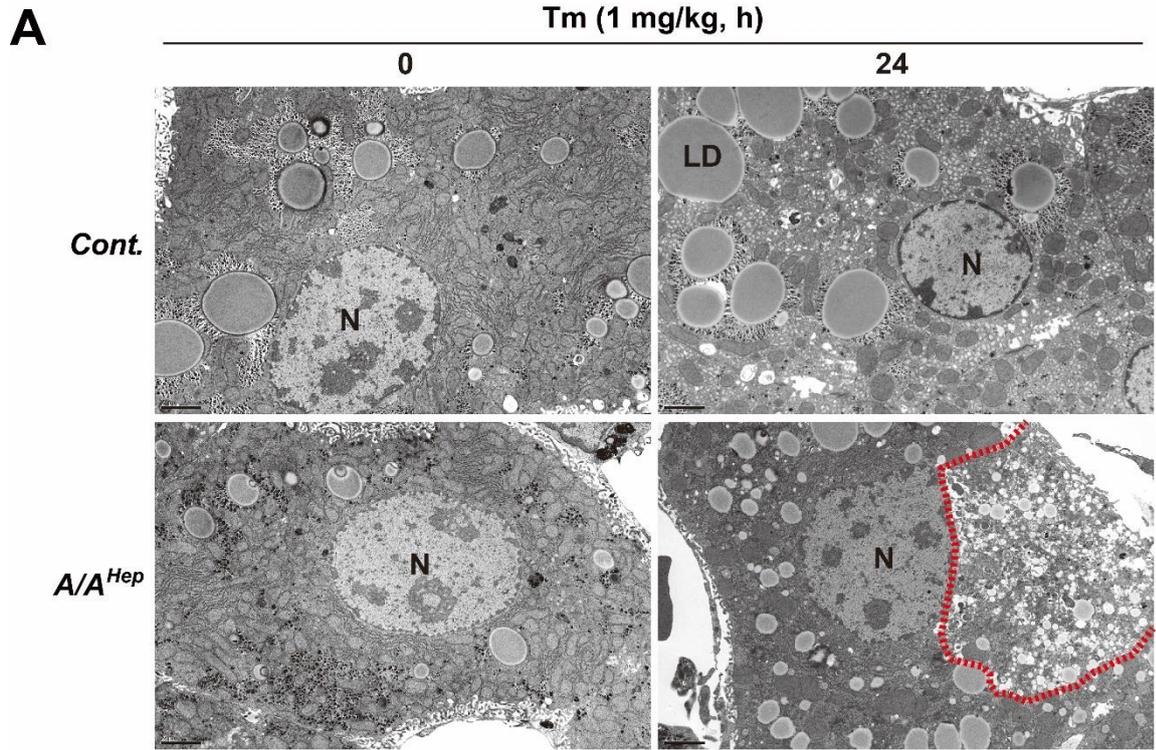
(D) Immunofluorescence analyses of autophagosome marker proteins LC3B and P62 were performed in liver sections of the *Control* and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for 36 h. Scale bars: 10  $\mu$ m.

(E) Observation of RFP-LC3B puncta in liver sections. RFP-LC3B expressing adenovirus ( $2.0 \times 10^9$  IFU/100  $\mu$ l per mouse) was administered by tail-vein injection. The left panels show confocal microscopy images of RFP-LC3B in liver vibratome sections from *Control* (*Cont.*) and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for indicated times. Quantification of RFP-LC3B puncta is shown in the graphs. Insets show magnified views of the area outlined in the white boxes. Scale bars: 20  $\mu$ m.

(F) Observation of RFP-LC3B and P62 colocalization in liver sections. RFP-LC3B expressing adenovirus ( $2.0 \times 10^9$  IFU/100  $\mu$ l per mouse) was administered by tail-vein injection. RFP-LC3B fluorescence (Red) and P62 immunofluorescence (Green) were observed in liver vibratome sections from *Control* (*Cont.*) and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for 24 h. Panels on the right are high magnifications of the boxed regions. Co-localization of RFP-LC3B and P62 was analyzed by Pearson correlation coefficients (Rr) by using FV10-ASW software (Olympus). Scale bars: 20  $\mu$ m.

All data are mean  $\pm$  SEM (n=3 mice per group); \*p < 0.05, \*\*p < 0.01 and \*\*\*p < 0.001; 0 vs each time point in the control group, #p < 0.05, ##p < 0.01 and ###p < 0.001; 0 vs each time points in the *A/A<sup>Hep</sup>* group, &p < 0.05, &&p < 0.01 and &&&p < 0.001; *Control* (*Cont.*) vs *A/A<sup>Hep</sup>* mice at each time point.

Figure 5.

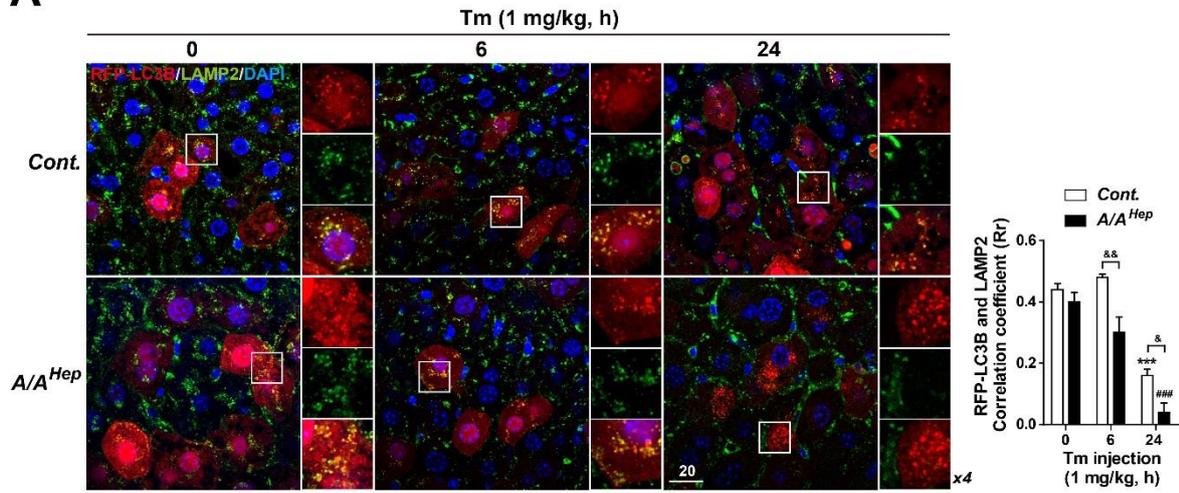


**Figure 5. eIF2 $\alpha$  phosphorylation deficiency results in the accumulation of autophagic vesicles in the liver in response to ER stress.**

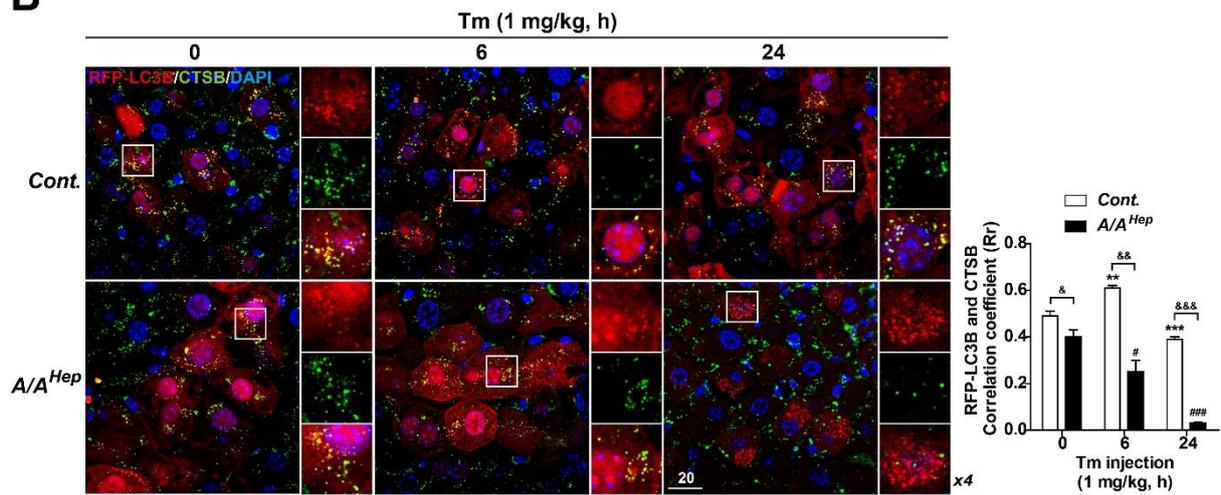
(A, B) Transmission electron microscopy analyses of autophagic vesicles were performed in liver sections of the *Control* and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for 24 h. Red dotted line represents accumulated autophagic vesicles. Red arrow heads indicate double membrane-autophagosome (AP). Black arrow heads indicate lysosome, red arrow heads indicate autophagosome and yellow arrow heads indicate autolysosome. Scale bars in Figure A: 2  $\mu$ m and Scale bars in Figure B: 0.2  $\mu$ m.

Figure 6.

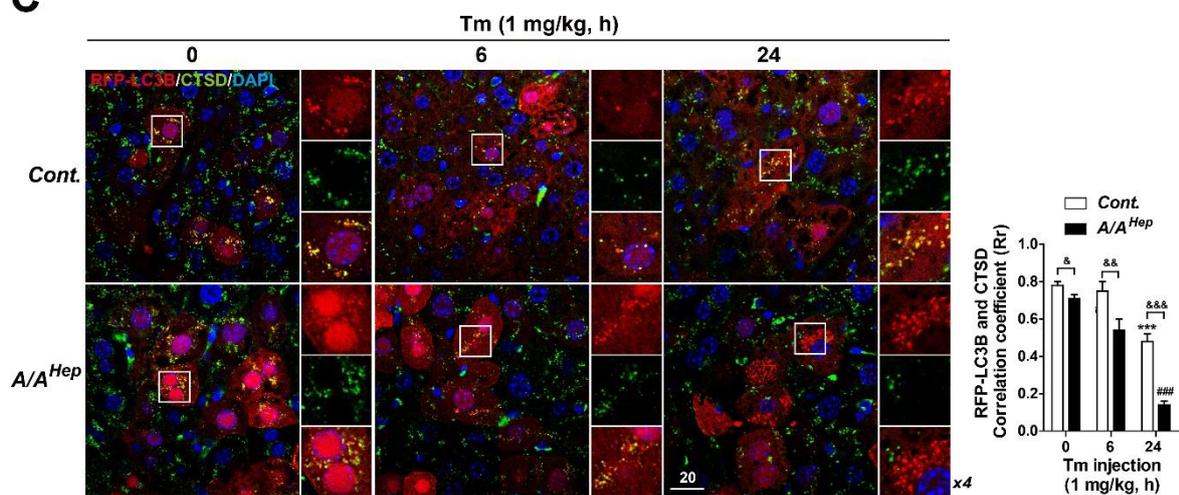
**A**



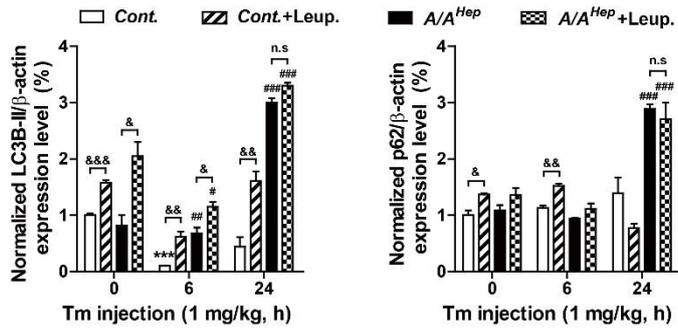
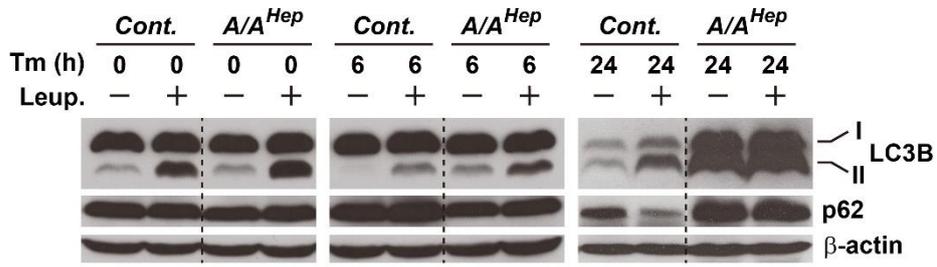
**B**



**C**



**D**



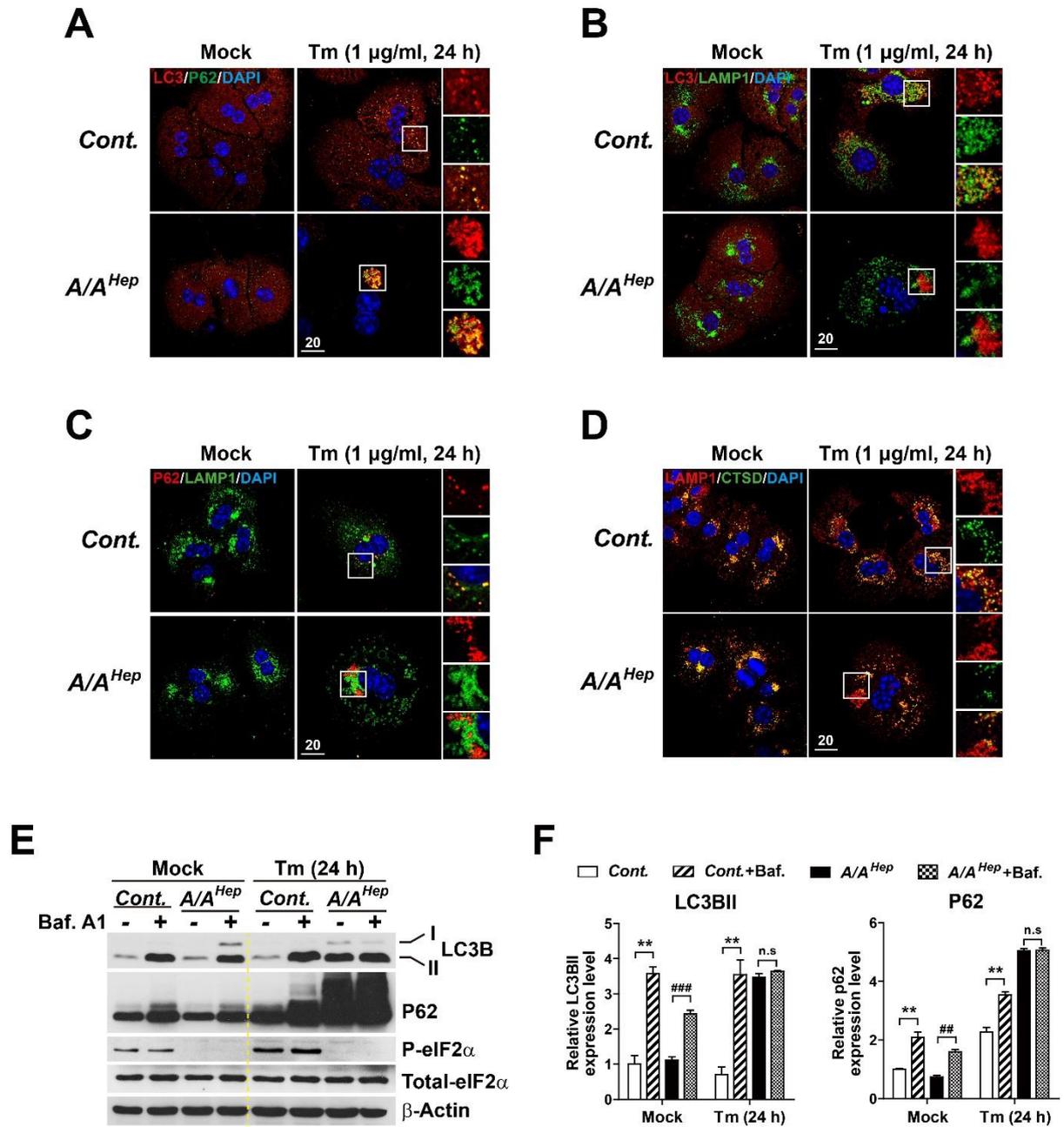
**Figure 6. eIF2 $\alpha$  phosphorylation deficiency impairs the fusion of autophagosomes with lysosomes in the liver in response to ER stress.**

(A-C). To observe co-localization between autophagosome marker and lysosome marker, RFP-LC3B-expressing adenovirus ( $2.0 \times 10^9$  IFU/100  $\mu$ l per mouse) was administered by tail-vein injection (n = 3 per group). Immunofluorescence analyses were performed in the liver vibratome sections of the *Control (Cont.)* and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for indicated times. Liver sections infected by RFP-LC3B-expressing adenovirus were stained with anti-LAMP2 (A), Cathepsin B (B), or Cathepsin D (C) antibodies. RFP-LC3B fluorescence (Red) and LAMP2 (A), Cathepsin B (B), or Cathepsin D (C) immunofluorescence (Green) were observed. Small panels on the right of each panel are high magnifications of the boxed regions. Co-localization of RFP-LC3B and LAMP2 (A), Cathepsin B (B), or Cathepsin D (C) were analyzed by Pearson correlation coefficients (Rr) by using FV10-ASW software (Olympus). Scale bars: 20  $\mu$ m.

(D) Western blot analyses of LC3B and P62 were performed in the liver lysates of the *Control* and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for indicated times. For leupeptin (Leup.) administration, leupeptin was injected intraperitoneally at a dose of 35 mg/kg prior to 1 h of euthanasia in the *Control* and *A/A<sup>Hep</sup>* mice (n = 3 per group). Band intensity was quantified and analyzed with ImageJ software after normalizing the expression levels of protein to  $\beta$ -actin.

All data are mean  $\pm$  SEM (n=3 mice per group); \*p < 0.05, \*\*p < 0.01 and \*\*\*p < 0.001; 0 vs each time point in the control group, #p < 0.05, ##p < 0.01 and ###p < 0.001; 0 vs each time point in the *A/A<sup>Hep</sup>* group, &p < 0.05, &&p < 0.01 and &&&p < 0.001; *Control (Cont.)* vs *A/A<sup>Hep</sup>* mice, *Control (Cont.)* vs leupeptin-injected *Control (Cont.)* mice, or *A/A<sup>Hep</sup>* vs leupeptin-injected *A/A<sup>Hep</sup>* mice at each time point.

Figure 7.



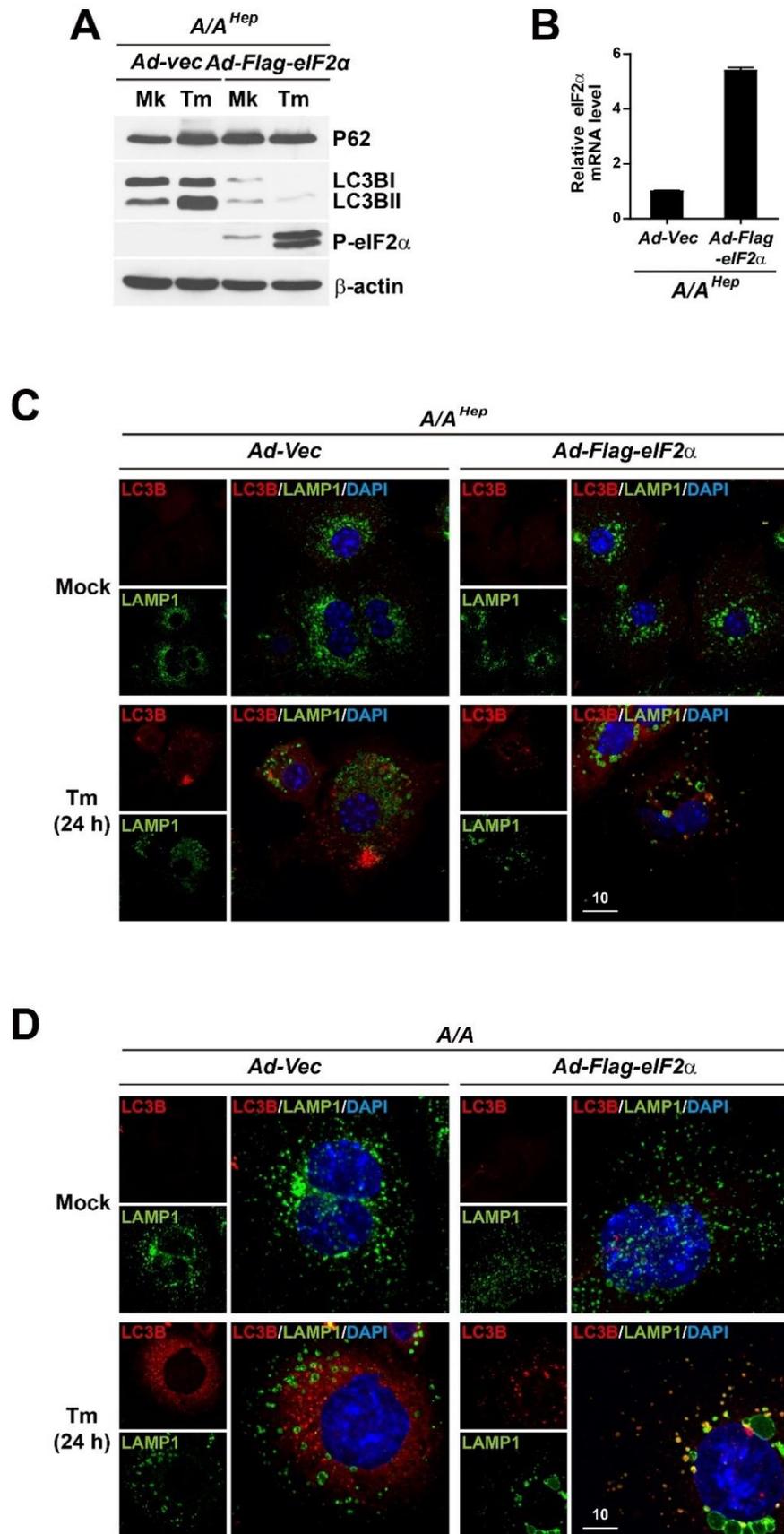
**Figure 7. eIF2 $\alpha$  phosphorylation deficiency impairs the fusion between autophagosome and lysosomes in primary hepatocytes in response to ER stress.**

(A-D). Immunofluorescence analyses of (A) LC3B (Red) and P62 (Green), (B) LC3B (Red) and LAMP1 (Green), (C) P62 (Red) and LAMP1 (Green), or (D) LAMP1 (Red) and Cathepsin D(CTSD) (Green) were performed in the *Control* and *A/A<sup>Hep</sup>* primary hepatocytes treated with mock or tunicamycin (Tm, 1  $\mu$ g/ml) for indicated times. Small panels on the right of each panel are high magnifications of the white boxed regions. Scale bars: 20  $\mu$ m.

(E, F). Western blot analyses of LC3B, P62, total eIF2 $\alpha$ , and phospho-eIF2 $\alpha$  were performed in the *Control* and *A/A<sup>Hep</sup>* primary hepatocytes treated with mock or tunicamycin (Tm, 1  $\mu$ g/ml) for 24 h. The cells were treated with or without bafilomycin A1 (Baf.A1, 200 nM) for 3 h prior to harvest. (n=3 independent experiments at each time point per group). Band intensity was quantified and analysed with ImageJ software after normalizing the expression levels of protein to  $\beta$ -actin.

All data are mean  $\pm$  SEM (n=3 mice per group); \*\*p < 0.01; *Control* (*Cont.*) vs bafilomycin A1-treated *Control* (*Cont.*) cells at each condition and ###p < 0.01 and ####p < 0.001; *A/A<sup>Hep</sup>* vs bafilomycin A1-treated *A/A<sup>Hep</sup>* cells at each condition.

Figure 8.



**Figure 8. Wild type eIF2 $\alpha$  overexpression increases autolysosome formation in eIF2 $\alpha$  phosphorylation-deficient cells in response to ER stress.**

(A-C) Primary hepatocytes isolated from *A/A<sup>Hep</sup>* mice were infected with recombinant adenovirus expressing wild type mouse Flag-eIF2 $\alpha$  (*Ad-Flag-eIF2 $\alpha$* ) or empty (*Ad-Vec*) for 24 h and then treated with mock (Mk) or tunicamycin (Tm, 1  $\mu$ g/ml) for 24 h.

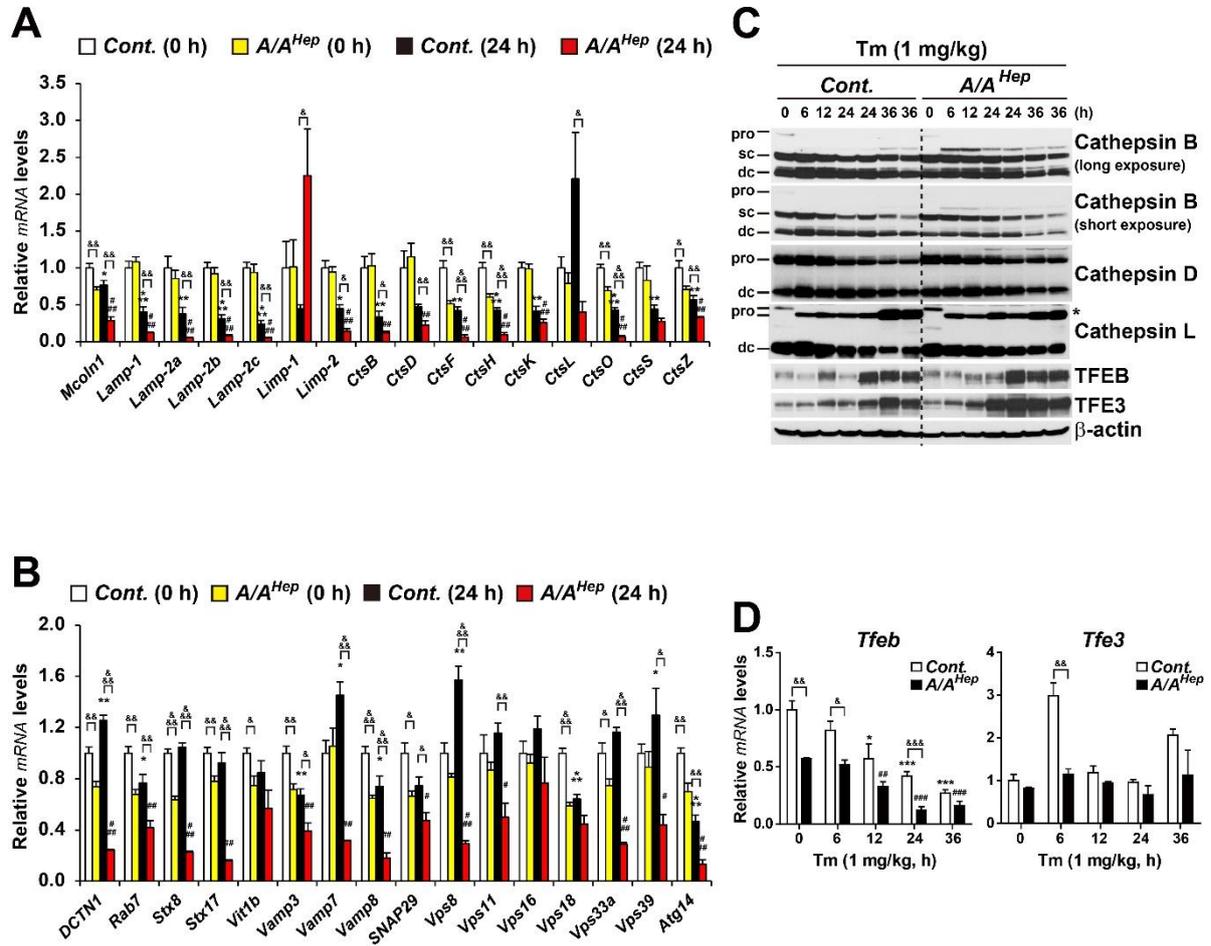
(A) Western blot analyses of LC3B, P62, P-eIF2 $\alpha$  and  $\beta$ -actin were performed in lysates of the primary hepatocytes treated with mock or tunicamycin (Tm, 1  $\mu$ g/ml) for 24 h.

(B) To measure mRNA levels of total eIF2 $\alpha$ , quantitative real-time PCR was performed by using total RNAs of the primary hepatocytes treated with mock or tunicamycin (Tm, 1  $\mu$ g/ml) for 24 h (n=3 independent experiments at each condition).

(C) Immunofluorescence analyses of LC3B and LAMP1 were performed in primary hepatocytes treated with mock or tunicamycin (Tm, 1  $\mu$ g/ml) for 24 h. In each condition, left two small panels are for LC3B (Red channel) and LAMP1 (Green channel) staining images. Right big panel is for LC3B/LAMP1/DAPI merged image. Scale bars: 20  $\mu$ m.

(D) *A/A* hepatocytes were infected with recombinant adenovirus expressing wild type mouse Flag-eIF2 $\alpha$  (*Ad-Flag-eIF2 $\alpha$* ) or empty (*Ad-Vec*) for 24 h and then treated with tunicamycin (Tm, 1  $\mu$ g/ml) for 24 h. Immunofluorescence analyses of LC3B (Red channel) and LAMP1 (Green channel) were performed in primary hepatocytes treated with mock or tunicamycin. In each condition, left two small panels are for LC3B (Red channel) and LAMP1 (Green channel) staining images. Right big panel is for LC3B/LAMP1/DAPI merged image. Scale bars: 20  $\mu$ m.

Figure 9.



**Figure 9. eIF2 $\alpha$  phosphorylation deficiency leads to dysregulated expression of autophagy-related genes in the liver upon ER stress.**

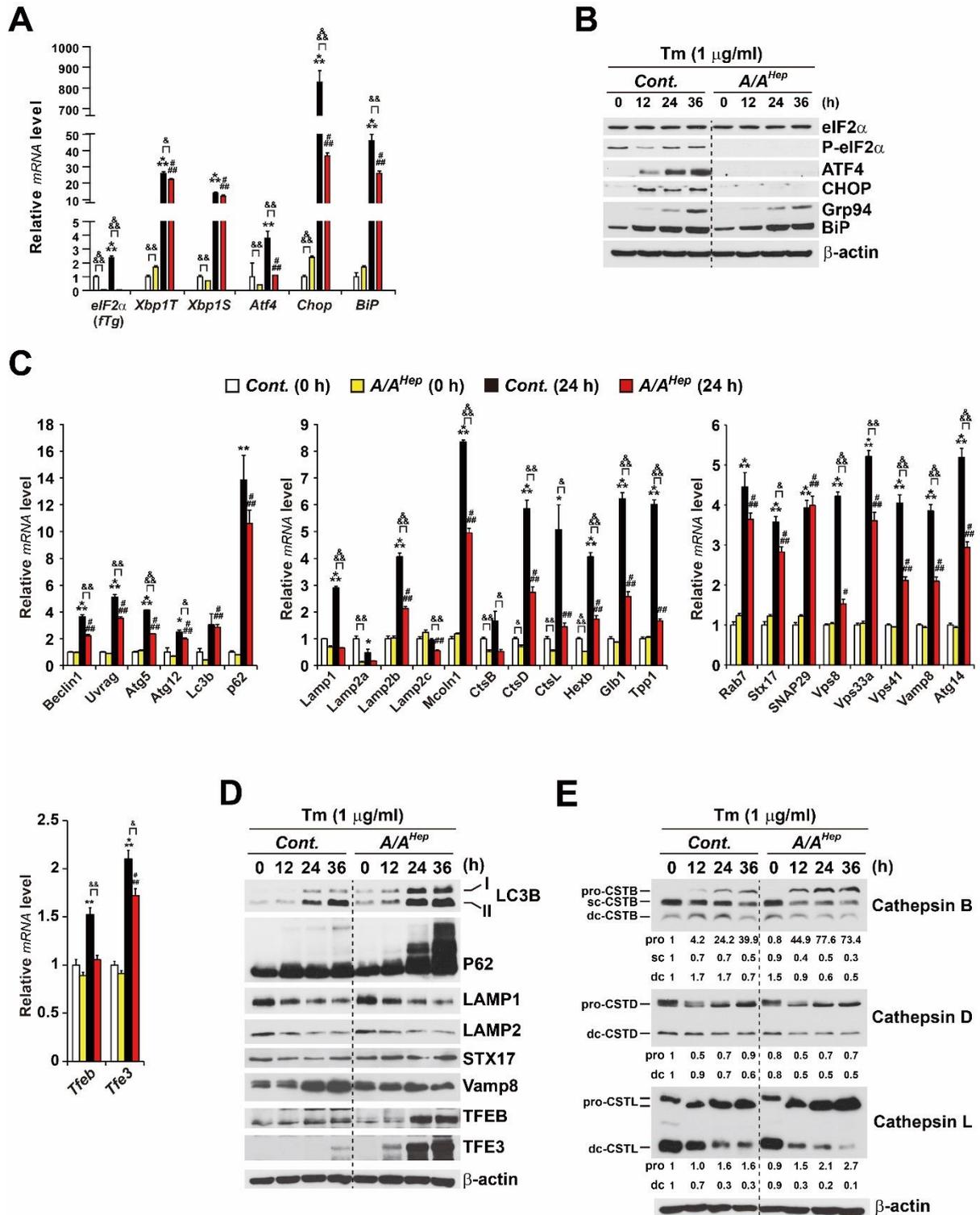
(A, B) Expression profiles of lysosomal genes (A) and autophagosome-lysosomal fusion related genes (B) in response to ER stress. Quantitative real-time PCR was performed by using total RNAs from liver tissues of the *Control* (*Cont.*) and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for 24 h. (n=3 independent experiments per group).

(C) Western blot analyses of lysosomal proteins Cathepsin B, D, and L and autophagy master transcription factors TFEB and TFE3 were performed in the liver lysates of the *Control* (*Cont.*) and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for indicated times.

(D) mRNA expression levels of TFEB and TFE3. Quantitative real-time PCR was performed by using total RNAs from liver tissues of the *Control* (*Cont.*) and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for indicated times. (n=3 independent experiments at each time point per group).

All data are mean  $\pm$  SEM (n=3 mice per group); \*p < 0.05, \*\*p < 0.01 and \*\*\*p < 0.001; 0 h vs 24 h in the control group, #p < 0.05, ##p < 0.01 and ###p < 0.001; 0 h vs 24 h in the *A/A<sup>Hep</sup>* group, &p < 0.05, &&p < 0.01 and &&&p < 0.001; *Control* (*Cont.*) vs *A/A<sup>Hep</sup>* mice at each time point.

Figure 10.



**Figure 10. eIF2 $\alpha$  phosphorylation deficiency leads to dysregulated expression of autophagy-related gene in primary hepatocytes upon ER stress.**

(A) Expression profiles of UPR-related genes in response to ER stress. Quantitative real-time PCR was performed by using total RNAs of the *Control (Cont.)* and *A/A<sup>Hep</sup>* primary hepatocytes treated with tunicamycin (Tm, 1 mg/kg) for 24 h. (n=3 independent experiments per group).

(B) Western blot analyses of UPR-related proteins were performed in lysates of the *Control (Cont.)* and *A/A<sup>Hep</sup>* primary hepatocytes treated with tunicamycin (Tm, 1 mg/kg) for indicated times.

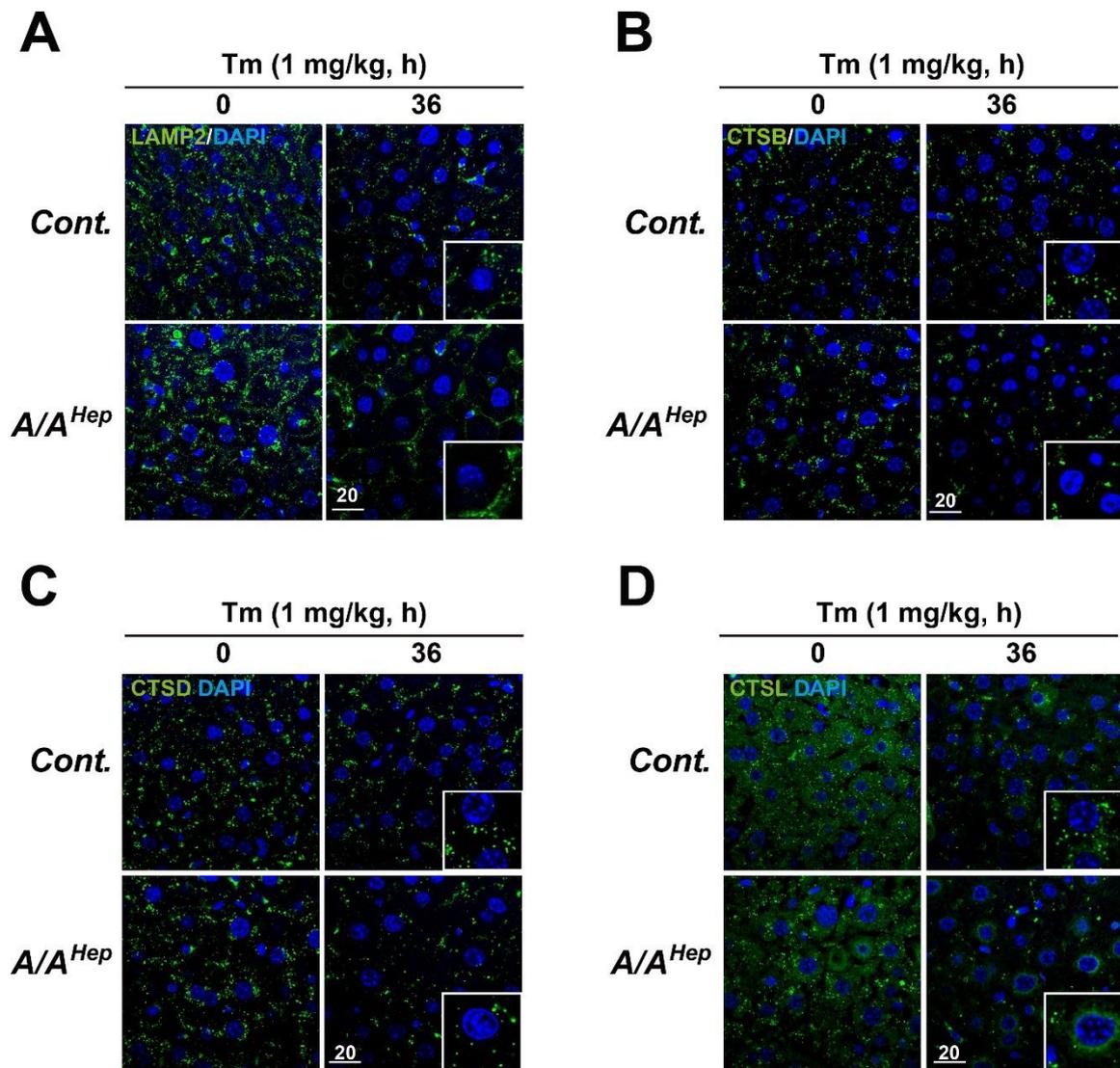
(C) Expression profiles of autophagosome, lysosome, and autophagosome-lysosomal fusion related genes in response to ER stress. Quantitative real-time PCR was performed by using total RNAs from liver tissues of the *Control (Cont.)* and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for 24 h. (n=3 independent experiments per group).

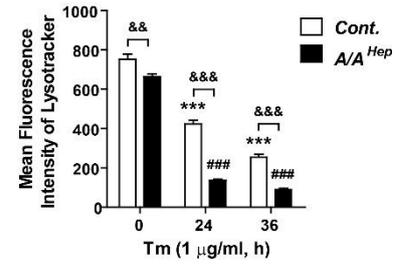
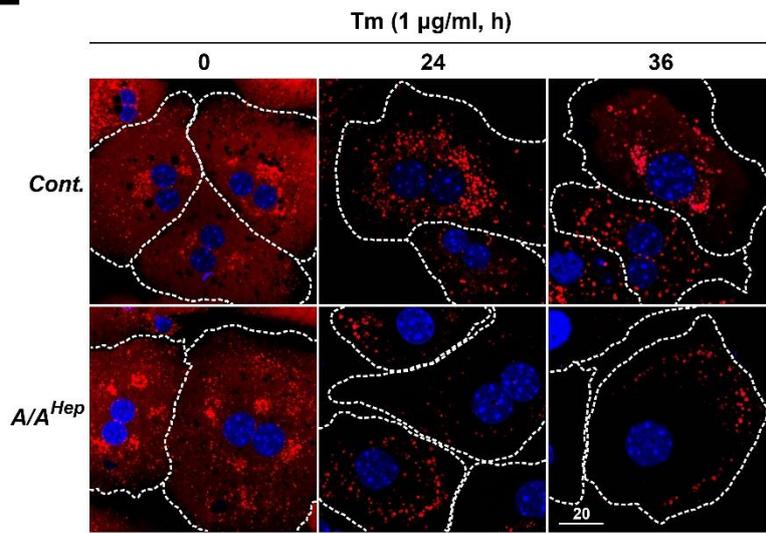
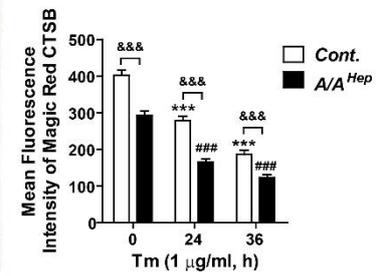
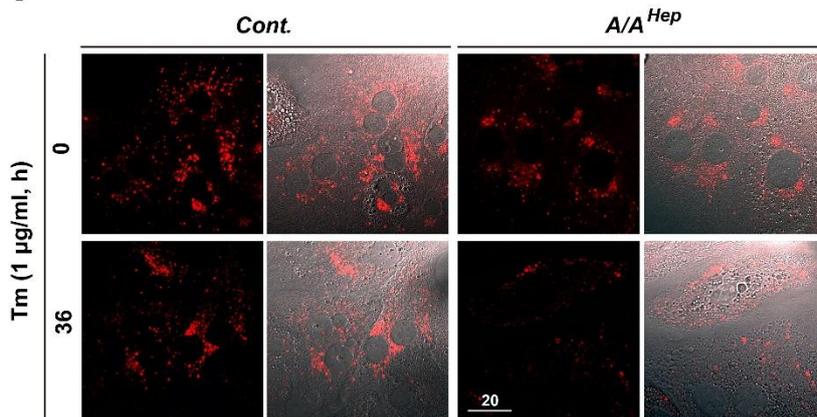
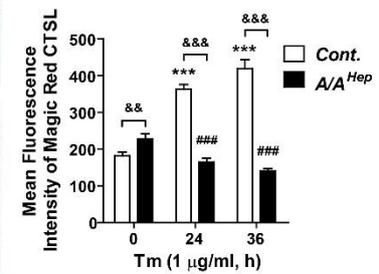
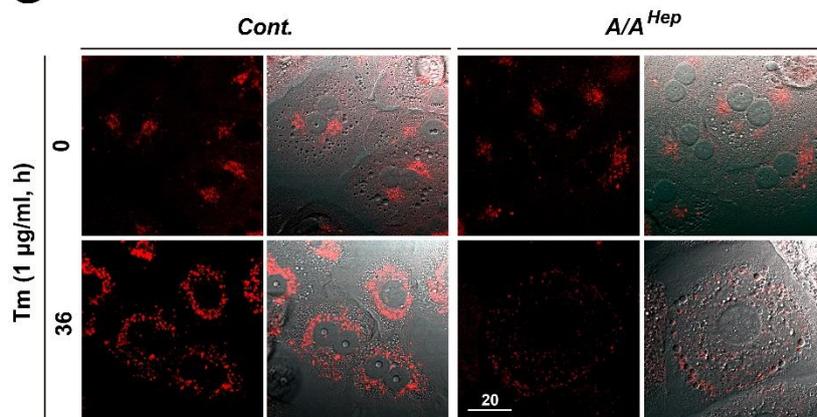
(D) Western blot analyses of autophagy-related proteins were performed in lysates of the *Control (Cont.)* and *A/A<sup>Hep</sup>* primary hepatocytes treated with tunicamycin (Tm, 1 mg/kg) for indicated times.

(E) Western blot analyses of lysosomal enzymes were performed in lysates of the *Control (Cont.)* and *A/A<sup>Hep</sup>* primary hepatocytes treated with tunicamycin (Tm, 1 mg/kg) for indicated times. Band intensity was quantified and analysed with ImageJ software after normalizing the expression levels of protein to  $\beta$ -actin.

All data are mean  $\pm$  SEM (n=3 mice per group); \*p < 0.05, \*\*p < 0.01 and \*\*\*p < 0.001; 0 h vs 24 h in the control group, #p < 0.05, ##p < 0.01 and ###p < 0.001; 0 h vs 24 h in the *A/A<sup>Hep</sup>* group, &p < 0.05, &&p < 0.01 and &&&p < 0.001; *Control (Cont.)* vs *A/A<sup>Hep</sup>* cells at each time point.

Figure 11.



**E****F****G**

**Figure 11. eIF2 $\alpha$  phosphorylation deficiency disrupts lysosome positioning and lysosome functionality, which can affect autophagic flux upon ER stress.**

(A-D). To observe localization of lysosomal proteins (A) LAMP2, (B) Cathepsin B (CTSB), (C) Cathepsin D (CTSD) and (D) Cathepsin L (CTSL), Immunofluorescence analyses were performed in the liver vibratome sections from *Control* and *A/A<sup>Hep</sup>* mice injected with tunicamycin (Tm, 1 mg/kg) for 36 h. Scale bars: 20  $\mu$ m.

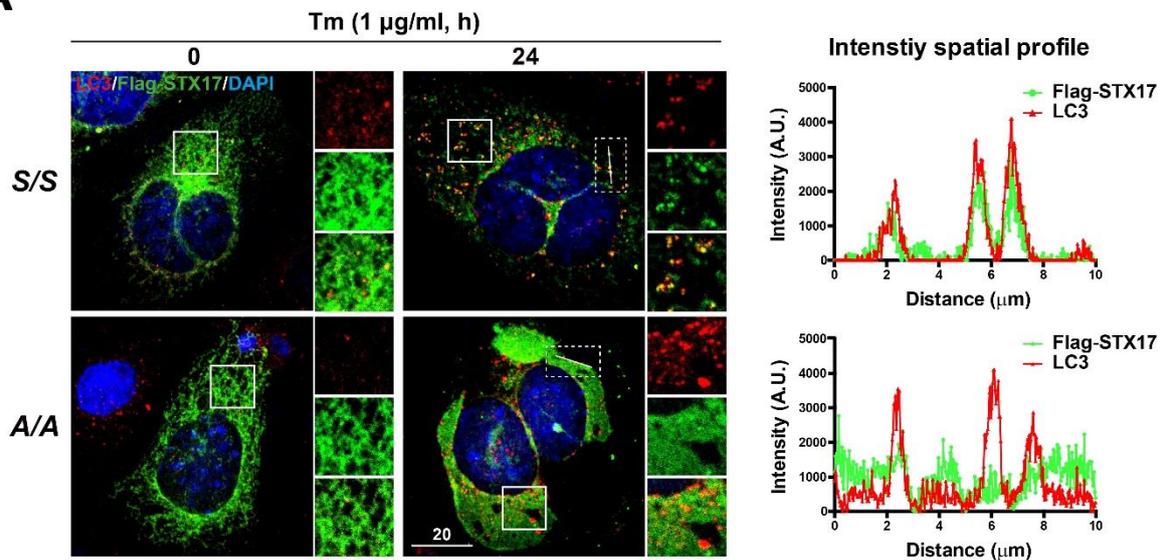
(E) The Tm (1  $\mu$ g/ml)-treated *Control* and *A/A<sup>Hep</sup>* primary hepatocytes were labeled with lysotracker (400 nM) to observe active lysosomes at indicated times. Mean fluorescence intensities (MFI) of lysotracker staining were measured with FV10-ASW image analysis software (Olympus) (n=50 at each time point per group). Scale bars: 20  $\mu$ m.

(F, G) The Tm (1  $\mu$ g/ml)-treated *Control* and *A/A<sup>Hep</sup>* primary hepatocytes were labeled with Magic Red Cathepsin B assay (F) and Magic Red Cathepsin L assay (G) kits to monitor lysosomal enzyme activity at indicated times. Mean fluorescence intensities (MFI) of lysotracker staining were measured with FV10-ASW image analysis software (Olympus) (n=50 at each time point per group). Scale bars: 20  $\mu$ m.

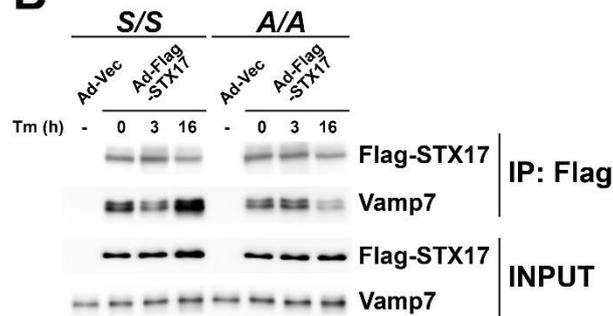
All data are mean  $\pm$  SEM (n=3 mice per group); \*\*\*p < 0.001; 0 h vs 24 h in the control group, ###p < 0.001; 0 h vs 24 h in the *A/A<sup>Hep</sup>* group, &&p < 0.01 and &&&p < 0.001; *Control (Cont.)* vs *A/A<sup>Hep</sup>* cells at each time point.

Figure 12.

**A**



**B**

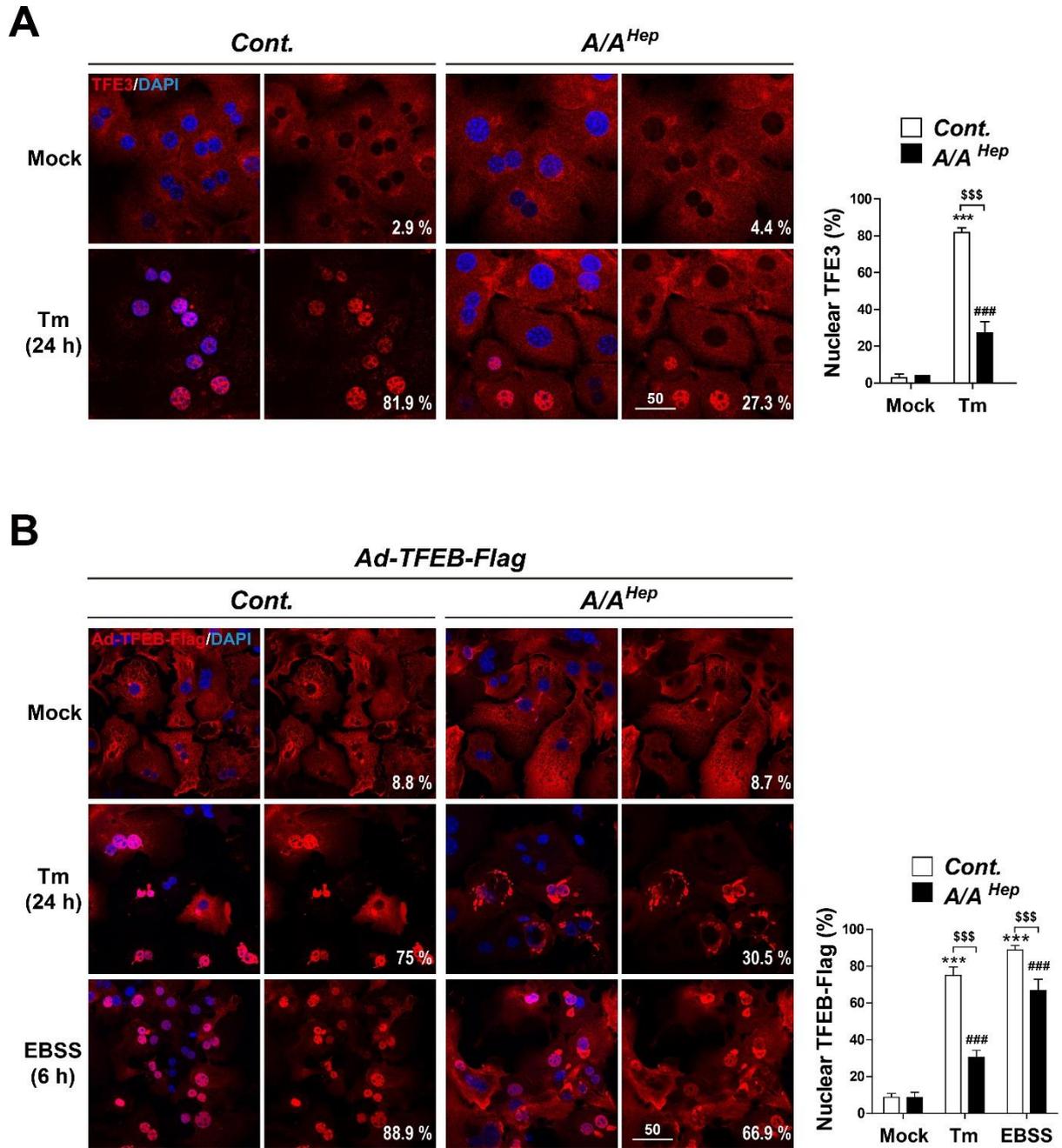


**Figure 12. eIF2 $\alpha$  phosphorylation is responsible for the recruitment of SNARE complexes for autophagosome-lysosome fusion in response to ER stress.**

(A) Confocal microscopy analysis of co-localization between LC3B (red channel) and Flag-Syntaxin 17 (green channel) in the *S/S* (*Control*) and *A/A* hepatocyte cell lines treated with Tm (1 µg/ml) for 24 h. Small panels on the right of each panel are high magnifications of the white boxed regions. Scale bars: 20 µm. Intensity spatial profiles of the marked lines were obtained by FV10-ASW software (Olympus). Traces of fluorescence intensity spatial profiles indicate the intensity distribution of red and green channels through the white line in the dotted boxes.

(B) To verify interactions between Flag-STX17 and Vamp7, Co-immunoprecipitation analyses were performed with Flag-magnetic beads in cell extracts of Flag-STX17 expressing or empty adenovirus infected *S/S* (*Control*) and *A/A* hepatocytes treated with tunicamycin (Tm, 1 µg/ml) for indicated times. Immunoprecipitated proteins were analyzed by Western blotting.

Figure 13.



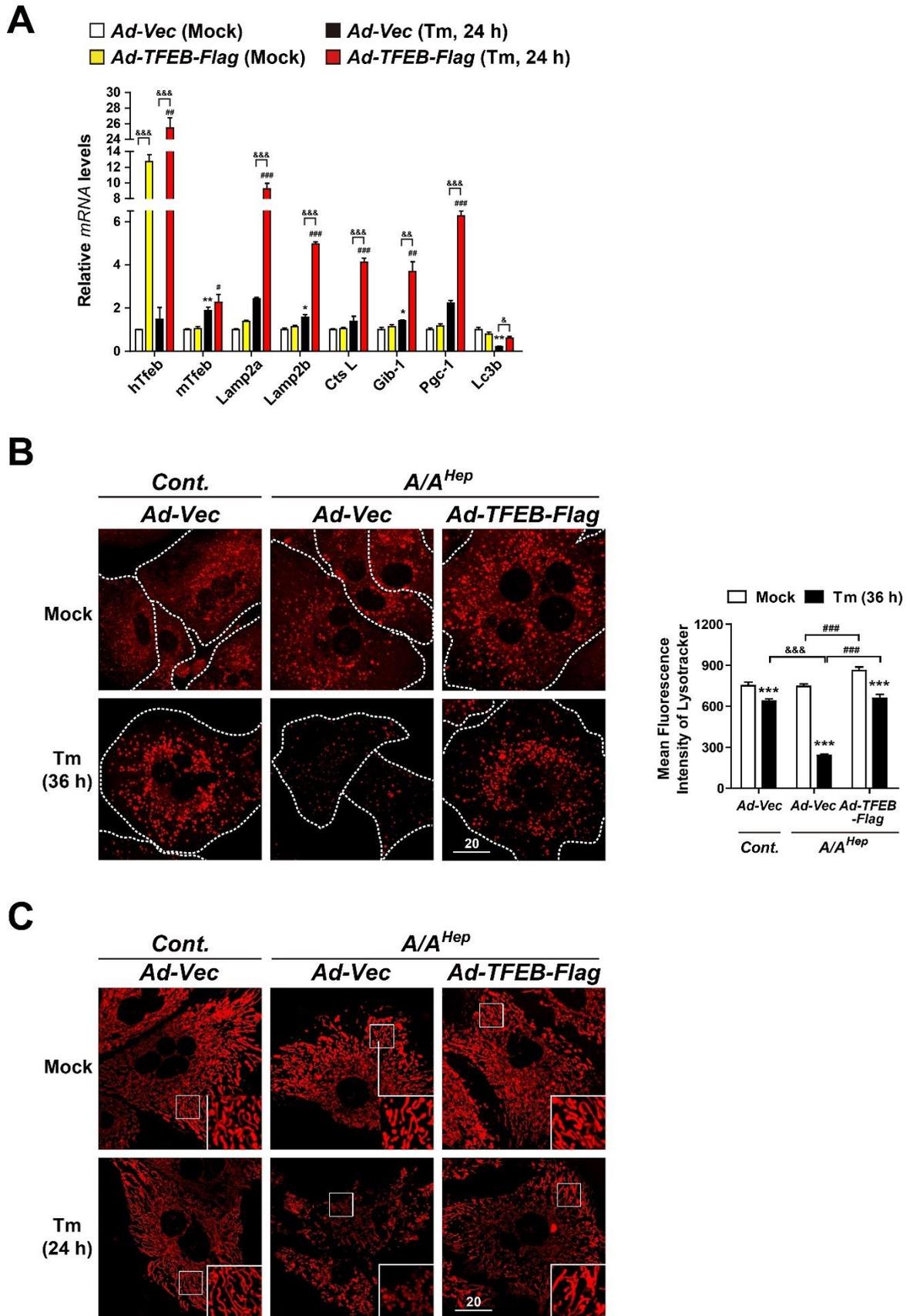
**Figure 13. eIF2 $\alpha$  phosphorylation is responsible for the nuclear translocation of the autophagy master regulators TFE3/TFEB in response to ER stress.**

(A) Immunofluorescence analysis of TFE3 was performed in primary hepatocytes treated with tunicamycin (Tm, 1  $\mu$ g/ml) for 24 h. Quantification of the number of cells with nuclear translocation. The percentages of nuclei positive for TFE3 staining were estimated by examining 100 cells. Scale bars: 20  $\mu$ m.

(B) Immunofluorescence analyses of TFEB-Flag were performed by using anti-Flag antibody in TFEB-Flag expressing adenovirus (*Ad-TFEB-Flag*) infected *S/S(Control)* and *A/A* primary hepatocytes treated with tunicamycin (Tm, 1  $\mu$ g/ml) or EBSS for indicated times. The percentages of nuclei positive for Flag staining were estimated by examining 100 cells.

All data are mean  $\pm$  SEM (n=3 mice per group); \*\*\*p < 0.001; Mock vs treated cells in the control group, ###p < 0.001; Mock vs treated cells in the *A/A<sup>Hep</sup>* group, &&p < 0.001; *Control (Cont.)* vs *A/A<sup>Hep</sup>* cells at each condition. Scale bars: 20  $\mu$ m.

Figure 14.



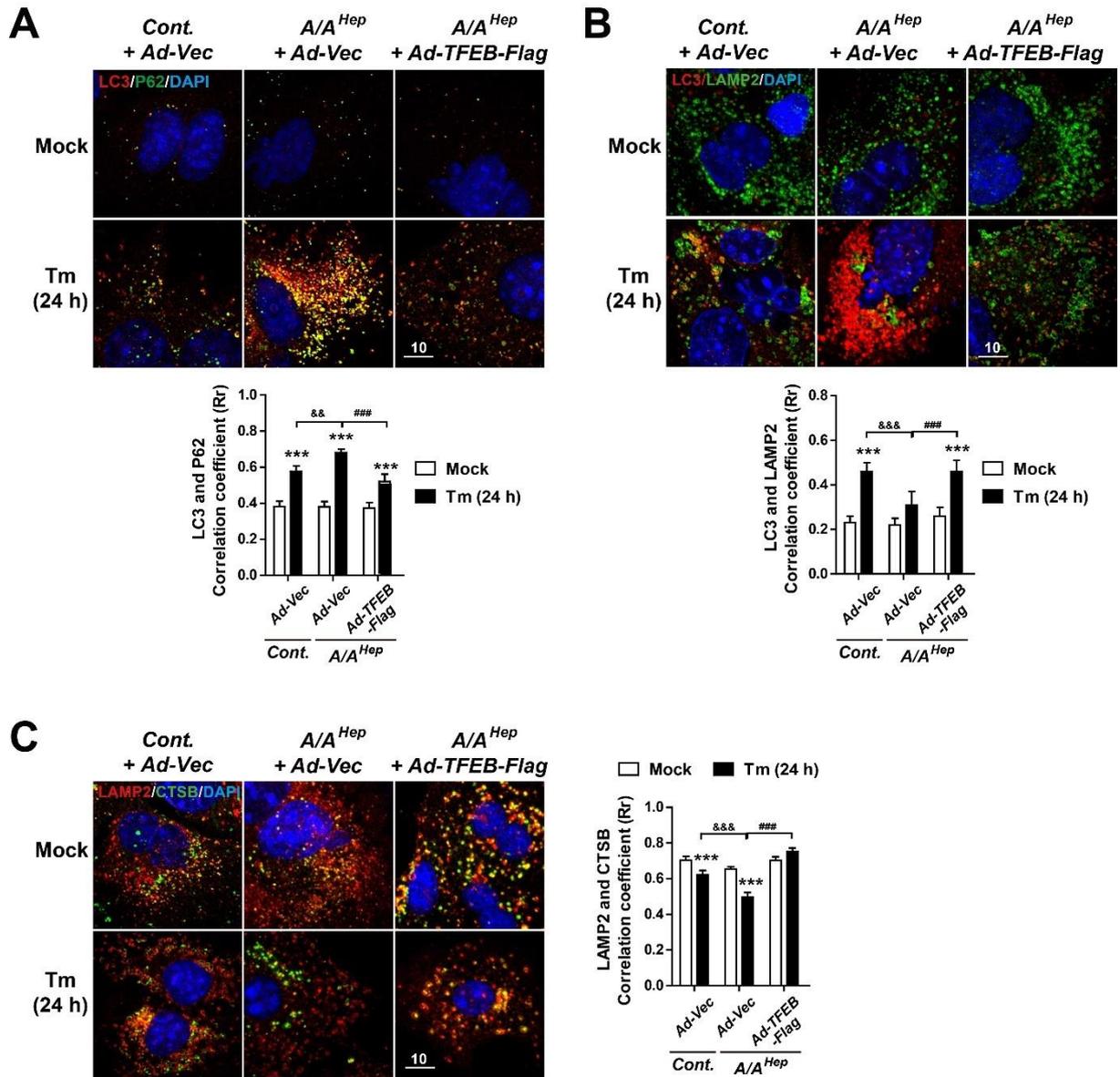
**Figure 14. TFEB overexpression restores lysosome functionality and mitochondrial dynamics in eIF2 $\alpha$  phosphorylation-deficient primary hepatocytes upon ER stress.**

(A) Expression profiles of TFEB dependent genes. Quantitative real-time PCR was performed by using total RNAs of *Ad-TFEB-Flag* or *Ad-Vec* infected *A/A<sup>Hep</sup>* primary hepatocytes treated with tunicamycin (1  $\mu$ g/ml) for 24 h (n=3 independent experiments at each time point per group).

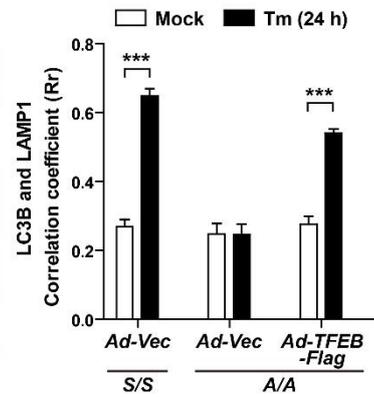
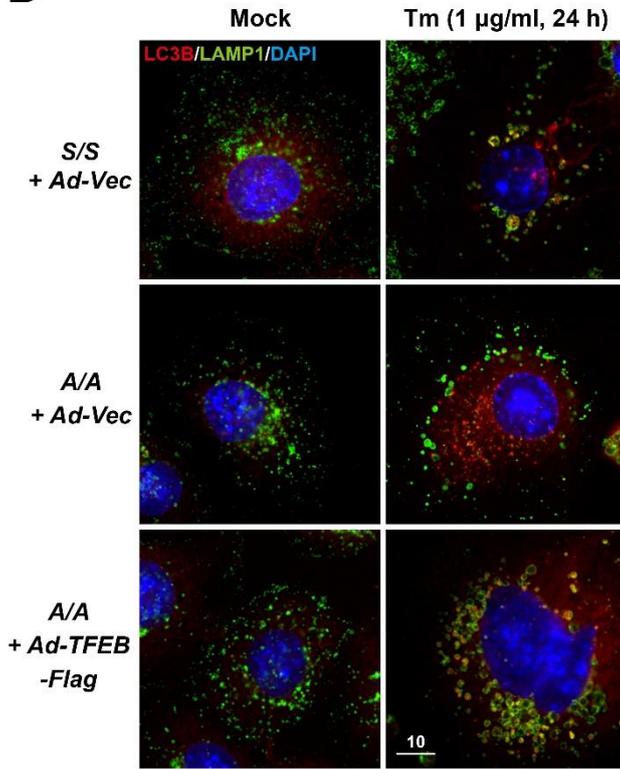
(B) *Control (Cont.)* primary hepatocytes were infected with *Vector* adenovirus (*Ad-Vec*) for 24 h and then treated with tunicamycin (Tm, 1  $\mu$ g/ml) for 36 h. *A/A<sup>Hep</sup>* primary hepatocytes were infected with TFEB-Flag expressing (*Ad-TFEB-Flag*) or *Vector (Ad-Vec)* adenovirus for 24 h and then treated with tunicamycin (Tm, 1  $\mu$ g/ml) for 36 h. Next, the cells were labeled with lysotracker (400 nM) to observe active lysosomes for 30 min. Mean fluorescence intensities (MFI) of lysotracker staining were measured with image analysis software (n=50 at each time point per group). All data are mean  $\pm$  SEM (n=3 mice per group); \*\*\*p < 0.001; Mock vs 36 h in each group, ###p < 0.001; *A/A<sup>Hep</sup>* vs *Ad-TFEB-Flag*-infected *A/A<sup>Hep</sup>* cells, &&&p < 0.001; *Control (Cont.)* vs *A/A<sup>Hep</sup>* cells. Scale bars: 20  $\mu$ m.

(C) *Control (Cont.)* primary hepatocytes were infected with *Vector* adenovirus (*Ad-Vec*) for 24 h and then treated with tunicamycin (Tm, 1  $\mu$ g/ml) for 24 h. *A/A<sup>Hep</sup>* primary hepatocytes were infected with TFEB-Flag expressing (*Ad-TFEB-Flag*) or *Vector (Ad-Vec)* adenovirus for 24 h and then treated with tunicamycin (Tm, 1  $\mu$ g/ml) for 24 h. Next, the cells were labeled with 50 nM Mitotracker-Red CMXRos and 4  $\mu$ g/ml Hoechst 33258 to observe change of mitochondrial morphology for 30 min. Insets show magnified views of the area outlined in the white boxes. Scale bars: 20  $\mu$ m.

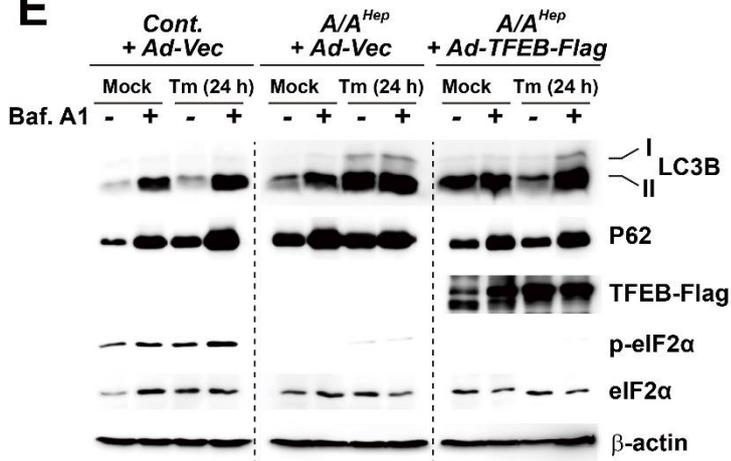
Figure 15.



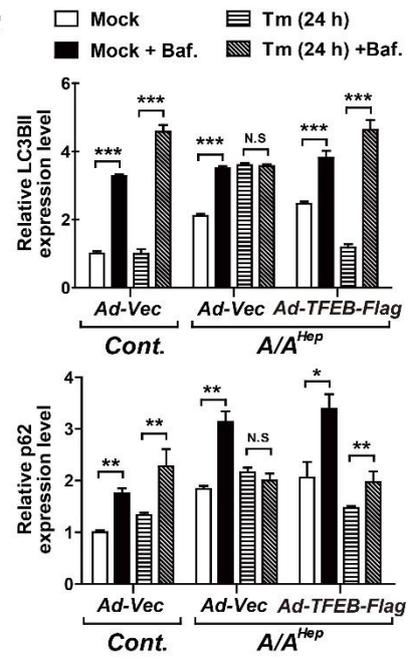
**D**



**E**



**F**



**Figure 15. TFEB overexpression ameliorates the impairment of the autophagy-related pathway in eIF2 $\alpha$  phosphorylation-deficient primary hepatocytes upon ER stress**

(A-C) Immunofluorescence analyses of (A) LC3B and P62, (B) LC3 and LAMP2, (C) LAMP2 and Cathepsin B(CTSB) were performed in the *Ad-Vec* or *Ad-TFEB-Flag*-infected *Control* (*Cont.*) and *A/A<sup>Hep</sup>* primary hepatocytes treated with tunicamycin (Tm, 1  $\mu$ g/ml) for 24 h. To measure co-localization of (A) LC3 and P62, (B) LC3 and LAMP2, or (C) LAMP2 and Cathepsin B(CTSB) proteins, Pearson correlation coefficients (Rr) were calculated by FV10-ASW software (Olympus). Scale bars: 10  $\mu$ m. All data are mean  $\pm$  SEM (n=3 mice per group); \*\*\*p < 0.001; Mock vs 24 h in each group, ###p < 0.001; *Ad-Vec* vs *Ad-TFEB-Flag* in *A/A<sup>Hep</sup>* cells, &&p < 0.01 and &&&p < 0.001; *Cont.* vs *A/A<sup>Hep</sup>* cells.

(D) Immunofluorescence analysis of LC3B and LAMP1 in the *Ad-Vec* or *Ad-TFEB-Flag*-infected *S/S(Control)* and *A/A* hepatocyte cell lines treated with tunicamycin (Tm, 1  $\mu$ g/ml) for 24 h. To measure co-localization of LC3B and LAMP1 proteins, Pearson correlation coefficients (Rr) were calculated by FV10-ASW software (Olympus). Scale bars: 10  $\mu$ m. All data are mean  $\pm$  SEM (n=3 mice per group); \*\*\*p < 0.001; Mock vs 24 h in each group.

(E, F) Primary hepatocytes isolated from *Control* and *A/A<sup>Hep</sup>* mice were infected with recombinant adenovirus expressing TFEB-Flag (*Ad-TFEB-Flag*) or empty (*Ad-Vec*) for 24 h. (E) Western blot analyses of LC3B and P62 were performed in the liver lysates of the *Control* and *A/A<sup>Hep</sup>* mice treated with tunicamycin (Tm, 1 mg/kg) for indicated times. Bafilomycin A1 (Baf.A1, 200 nM) was treated for 3 h prior to harvest. (F) Band intensities of LC3B and P62 were quantified and analyzed with ImageJ software after normalizing the expression levels of protein to  $\beta$ -actin. All data are mean  $\pm$  SEM (n=3 mice per group); \*\*p < 0.01 and \*\*\*p < 0.001; Mock vs 24 h in each group.

## Discussion

In this study, I investigated novel mechanistic links between eIF2 $\alpha$  phosphorylation, which is essential for the activation of all three UPR pathways, and autophagy in the ER stress condition. The current observations demonstrate that eIF2 $\alpha$  phosphorylation is a critical contributor to maintaining functional and degradative autophagy flux in response to ER stress.

This study was conducted with a mouse model of hepatocyte-specific eIF2 $\alpha$  phosphorylation deficiency to determine the physiological role played by eIF2 $\alpha$  phosphorylation in ER stress. As recent studies reported previously [13,14, 15, 65, 85], I showed that eIF2 $\alpha$  phosphorylation deficiency impaired three UPR signaling pathways in response to ER stress. Thus, eIF2 $\alpha$  phosphorylation controls not only translation but also transcription to regulate gene expression during ER stress [65, 86].

It is believed that the ER membrane expands to increase the ER capacity to fold proteins during ER stress. Such cellular adaptation is conducted by the UPR signaling pathway [27, 87]. Notably, XBP1s leads to the biosynthesis of ER membrane by upregulating membrane precursor lipids and phospholipid [59, 88]. Activated ATF6 $\alpha$  also promotes the biosynthesis of phosphatidylcholine for the new synthesis of ER membrane [58, 89]. TEM analyses revealed that eIF2 $\alpha$  phosphorylation deficient mice displayed abnormal ER morphology, with condensed ER cisternae with electron-dense materials, whereas the ER cisternae were enlarged or distended in the hepatocytes of *Cont.* mice under ER stress (Fig. 2B). These results suggest that eIF2 $\alpha$  phosphorylation deficiency interferes with ER expansion and membrane biogenesis, possibly through the inactivation of UPR signaling pathways.

The interplay between the ER and mitochondria plays a crucial role in several cellular pathways, including autophagy, mitochondrial dynamics, calcium homeostasis and lipid transfer as well as providing energy production for protein folding under stressful cellular conditions [90-95]. Furthermore, mitochondria are dynamic organelles engaged in the

mitochondrial dynamics of fission and fusion, as well as the mitochondrial quality control pathway that manages mitophagy and mitochondrial biogenesis [96]. Several UPR transcription factors, including ATF4, XBP1s, and activated ATF6 $\alpha$ , are important for mitochondrial function [28]. In the present study, I observed the role of eIF2 $\alpha$  phosphorylation, which is responsible for the expression of ATF4, XBP1s and activated ATF6 $\alpha$ , in mitochondrial homeostasis under ER stress. The ultrastructural and fluorescence analysis of mitochondria demonstrate that in hepatocytes lacking eIF2 $\alpha$  phosphorylation, ER stress disrupts the integrity of mitochondria through abnormal swelling and enlargement, with disrupted cristae, as well as triggering an imbalance in mitochondrial dynamics that results in mitochondrial fragmentation and depolarization (Fig. 3).

Mitochondria try to maximize their energy conversion efficiency during autophagy and provide the membrane for autophagosome formation [97]. Mitochondrial elongation could be essential to increase their efficiency in ATP production. On that account, elongated mitochondria should be excluded from immediate autophagic elimination [98]. ER stress triggers mitochondrial fragmentation, but in the absence of eIF2 $\alpha$  phosphorylation, the mitochondria might not be able to elongate; therefore, it is possible that mitochondrial dysfunction could contribute to defects in the autophagic process under ER stress conditions.

Autophagy is involved in the renewal of cellular organelles and in the maintenance of energy levels, protein synthesis and essential metabolic processes through the recycling of amino acids and nutrients [99]. During autophagy, amino acid limitation triggers the activation of the eIF2 $\alpha$  kinase GCN2 through an increase in uncharged tRNA. The transcription factor ATF4 regulates the transcription of genes related to amino acid transportation and synthesis in response to amino acid starvation [12, 100]. In response to ER stress, eIF2 $\alpha$  phosphorylation facilitates the selective translation of ATF4 mRNA which can transactivate the genes encoding ER chaperones and ER-Golgi trafficking machinery [101]. Furthermore, several reports have shown that ER stress elevates autophagy as a survival mechanism

through UPR-mediated transcriptional regulation of autophagy machinery components [102, 103]. In this study, I focused on the role of eIF2 $\alpha$  phosphorylation in ER stress-mediated autophagy. Interestingly, I observed that the expression of autophagy-related genes was suppressed, whereas the proteins of the autophagosome formation markers LC3B and P62 accumulated in the livers of eIF2 $\alpha$  phosphorylation-deficient mice upon ER stress (Fig. 4A, B). Furthermore, an ultrastructural analysis revealed that double-membraned autophagic vesicles, the *autophagosome*, clustered and accumulated excessively in the livers of eIF2 $\alpha$  phosphorylation-deficient mice challenged with Tm (Fig. 5A, B). Therefore, eIF2 $\alpha$  phosphorylation deficiency can cause dysregulation of the autophagic pathways.

Phosphorylation at the alpha subunit of the eIF2 complex is a key mechanism regulating translation initiation under cellular stress conditions [9]. EIF2 $\alpha$  phosphorylation causes the attenuation of global protein synthesis by activating the eIF2 $\alpha$  kinase PERK upon ER stress. However, in eIF2 $\alpha$  phosphorylation-deficient conditions, PERK activation cannot lead to the phosphorylation of eIF2 $\alpha$  upon prolonged ER stress. When eIF2 $\alpha$  is sustained in a state of non-phosphorylation, unfolded proteins continuously accumulate through everlasting translation. Those unfolded proteins should be recognized as autophagic cargoes destined for degradation. In the absence of eIF2 $\alpha$  phosphorylation, my observations show that the cytosolic form of LC3-I is converted to the LC3-II lipidated form, which is then bound to the autophagosomal membrane. In addition, I found that LC3B puncta (LC3-II) successfully co-localize with P62 puncta, but the number of LC3 and P62 positive autophagosome is abnormally increased and accumulated upon ER stress (Fig. 4D, 4F, 7A, 15A). Therefore, deficiency in eIF2 $\alpha$  phosphorylation causes an accumulation of autophagosomes upon ER stress, which could be caused by the blockage of autophagy flux.

Autophagy flux describes the series of processes from autophagosome formation to the completion of cargo degradation by the autolysosome. Autophagosome maturation, also called autolysosome formation, describes the process of fusion between the nascent

autophagosome and the degradative lysosome [38]. By using functional autophagy assays, I found that eIF2 $\alpha$  phosphorylation affects degradation activity and autophagosome maturation. In the absence of eIF2 $\alpha$  phosphorylation, multiple results show that LC3- and P62-positive autophagic cargoes could not be delivered to the lysosome (Figs. 6A, 6B, 6D, 7B, 7C, 8C, 8D, 15B, 15D), indicating that autophagosome-lysosome fusion was defective. In line with those observations, bafilomycin A1-mediated LC3 and P62 flux measurements indicate that eIF2 $\alpha$  phosphorylation is essential for autophagy flux upon ER stress (Figs. 6D, 7E, 15E).

Because the autolysosome allows complete degradation of its contents through lysosomal hydrolytic enzymes, lysosomal function is important to the capacity of degradation in autophagy flux. In this study, a deficiency in eIF2 $\alpha$  phosphorylation reduced the expression of autophagy-, lysosome-, and fusion-related genes (Figs. 4A, 9A-D, 10C-E) and produced lysosomal dysfunction (Figs. 11A-G, 14B), including attenuated lysosomal enzyme activity and a reduced number of active lysosomes. In addition, I showed that a deficiency in eIF2 $\alpha$  phosphorylation prevented nuclear translocation of TFEB under ER stress (Fig. 13). However, the lysosomal dysfunction was recovered by TFEB overexpression in eIF2 $\alpha$  phosphorylation deficient cells (Fig. 14 B).

Tail-anchored membrane proteins such as SNARE STX17 are inserted into the ER through a post-translational pathway and a transmembrane domain that requires cytosolic chaperones and recognition of an ER-localized receptor [104, 105]. Furthermore, STX17, as an ER resident SNARE protein, translocates to the outer membrane of the completed autophagosome. Recruitment of STX17 to the autophagosome is sufficient for SNARE-mediated membrane fusion. In addition, membrane-localized SNARE proteins, including STX17, SNAP29, and Vamp7, are essential for fusion between the autophagosome and the lysosome [106]. Notably, during autophagy, STX17 translocation to the autophagosome allows the assembly of SNARE complexes and the recruitment of the HOPS complex to the autophagosome, which enables it to couple with lysosome-localized VAMP7 [76, 106-108]. In

this study, I found that STX17 was not translocated to the LC3-positive autophagosome in eIF2 $\alpha$  phosphorylation-deficient cells under ER stress (Fig. 12A). It then could not interact with the lysosomal SNARE VAMP7, suggesting that eIF2 $\alpha$  phosphorylation is required for the assembly of SNARE complexes and autophagosome-lysosome fusion in response to ER stress (Fig. 12B). Therefore, recruitment of STX17 to the autophagosome might be affected by disruption of the ER tubular structure and the downregulated expression of ER chaperones caused by deficiency of eIF2 $\alpha$  phosphorylation during prolonged ER stress. In addition, it is unclear whether eIF2 $\alpha$  phosphorylation affects ER membrane targeting of cytosolic STX17 or whether eIF2 $\alpha$  phosphorylation contributes to the recruitment of STX17 to the autophagosome. Further studies are needed to clarify those points in detail. Nevertheless, my observations suggest that eIF2 $\alpha$  phosphorylation is required for autophagosome-lysosome fusion and autophagy flux upon ER stress.

The transcription factors TFEB and TFE3 play a crucial role in regulating lysosomal biogenesis and autophagy. Notably, TFEB coordinates transcriptional programs by binding the promoter regions of autophagy and lysosome-related genes. Several studies have reported that TFEB regulates the expression of a broad number of target genes involved in lysosomal biogenesis, lysosomal exocytosis, autophagosome biogenesis, and autophagosome-lysosome fusion [45, 109]. Furthermore, TFEB and TFE3 are activated for cellular adaptation under prolonged ER stress. A recent study reported that TFE3 facilitates ER homeostasis by directly binding a CLEAR element present in the promoter region of ATF4, a crucial regulator of the integrated stress response [52]. Interestingly, recent research has also found that TFEB induces lysosomal exocytosis, by which lysosomes fuse to the plasma membrane [110]. In this study, I found that TFEB overexpression was sufficient to upregulate the transcripts of several lysosomal genes (Fig. 14A) and improve lysosomal biogenesis and positioning in response to ER stress (Fig. 14B). Furthermore, TFEB overexpression increased the transcript level of PGC-1 $\alpha$ , which controls energy metabolism by modulating mitochondrial biogenesis

and function [109]. Therefore, it is possible that increased PGC-1 $\alpha$  levels might improve the balance of mitochondrial dynamics and mitochondrial biogenesis under ER stress.

In this study, I speculate that TFEB overexpression could enhance lysosomal catabolic activity and the autophagic fusion event because eIF2 $\alpha$  phosphorylation deficiency prevents the activation of TFEB by limiting its nuclear translocation, which limits the degradative capacity of autophagy under ER stress. As expected, I found that overexpressing TFEB improved the autophagosome-lysosome fusion event and facilitated autophagic degradation (Figs. 14B, 15A-E). However, it remains unclear how eIF2 $\alpha$  phosphorylation affects the TFEB nuclear translocation required to regulate lysosomal and fusion-related genes. Further studies are needed to clarify those points in detail.

In conclusion, this study reports that eIF2 $\alpha$  phosphorylation plays a critical role in regulating UPR signaling and the autophagy-lysosome pathway to adapt to ER stress. These observations suggest that eIF2 $\alpha$  phosphorylation contributes to the nuclear translocation of TFEB for functional autophagy flux. Furthermore, chronic and strong ER stress can impair autophagy, which results in the failure to eliminate pathogenic accumulation and aggravates the progression of metabolic liver injury. The current study highlights that TFEB is a potential therapeutic target that could enhance autophagic flux and maintain the homeostasis of intracellular organelles, including the ER and mitochondria, through the ER stress-mediated autophagy mechanism.

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## 국문 요약

### 항산화 스트레스와 자가 포식 작용에서 eIF2 $\alpha$ 인산화의 역할 연구

단백질의 합성은 세포내 대사 과정의 가장 기본적인 부분이며 여러 유전자의 발현을 조절하는 중요한 과정이다. mRNA로부터 단백질이 생성되는 번역 과정 중 시작 과정이 단백질의 합성을 조절하는 중요한 단계이다. 단백질 번역은 번역 개시 인자인 eIF2 $\alpha$ 은 번역 개시를 촉진할 뿐만 아니라, eIF2 $\alpha$  인산화를 통해 번역을 제한하여 단백질의 합성을 조절하고 스트레스를 개선에 기여한다.

먼저, 산화 스트레스에 의해 유도되는 EGFR 신호 전달 체계에서 eIF2 $\alpha$  인산화 역할을 연구하였다. 활성산소는 생체 내 신호전달 및 생명 현상에 중요한 역할을 하지만, 지속적인 과다 생성시 산화 스트레스로 작용하여 여러 병증의 주요 원인이 된다. 활성산소에 의해 세포에 산화 스트레스가 가해지면 DNA 변형이나 지질의 산화뿐만 아니라, 단백질 변성을 유도함으로써 세포 손상 및 세포 사멸을 야기한다. eIF2 $\alpha$  인산화는 산화 스트레스나 과당섭취로 인한 간 섬유화로부터 간세포를 보호하는 데에 기여하는 중요한 인자이다. 간세포에서 eIF2 $\alpha$  인산화는 항산화 관련 단백질을 적절한 수준으로 유지시킨다. 산화 스트레스는 세포 증식과 생존에 관여하는 신호전달 경로들을 활성화시킨다. EGFR 신호 전달 체계는 세포 증식 및 분화, 생존에 중요한 역할을 한다. 또한 EGFR 신호 전달에 의한 산화-환원 조절은 세포를 산화 스트레스로부터 보호하는데 기여한다. 산화 스트레스를 통해 활성화된 EGFR 신호 전달 체계는 PI3K/ATK, MAPK, JAK/STAT 신호전달을 포함하는 생존 및 성장 신호 전달 경로들을 활성화시키는 타이로신 인산화 수용체이다. 하지만 eIF2 $\alpha$  인산화 결핍 간세포는 EGFR 의 발현이 감소되고, EGFR 신호 전달 체계 이상을 나타낸다. 활성산소인 과산화 수소 (Hydrogen peroxide) 와 메나디온 (Menadione)으로 산화적 스트레스를 유도하여 활성산소를 증가시켜 EGFR 신호 전달 체계를 활성화시키고, eIF2 $\alpha$  인산화를 유도한다.

EGFR knock-down 세포주에서 EGFR 발현 감소는 활성산소 제거 능력을 감소시키고 활성산소 매개 세포 사멸에 감수성을 띄게 한다. eIF2 $\alpha$  인산화 결핍 간세포에서 EGFR 과발현은 활성산소 제거능이 향상되어 세포 사멸을 약화시킨다. eIF2 $\alpha$  인산화 결핍 간세포에서 나타나는 EGFR 발현 감소는 산화 스트레스에 대한 감수성을 향진하는 중요한 인자로 의미가 있다.

둘째, 소포체 스트레스에 의해 유도되는 자가 포식 작용에서 eIF2 $\alpha$  인산화 역할을 연구하였다. 생리적 혹은 병리적 환경에 의해 소포체가 처리할 수 있는 능력 이상의 미성숙 단백질이 소포체 내에 축적되면 세포는 이를 소포체 스트레스로 인식하고, 이러한 스트레스를 회복하기 위한 방어기전으로 잘못 접힌 단백질 반응 (Unfolded protein response)이 유도된다. UPR 반응으로 소포체 기능을 회복할 수 없을 때, 소포체 스트레스에 대한 적응 반응으로 생존을 증진시키기 위한 기작으로 자가 포식 작용 (autophagy)가 유도된다. eIF2 $\alpha$  인산화가 결핍된 간 세포와 간 조직 특이적으로 eIF2 $\alpha$  인산화가 제거된 동물모델을 이용하였다. 소포체 스트레스에 노출된 eIF2 $\alpha$  인산화 결핍 간조직과 간세포에서 autophagosome 마커 단백질인 LC3B 와 오토 파지 기질이며 기질 유도단백질인 P62/SQSTM1 의 비정상적인 축적이 관찰되었다. 또한 소포체 스트레스에 노출된 eIF2 $\alpha$  인산화 결핍 세포에는 다수의 autophagosome 이 축적되어 있는 것과 이와 반대로 autolysosome 숫자는 상대적으로 대조군에 비해 적음이 간세포 투과전자현미경 관찰을 통하여 확인되었다. 따라서 소포체 스트레스에서 eIF2 $\alpha$  인산화는 Autophagosome 형성보다 Autolysosome 형성에 중요한 역할을 함을 알 수 있었다. Autophagosome-lysosome 융합 그리고 autolysosome 산성화는 오토 파지 flux 를 유지하는 오토 파지 과정의 중요한 마지막 step 이다. 그런데 소포체 스트레스에 노출된 eIF2 $\alpha$  인산화 결핍 세포에서 autophagosome 마커 단백질인 LC3B 와 lysosome 단백질 (Lamp1/2 그리고 Cathepsin B/D/L)들의 colocalization 이 감소되어 있는 것이 관찰되었다. 더 나아가 lysosome 단백질 분해효소 억제제 leupeptin 을 활용한 오토 파지 flux 조사법들로 부터 소포체 스트레스에 노출된 eIF2 $\alpha$  인산화 결핍 간 조직 또는 간세포에선 leupeptin 에 의한 LC3B 단백질 축적이 나타나지 않으며 낮은 pH 를 가진 autolysosome 의 숫자가 대조군에 비하여 월등히 적음이 관찰되었다. 이런 사실들은 소포체 스트레스에 노출된 eIF2 $\alpha$  인산화 결핍 간 조직 또는 간세포는 정상적인 오토 파지 flux 유지에 필요한 autophagosome-lysosome 융합 과 autolysosome 산성화 능력에 문제가 있음을 의미한다. 소포체 스트레스에 노출된 eIF2 $\alpha$  인산화 결핍 간조직에서 대부분의 lysosome 단백질 분해효소 유전자들과 다수의 Rabs, soluble N-ethylmaleimide-sensitive factor attachment protein receptors (SNAREs) 그리고 tethers 포함한 autophagosome-lysosome fusion machinery 관련 유전자들의 mRNA 발현이 줄어든 것과 상통되게 eIF2 $\alpha$  인산화 결핍 간세포에선 오토 파지 마스터 조절자로 알려진 TFEB 와 TFE3 전사인자의 소포체 스트레스 유도 핵 전이 현상이 일어나지 않는 것이 관찰되었다. 그러나 TFEB 를 과발현 시킬 경우 eIF2 $\alpha$  인산화 결핍 세포에서 소포체

스트레스에 의한 세포사멸이 감소되었다. 더 나아가 이러한 TFEB 과발현은 소포체 스트레스에 노출된 eIF2 $\alpha$  인산화 결핍 간조직에서 관찰되었던 autophagosome-lysosome 융합 문제와 autolysosome 산성화 능력 문제를 포함한 오토 파지 flux 이상 현상을 개선시켰다. 따라서 본 연구는 오토 파지 마스터 조절자 TFEB 와 TFE3 전사인자의 소포체 스트레스 유도 핵 전이를 조절하는 eIF2 $\alpha$  인산화는 잘못 접힌 단백질의 축적으로 촉발된 소포체 스트레스를 억제하는 오토 파지의 flux 유지에 꼭 필요한 현상임을 입증한다.

따라서, 본 연구는 산화 스트레스에 의한 EGFR 신호 전달 체계와 소포체 스트레스에 의한 자가 포식 작용에서 eIF2 $\alpha$  인산화의 역할을 규명한다.

# Appendix

## **Butyrate Prevents TGF- $\beta$ 1-Induced Alveolar Myofibroblast Differentiation and Modulates Energy Metabolism**

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